

David Brown

From: David Brown
Sent: Thursday, 24 September 2020 05:19 PM
To: Cage-PER-Management
Subject: Cash Deposits to Accounts

Good afternoon all, hope you are well.

As you would all most probably be aware the ILGA enquiry continues into Crown Sydney's gaming licence. This enquiry has heavily scrutinised Crown practices in regards to accepting funds into and sending funds out of our Bank Accounts. Crown Perth has been heavily scrutinised and we are currently as a Company reviewing all practices in regards to our banking practices.

I will be updating the SOP's shortly but until that time please make sure everybody is being more conscious than every of their responsibilities in regards to reviewing transactions. This means transactions that occur at the Cage or through any other process that we deal with.

Some points to be aware of

- UAR's should be completed for any transaction that you feel is suspicious or unusual in anyway. If you are not sure or the reason for a transaction then you should report this. This enquiry has seen us questioned about transactions that happened 3 years ago. I realise you will not in 3 years time remember every transaction you have completed today but if you have followed all the process and if unsure of anything completed a UAR then this would be a good thing.

On a positive note I am very happy with the amount of UAR's being completed currently and not just for people cashing out amounts under \$ 10k.

Please make sure you have studied the new UAR forms and understand what each the different types of Red Flag Indicators are.

- Cash Deposits to the account cannot be accepted. This means you must be able to account for all transactions and confirm they have been a transfer from a patrons account rather than a cash deposit. Should a cash deposit be received we will need to determine the owner of the funds and arrange for it to be returned to their account. Appropriate reporting should be completed.

Should the funds received be for payment of debts then please refer this to me prior to returning the funds.

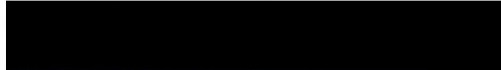
- Third Party transfers cannot be accepted
- Funds cannot be transferred to a Third Party unless there is clear evidence that they have been a patron on a junket program and there is sufficient winnings to justify the transaction. These would need to be approved by Lonnie and Nick Stokes
- When a patron transfers funds to our account they must include their name and patron number on the transfer
- Clear supporting documentation should be attached to every TT.
- Aggregation is not permitted. A separate TT must be completed for every deposit to the account.

These instructions may change from time to time but please make sure you are all aware of the expectations and comply in all instances.

If in doubt about anything then please call me at anytime.

Kind Regards

David Brown
General Manager - Cage & Count
Crown Sydney



www.crownsydney.com.au

