



This report is printed on Monza Recycled paper. Monza Recycled is certified Carbon Neutral by The Carbon Reduction Institute (CRI) in accordance with the global Greenhouse Gas Protocol and ISO 14040 framework. The Lifecycle Analysis for Monza Recycled is cradle to grave including Scopes 1, 2 and 3. It has FSC Mix Certification combined with 99% recycled content.



# Reducing the Harm Caused by Gambling

**Independent assurance report to Parliament** 

Ordered to be published

VICTORIAN GOVERNMENT PRINTER
March 2021

PP no 213, Session 2018-21



The Hon Nazih Elasmar MLC President Legislative Council Parliament House Melbourne The Hon Colin Brooks MP Speaker Legislative Assembly Parliament House Melbourne

**Dear Presiding Officers** 

Under the provisions of the *Audit Act 1994*, I transmit my report *Reducing the Harm Caused by Gambling*.

Yours faithfully

Andrew Greaves

Auditor-General

18 March 2021

The Victorian Auditor-General's Office acknowledges Australian Aboriginal peoples as the traditional custodians of the land throughout Victoria. We pay our respect to all Aboriginal communities, their continuing culture and to Elders past, present and emerging.

### Contents

Sup	port options	1
Aud	lit snapshot	3
1.	Audit context	11
1.1 1.2	Gambling in VictoriaGambling harm	
1.3 1.4 1.5	A public health approach to gambling harm  The Victorian Responsible Gambling Foundation  Prevention programs	16 18
1.6	Treatment and support services	
<b>2.</b> 2.1 2.2	Understanding gambling harm	22
3.	Preventing gambling harm	28
3.1 3.2 3.3 3.4	Developing prevention programs  Impact of prevention programs  Prevention strategy and outcomes framework  Building community capacity to prevent harm	31 32
4.	Treating gambling harm	35
4.1 4.2 4.3	Outcome-based performance management  Providing service choices for clients  Programs for Aboriginal and Torres Strait Islander peoples and CALD	
4.4 4.5 4.6	communities  Supporting people with co-occurring conditions  Developing specialised gambling mental health support  Managing performance and outcomes	40 41
APP	ENDIX A Submissions and comments	47
APP	ENDIX B Acronyms and abbreviations	61
APP	ENDIX C Scope of this audit	62
APP	ENDIX D Analyses of client outcomes survey data	63
APP	ENDIX E Self-assessment tool for gambling harm	69

### Support options

Our report discusses gambling harm, which refers to negative consequences caused or made worse by gambling, including emotional or psychological distress, financial problems, and difficulties with relationships, work or study. Gambling harm may co-occur with other problems such as mental illness, alcohol and other drug use and family violence. If you or someone you know is affected by gambling harm, support options are available. These include:

#### Gambler's Helpline

Provides confidential and free support and advice, 24 hours a day, seven days a week for anyone affected by gambling—their own, or someone else's. Gambler's Helpline can make referrals to local services for financial and therapeutic counselling.

Phone: 1800 858 858 or 1800 262 376 (Youthline)

www.gamblershelp.com.au

#### **Gambling Help Online**

Provides anonymous, free, confidential advice and counselling through email or 24/7 online live chat.

www.gamblinghelponline.org.au

### 1800RESPECT – National sexual assault, domestic family violence counselling service

Provides information, referral and counselling services to people experiencing or at risk of experiencing sexual assault or family violence. It is also available to friends and family and professionals. 1800RESPECT provides a confidential service 24 hours a day, seven days a week.

Phone: 1800 RESPECT (1800 737 732)

www.1800respect.org.au

#### Men's Referral Service

A men's family violence telephone counselling, information and referral service operating in Victoria, New South Wales and Tasmania. It is the central point of contact for men taking responsibility for their violent behaviour.

Phone: 1300 766 491

www.ntv.org.au

#### **Kids Helpline**

Kids Helpline is Australia's only free 24/7, confidential and private counselling service specifically for children and young people aged five to 25 years.

Phone: 1800 551 800

www.kidshelpline.com.au

#### Lifeline

Lifeline is a national charity providing all Australians experiencing a personal crisis with access to 24-hour crisis support and suicide prevention services.

Phone: 13 11 14

www.lifeline.org.au

## Audit snapshot

Is the Victorian Responsible Gambling Foundation effectively reducing the severity of gambling harm?

#### Why this audit is important

Gambling is legal in Victoria, and easy to access. However, it can cause serious harm for individuals, their family and friends, and for the wider community. Gambling harm costs all Victorians around \$7 billion a year, through damage to relationships, health and wellbeing, monetary losses and other social costs.

#### Who we examined

The Victorian Responsible Gambling Foundation (the Foundation) was established in 2012 to reduce gambling harm. It is a statutory authority under the Department of Justice and Community Safety (DJCS).

#### What we examined

We examined whether the Foundation is achieving its intended outcomes and impacts, including through:

- understanding gambling harm
- · prevention programs
- · treatment services.

#### What we concluded

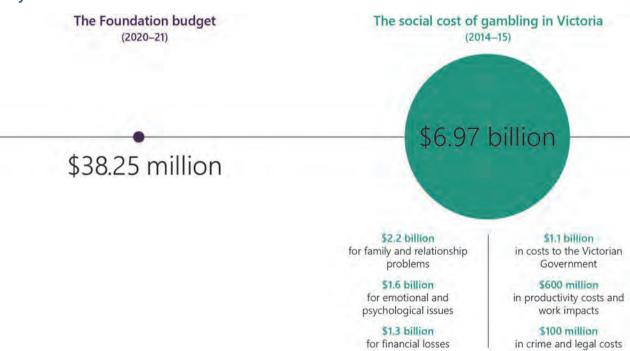
The Foundation does not know whether its prevention and treatment programs are effectively

reducing the severity of gambling harm.

While the Foundation may help some people through its programs, it does not understand their broader impact. This is because the Foundation lacks an outcome-based framework to develop programs and measure their results.

In addition, while the Foundation funds research and program evaluation, it does not always use this evidence to improve program design and service delivery.

#### **Key facts**



Source: VAGO, and Browne et. al. (2017), The Social Cost of Gambling to Victoria, as published in the Foundation's Annual Report 2018–19.

### What we found and recommend

We consulted with the Foundation and considered its views when reaching our conclusions. Its full response is in Appendix A.

#### **Understanding gambling harm**

#### The Foundation's research on gambling harm

Since it was set up in 2012, the Victorian Responsible Gambling Foundation (the Foundation) has funded academic research that has identified:

- · groups most at risk of experiencing gambling harm
- social and environmental factors that contribute to gambling harm
- a high incidence of co-occurring health problems for individuals who experience gambling harm.

The Foundation's research also identified that the most at-risk groups of gambling harm are:

- people with mental illnesses
- young people, particularly males aged 18 to 24 years old
- Aboriginal and Torres Strait Islander peoples
- people from culturally and linguistically diverse (CALD) communities
- people who are socially and culturally isolated, including those living in regional and rural areas.

The Foundation's research found gambling harm goes beyond financial losses and includes emotional and psychological stresses. Harm from gambling is more severe at an individual level, but also has wider impacts on the community. Harm from low-risk and moderate-risk gambling makes up 70 per cent of harm in Victoria, as more people engage in this type of gambling and it includes the impacts on families and other relationships.

In this report, we use the terms low-risk, moderate-risk and problem gambler as defined in the Problem Gambling Severity Index, which we discuss in Section 12 and Appendix E

However, we note that terms such as 'problem gambler' and 'responsible gambling' suggest that people only experience gambling harm because of poor choices or character faults This can contribute to the stigma around gambling, which may make it difficult for people to seek help As such we have aimed to limit the use of these terms where possible

#### Applying research to program and service design

The Foundation has used its research evidence to focus its work on:

- prevention efforts targeting the whole community, such as its media campaigns on gambling harm
- prevention and early intervention initiatives, such as the sporting clubs program, for people at low and moderate risk of experiencing gambling harm.

However, since 2015 the Foundation has not funded research into gambling treatment and support services. As a result, it has missed opportunities to use evidence to improve its treatment services.

There is not always a clear link between the research evidence and the Foundation's program design. For example, its research into ways to reduce stigma and its piloted tools to support gamblers experiencing poor mental health have not been used to improve service design.

The Foundation's lack of a plan to apply research to improving its programs is not consistent with its legislative mandate to reduce gambling harm, or with its responsibility as the sole public funder of gambling treatment services.

#### Recommendations about understanding gambling harm

We recommend that:			Response
Victorian Responsible Gambling Foundation	1.	ensure that evidence from its research informs the design and improvement of prevention programs and treatment services (see Section 2.2), through:	Accepted
		<ul> <li>requiring funded research to identify recommended actions for the Victorian Responsible Gambling Foundation to improve program and service practice, where appropriate</li> </ul>	
		tracking progress in implementing the recommendations	
		<ul> <li>reporting the progress to the Victorian Responsible Gambling Foundation's board and in its annual report.</li> </ul>	
	2.	apply available research and evaluation and where appropriate, funds research and evaluation to improve the efficacy of treatment and support services, in areas identified through its service performance review, including:	Accepted
		<ul> <li>integrating peer support as part of the Victorian Responsible Gambling Foundation's treatment and support services (Section 4.2)</li> </ul>	
		<ul> <li>investigating the causes of client disengagement with Gambler's Help services and using the findings to improve client engagement and retention in counselling (Section 4.6)</li> </ul>	
		<ul> <li>evaluating the Victorian Responsible Gambling Foundation's various treatment approaches for gambling harm to assess their effectiveness (see Sections 2.1 and 4.6).</li> </ul>	

#### **Preventing gambling harm**

#### **Prevention programs**

#### Programs and services broadly target at-risk groups

Based on its research, the Foundation has developed prevention programs that broadly target at-risk groups. These programs reach target groups because organisations that have links to these communities deliver them.

#### Prevention programs lack clear aims and outcome-based measures

Most of the Foundation's prevention programs involve general education and raising awareness. Most do not have clear objectives or outcome-based measures that enable the Foundation to know if the programs are effective in preventing harm. This is because the Foundation has not provided sufficient support and guidance to its funded partners to develop these.

Further, the Foundation does not:

- evaluate whether its programs effectively reduce the risk factors or enhance protective factors that influence gambling harm
- take a structured approach to applying the learnings from pilot initiatives to ongoing programs.

Of all the Foundation's prevention programs, its programs targeting sports betting are the most advanced in identifying program objectives and how they intend to impact relevant risks.

The Foundation is addressing these gaps by providing guidelines to funded partners to develop clear objectives and outcomes measures.

#### Impact of prevention programs

The Foundation has evaluated all its major prevention programs and activities since 2015. This has resulted in some improvements to how it delivers programs, such as refining training material in the venue support program and better targeting groups in the sporting clubs programs. However, the Foundation's evaluations monitor program outputs, such as the number of educational sessions provided, and do not always assess whether a prevention program had an impact on the target group.

This means that the Foundation does not always know what makes a program effective in preventing gambling harm, or how it can invest in the most effective approach.

For example, the Foundation did evaluate its media campaign aimed at reducing the risk that young people believe that gambling is a normal part of sport. The Foundation's evaluation found that this had some success in raising awareness, but it waned over time. The evaluation was useful for understanding the campaign's reach but did not provide insight on the program's impact on harm prevention or reduction.

The Foundation's media campaigns cannot match what sports betting companies spend on advertising, both in scale and intensity, as it has a much smaller budget. Yet media campaigns consume a significant portion of the Foundation's budget. The Foundation should therefore assess the cost-effectiveness of its media campaigns in

Prevention programs aim to deter people from activities that may result in gambling harm. This can include providing education about gambling harm or activities to replace gambling

Outcome-based measures allow an agency to monitor the effect that a program or treatment has had on a person, group or community

Risk factors/protective factors are those relating to a combination of individual, family and community circumstances that can increase/reduce gambling harm A community venue having pokie machines is a risk factor while having a safe place at night for recreational activities is a protective factor against gambling harm

The venue support program provides training to staff working at gambling venues to help them identify and support clients who experience gambling harm

The **sporting clubs program** aims to counter the normalisation of sports gambling in young people through engaging with local and elite sporting clubs

relation to the overall objective of reducing the risk of harm from sports betting in young people.

#### Prevention strategy and outcomes framework

Despite funding wide-ranging prevention programs, the Foundation does not have a prevention strategy that outlines what its prevention goals are, the steps it will take to achieve them and how its prevention programs contribute to this.

The Foundation also does not have an outcomes-based framework to measure whether its prevention programs and treatment services are effective in reducing gambling harm. This is despite three organisational reviews, one in 2014 and two in 2018, emphasising the need for one.

The Foundation has recognised that it has limited understanding of the impact of its programs and services against its legislative mandate and strategic priorities. In December 2019, it developed a draft outcomes framework. However, it is still at an early stage. In early January 2021, the Foundation engaged external consultants to further this work.

The Foundation is in the initial stages of developing common output and outcome measures to better assess its community partnership prevention programs. It has also recently included focus on both the process (how the program is implemented) and outcomes (results achieved) as part of its improved evaluation of the sporting clubs program.

#### Building community capacity to prevent harm

Despite having invested in 70 projects through community prevention partnership programs since 2014, the Foundation has not provided adequate guidance and resources to actively build the capacity of community groups to prevent gambling harm.

In late 2019, the Foundation started two communities of practices, open to staff from its funded programs to share knowledge and best practices. While this is a positive step, the Foundation advised us that its role is as a supportive observer, rather than being an active and strategic lead.

Without the Foundation's leadership, the communities of practice are at risk of operating without any strategic direction. This may limit the extent to which they can achieve sector-wide improvements to prevention and treatment programs.

#### Foundation's legislative mandate

The Victorian Responsible Gambling Foundation Act 2011 requires the Foundation to

- reduce the prevalence of problem gambling
- reduce the severity of harm related to gambling
- foster responsible gambling

A community of practice is a group of people who share a concern or a passion for something they do and learn how to do it better as they interact regularly

#### Recommendations about programs to prevent gambling harm

We recommend that:			Response
Victorian Responsible Gambling Foundation	3.	develop an evaluation and outcomes framework to guide prevention and treatment programs, supported by:	Accepted
		<ul> <li>a prevention program design that clearly seeks to address the identified risk factors/protective factors contributing to gambling harm (see Section 3.1)</li> </ul>	
		<ul> <li>a common set of outcome or proxy outcome measures that can enable the tracking of performance of both individual programs and the collective performance of programs (see Sections 3.3 and 3.4)</li> </ul>	
	4.	include assessment of the cost-effectiveness of prevention approaches in future evaluations and for the media campaigns aimed at reducing the risk from sports betting among young people (see Section 3.2)	Accepted
	5.	identify, share and promote better practices within the funded organisations, with particular focus on building community capacity for preventing gambling harm by providing:	Accepted
		<ul> <li>tailored guidance and resources to support program design and delivery (see Section 3.4)</li> </ul>	
		<ul> <li>opportunities for sharing learnings with and between funded organisations (see Section 3.4).</li> </ul>	

#### **Treating gambling harm**

#### Outcome-based performance management

The Foundation funds Gambler's Help, which provides free and confidential help to Victorians experiencing gambling harm. While Gambler's Help providers know that the service has helped some individuals, the Foundation does not know whether it is effective overall, because it does not have an outcome-based framework to monitor service performance.

Further, the Foundation has not strategically used its extensive service data to improve access or determine whether services are meeting client needs.

#### Providing service choices for clients

The Foundation has not systematically tested whether its service streams meet clients' needs.

The three Gambler's Help services—Gambler's Help Local, Gambler's Helpline and Gambling Help Online—are largely independent from each other. This means that clients cannot move easily between the service streams to access support in a way that best meets their needs.

The Foundation assumes that clients prefer face-to-face counselling, and so telephone and online services are only for brief interventions or providing information.

During the COVID-19 pandemic, Gambler's Help shifted services to a telehealth delivery model. As a result, the Foundation is exploring if telehealth could become an ongoing part of its service, particularly in under-serviced regional and rural areas.

### Programs for Aboriginal and Torres Strait Islander peoples and CALD communities

The Foundation has developed tailored prevention and treatment programs for Aboriginal and Torres Strait Islander peoples and CALD communities. However, there are no formal referral pathways between the Gambler's Helpline and Gambling Help Online services and these programs.

The Foundation is missing an opportunity to improve access and service pathways for people in these communities to the specialised programs from Gambler's Helpline and Gambling Help Online.

Programs for Aboriginal and Torres Strait Islander peoples and CALD communities may include group-based counselling focused on wellbeing, financial counselling, community engagement and awareness-raising activities

#### Supporting people with co-occurring conditions

The Foundation's research shows that people who experience gambling harm often experience a co-occurring condition. The community organisations the Foundation funds to deliver Gambler's Help Local services also deliver other services, which may include allied health, alcohol and other drugs services, or family violence support. This means they can internally refer gambling clients to one of their other services.

However, the Foundation does not have a system-level process for linking clients with co-occurring conditions with other support services, which means that there is no consistent approach. This poses a risk that the help the client receives depends heavily on the service offerings of the particular community organisation they have accessed, and local relationships that entity may have.

At the system level, the Foundation does not have agreed referral pathways between Gambler's Help Local services and the state's mental health, family violence, and alcohol and other drug services. This means that people who experience gambling harm may not be offered appropriate support for their co-occurring conditions, and that people accessing health and human services in Victoria may not be aware that targeted support is available for gambling harm. Although the Foundation has engaged with the state's public health staff, it reported that it has had limited success influencing the state's public health policies.

#### Developing specialised gambling mental health support

The Foundation funds Alfred Health's Mental Health and Gambling Harm Program to provide specialised support for gamblers with co-occurring mental illnesses. While this is a positive step, the Foundation has limited capacity to understand whether the program has achieved its intended impact, as its performance indicators are output-based. Further, the Foundation does not have a plan, outside of this program, to establish a sector-wide referral process to link Gambler's Help Local clients with existing statewide mental health services.

A **co-occurring condition** is one that is experienced alongside another issue For example, people who experience gambling harm may also experience mental illness, homelessness or family violence at the same time

#### Managing performance and client outcomes

The Foundation collects extensive service performance data on its Gambler's Help treatment streams. However, it has not used the data to determine whether services are meeting client needs or to better integrate its three Gambler's Help service streams. For example, better use of the data should inform the Foundation:

- about how many clients disengage with Gambler's Help services after they first contact Gambler's Helpline and why
- on whether its promotion or prevention programs have increased awareness of Gambler's Help services.

Our analysis of the service data found that many clients have shown slight improvement in their health and wellbeing after treatment based on the measures. However, the degree of improvement did not appear to depend on the amount of counselling received.

This shows that the Foundation is missing opportunities to analyse its existing data to understand how it could improve its service delivery and outcomes for its clients.

#### Recommendations about programs to treat gambling harm

We recommend that:			Response
Victorian Responsible Gambling Foundation	6.	establish client information sharing and referral protocols between different treatment service options, including between:	Accepted
		<ul> <li>Gambling Help Online and all other Gambler's Help services (see Section 4.2)</li> </ul>	
		<ul> <li>the local Gambler's Help services and peer support options (see Section 4.2)</li> </ul>	
		<ul> <li>the Gambler's Helpline and Gambling Help Online services and programs for Aboriginal and Torres Strait Islander peoples and culturally and linguistically diverse communities, where appropriate (see Section 4.3)</li> </ul>	
	7.	as part of the evaluation and outcomes framework outlined in recommendation 3,	Accepted
		<ul> <li>complete a strategic review of its core service datasets to identify deficiencies or quality issues that limit the usefulness of this data (see Sections 4.2, 4.3 and 4.6)</li> </ul>	
		<ul> <li>further develop client-level outcome measures with the Gambler's Help service sector so that they measure efficacy and are fit for purpose (see Section 4.6).</li> </ul>	
Victorian Responsible Gambling Foundation, the Department of Justice and Community Safety, the Department of Health and the Department of Families, Fairness and Housing	8.	establish systems and protocols for gamblers with co-occurring conditions that enable the Foundation and its funded entities to undertake holistic screening assessments and refer cases to appropriate services across mental health, alcohol and other drugs, homelessness and family violence services (see Sections 4.4 and 4.5).	Accepted by all

# 1

# Audit context

Gambling is common and socially accepted in Victoria; around 70 per cent of Victorian adults gamble. It is also the fifth highest source of state taxation revenue, worth over \$1.7 billion in 2019–20.

However, gambling harm costs Victorians around \$7 billion a year. The highest costs are associated with family and relationship problems, followed by emotional and psychological issues, and then financial losses.

To address harm from gambling, the Foundation began operations in 2012 under the *Victorian Responsible Gambling Foundation Act 2011*.

#### This chapter provides essential background information about:

- Gambling in Victoria
- · Gambling harm and problem gambling
- A public health approach to gambling harm
- The Foundation and its strategies
- The Foundation's programs and services

#### 1.1 Gambling in Victoria

#### Types and methods of gambling

Adults can gamble on a range of legal gambling products, in person and online. These include:

- electronic gaming machines or pokies
- casino table games
- betting
- · lotteries and raffles
- · bingo.

The Foundation's research, conducted in 2018–19, found about 70 per cent of Victorian adults participated in some form of gambling in the prior 12 months. Almost 20 per cent of Victorian adults have gambled online. Online gambling has increased rapidly. It is especially popular with people who bet on sports, with 71 per cent of sports betting taking place online.

Figure 1A shows losses by gambling product between 2007–08 and 2017–18. While pokies still result in the greatest losses—\$2.7 billion in 2017–18—sports betting losses have risen by about 835 per cent in that time, from \$39.8 million to \$371.7 million.

However, this figure does not include losses recorded at online bookmakers licenced outside Victoria. As a result, actual losses from sports betting are likely to be much higher.

Gambling losses (\$ million)
3 000
2 500
1 500
1 000
500
0 2007-08 2008-09 2009-10 2010-11 2011-12 2012-13 2013-14 2014-15 2015-16 2016-17 2017-18
Pokies Casino Lotteries Race betting Sports betting

FIGURE 1A: Gambling losses by gambling product 2007-08 to 2017-18, Victoria

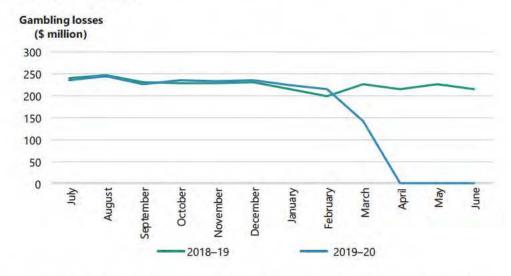
Source: VAGO, based on Queensland Government Statistician's Office, Queensland Treasury, Australian Gambling Statistics, 35th edition.

The closure of gambling venues from March to October 2020 due to the COVID-19 pandemic had a significant impact on gambling losses. Figure 1B shows that

compared to 2018–19, Victorians spent \$710 million less on electronic gaming machines.

However, reported revenue from major gambling companies shows that spending on online betting increased. One major sports betting company reported that its Australian net online revenue was up 45 per cent in the first half of the year.

FIGURE 1B: Monthly electronic gaming machines gambling losses in Victoria, July 2018 to June 2020



Source: VAGO, based on Victorian Commission for Gambling and Liquor Regulation, Current gaming expenditure by local government area – monthly, published July 2020.

#### 1.2 Gambling harm

Gambling harm refers to any negative consequence caused, or made worse, by gambling.

#### Problem gambling

Gambling harm is usually linked to problem gambling, which is characterised by difficulties in limiting money or time spent on gambling.

To assess the risk and prevalence of problem gambling, researchers use the Problem Gambling Severity Index (PGSI), which is a standardised measure of at–risk behaviour.

If the PGSI score is	Person is categorised as	For example
0	Non-problem gambler	A person's gambling has no negative consequences.
1-2	Low-risk gambler	They may very occasionally spend over their limit or feel guilty about their gambling.
3-7	Moderate-risk gambler	They may sometimes spend more than they can afford, lose track of time, or feel guilty about their gambling.
8 or above	Problem gambler	They may often spend over their limit, gamble to win back money and feel stressed about their gambling.

The Foundation has provided a PGSI that people can use to self-assess their level of risk. We include this tool in Appendix E.

#### Gambling harm

Gambling harm is not limited to those who gamble at risky levels as assessed by the PGSI. It can affect the family or friends of those who gamble, and the wider community.

Research funded by the Foundation identified seven types of gambling harm:

- relationship difficulties
- health problems
- · emotional or psychological distress
- · financial problems
- · issues with work or study
- cultural problems
- · criminal activity.

This research, based on regression analysis, found that most gambling harm (50.2 per cent) is related to low-risk gambling behaviour, rather than problem gambling (15.2 per cent). Relationship harm is the most common type of harm from gambling. It makes up a quarter of all gambling harm experienced by Victorians. Other significant harms are to health (21 per cent), emotional or psychological distress (18.6 per cent), followed by harm from financial losses (15.6 per cent).

Regression analysis is a statistical process that estimates or predicts the relationships between a dependent variable (such as gambling harm) and one or more independent variables (relationship problem, health, emotional stress, and financial losses)

#### Health and gambling

Research shows that people experiencing gambling harm often have co-occurring conditions, such as:

- mental illness—about 40 per cent of problem gamblers also have a diagnosed mental health condition
- alcohol and other drug use—about 65 per cent of problem gamblers consume excessive alcohol
- family violence
- homelessness
- financial hardship
- legal problems
- unemployment
- a relationship breakdown.

#### 1.3 A public health approach to gambling harm

In 2010, the Productivity Commission recommended that governments continue to provide support to individuals who gamble, but also take a broader, public health approach to addressing gambling harm.

Public health approaches to gambling aim to:

- concentrate on building a well-functioning community, rather than focus on only the individuals who experience harm
- prevent the harms associated with gambling from occurring, rather than focus only on treating individuals with gambling problems when the problems have occurred.

As shown in Figure 1C, the World Health Organization's framework for developing public health interventions involves four key steps.

1. Surveillance What is the problem? 2. 4. Scaling up Identify risk and effective policy protective factors and programs What are the causes? 3. Develop and evaluate interventions What works and for whom?

FIGURE 1C: The steps of a public health approach to interventions

Source: VAGO, based on the World Heath Organization.

#### 1.4 The Victorian Responsible Gambling Foundation

Established in 2012 under the *Victorian Responsible Gambling Foundation Act 2011* (the Act), the Foundation is a statutory authority to address gambling harm in the Victorian community.

#### Responsibilities and alignment with other authorities

The Act requires the Foundation to:

- reduce the prevalence of problem gambling
- · reduce the severity of harm related to gambling
- foster responsible gambling.

The Foundation does not regulate gambling activity in Victoria. State and federal government agencies share gambling regulation and policy development:

- The Victorian Commission for Gambling and Liquor Regulation regulates gambling through licensing and compliance activities.
- The Department of Justice and Community Safety (DJCS) develops policy for the gambling and racing industries and advises the Minister for Consumer Affairs, Gaming and Liquor Regulation.
- The Australian Government regulates online gambling and gambling advertising during live sports broadcasts.

#### The Ottawa Charter for Health Promotion

Over the past eight years, the Foundation has evolved its approach to gambling harm to align with principles of the *Ottawa Charter for Health Promotion* (the charter), which is an internationally recognised framework by the World Health Organization.

The charter recommends that public health initiatives should prioritise action in the following domains:

- building healthy public policy
- · creating supportive environments
- · strengthening community action/capacity building
- · developing personal skills
- · reorienting health services toward prevention of illness.

The Foundation still delivers treatment services for people who experience gambling harm, but it has reshaped its programs and strategic priorities to focus more on prevention and early intervention.

#### The Foundation's funding and strategic priorities

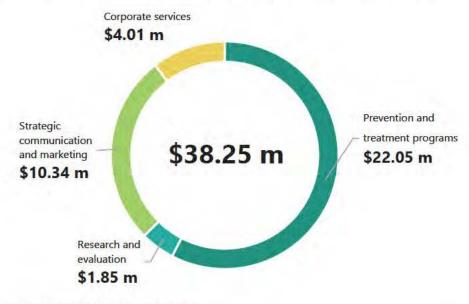
The Victorian Government funds the Foundation on a four-year cycle from the Community Support Fund, which is generated from revenue from electronic gaming machines in hotels. The 2018–19 state budget allocated \$153 million over four years to the Foundation. This was a \$3 million increase on the Foundation's previous funding cycle, designed to support programs for harm prevention.

In 2018, the Foundation released its first three-year plan, setting out its strategic priorities for 2018–21:

- A public health approach to prevent gambling harm.
- A partnerships approach to improve community health and wellbeing.
- A collaborative approach to build itself as a centre of expertise to deliver its mission for all Victorians.

Figure 1D shows the Foundation's 2020–21 budget allocations against its main business functions.

FIGURE 1D: The Foundation's budget allocation by business function, 2020–21



Source: VAGO, based on the Foundation's information.

This budget allocation can also be broken down between prevention and treatment streams, which combines program delivery and communication and marketing spend. In 2020–21, this totalled \$30.76 million, as follows:

Stream	Funding in 2020–21	Aims to
Prevention programs	\$15.82 million	<ul> <li>Raise public awareness of gambling harm</li> <li>Promote its Gambler's Help services</li> <li>Attempt to prevent people in at-risk groups from developing problematic gambling behaviour</li> </ul>
Treatment and support services	\$14.94 million	<ul> <li>Assist people who already experience gambling harm</li> </ul>

#### 1.5 Prevention programs

The Foundation's prevention programs target different groups as follows:

Prevention program	Targeting	Is delivered by	Providing
School education program	secondary school students across Victoria	Gambler's Help Local	<ul> <li>face-to-face workshops aimed at raising awareness of gambling harm against the normalisation of gambling among adolescents</li> <li>resources for teachers</li> </ul>
			designed to link with the year 10–12 curriculum
Venue support program	staff at gambling venues, such as pubs and hotels	Gambler's Help Local	training modules that help venue staff to better identify and support clients who experience gambling harm
The prevention partnerships program	at-risk community groups, such as migrants, refugees	community organisations	<ul><li>pilot prevention activities</li><li>primarily educational and awareness-raising activities</li></ul>
The strategic partnership initiatives			
Community engagement program	locally identified at-risk groups, such as migrant youth and CALD groups	Gambler's Help Local	locally identified prevention activities, primarily awareness-raising
Local sporting clubs program Elite sporting clubs partnerships	young players and their key influencers in community sport settings the wider community, including fans and their families	the Foundation's contracted provider	awareness-raising activities and community engagement to promote the key message of 'Love the Game, Not the Odds' to counter the normalisation of sports gambling in young people
<ul> <li>Media campaigns:</li> <li>Gambling harm campaign</li> <li>100 Day Challenge</li> <li>'Love the Game' during live sport events</li> </ul>	all Victorians	the Foundation	awareness-raising of gambling harm and messages to promote possible behavioural changes

We assess the Foundation's prevention programs in Chapter 3.

#### 1.6 Treatment and support services

The Foundation's treatment and support services involve both therapeutic and financial counselling:

- Therapeutic counselling aims to support clients by changing the way they think about gambling, such as helping clients to understand what triggers them to gamble and how to resist the urge.
- Financial counselling aims to help clients improve their financial situation when they experience financial hardships from gambling.

The Foundation's key treatment and support services are as follows.

Service	Is delivered by	Providing
Gambler's Help Local	11 contracted service providers	face-to-face therapeutic and financial counselling across 100 locations in 15 catchment areas
Gambling Help Online and Gambler's Helpline	contracted provider Turning Point	telephone hotlines, online self-help tools, online chats, email and online peer-to-peer support 24-hours, seven days a week
*Peer-to-peer support service	people with lived experience of gambling harm	non-crisis, confidential support across the broader Victorian community and in language to the Chinese community
*Targeted services for Aboriginal and Torres Strait Islander peoples and for CALD communities	four Aboriginal communities' Community Controlled Health Organisations in Melbourne, Bairnsdale, Shepparton and Mildura	the Aboriginal Communities' Gambling Awareness Program
	five established ethnic community organisations	a mix of prevention and treatment services to Chinese, Vietnamese and Arabic–speaking communities
*Specialist mental health program	Alfred Health	a combination of brief case assessment and review to Gambler's Help clients provided by qualified mental health specialists

Note: All services are available across Victoria except for the services marked with \*.

We discuss the treatment and support services in Chapter 4.

2.

# Understanding gambling harm

#### Conclusion

The Foundation has developed an extensive research base to support its work. Through its research it has identified the groups most at risk of experiencing gambling harm and understands how gambling can co-occur with other health problems.

However, the Foundation does not always apply research findings in its service design. This means it is not realising the benefits of its research investment and is missing opportunities to improve its services and programs to best meet the needs of at-risk groups.

#### This chapter discusses:

- Understanding gambling harm
- Applying gambling research to program design

#### 2.1 Understanding gambling and gambling harm

As part of its shift from just focusing on treating people with gambling problems to a broader public health approach, in 2013 the Foundation funded research to better understand how it could prevent and reduce gambling harm. This research supports the Foundation's increased focus on prevention efforts targeting the whole community, and early intervention initiatives for people at low and moderate risk of experiencing gambling harm.

#### The Foundation's research program

Since 2014, the Foundation has awarded 50 research grants. Grant recipients have published 40 peer-reviewed reports on a wide range of topics, including the:

- risk factors contributing to groups being at risk of experiencing gambling harm
- social and cultural factors that shape attitudes, beliefs, and behaviours about gambling among demographic groups
- impact of new gambling products, including of online gambling on young people
- normalisation of gambling across the community through sports betting.

#### Population-level studies on gambling behaviour

In 2014 and 2018 the Foundation funded population surveys of gambling behaviour in Victoria. Its publication *Victorian Population Gambling and Health Study 2018–2019* uses the 2018 survey results. The study found that the most harmful gambling forms are:

- electronic gaming machines
- online wagering
- · casino table games
- Keno

In Victoria, most gambling harm (65.9 per cent) occurred from these products.

The study also found that many factors contribute to gambling harm, such as individual circumstances, environmental and cultural factors. It clearly identified that people categorised as moderate and problem gamblers are more likely to be:

- · people with mental illnesses
- young people, particularly males aged 18 to 24 years old
- Aboriginal and Torres Strait Islander peoples
- people from CALD communities
- people who are socially and culturally isolated, including those living in regional and rural areas.

The Foundation has developed and funded programs targeted to the needs of these cohorts. For example:

- five ethnic community organisations deliver Gambler's Help and awareness-raising programs in Chinese, Vietnamese and Arabic
- four Aboriginal Community Controlled Health Organisations deliver the Aboriginal Communities' Gambling Awareness Program

The Victorian Population Gambling and Health Study 2018–2019 involved a mobile phone and landline survey of 10 638 randomly selected Victorian adults conducted in 2018–19  the Foundation's school education and sporting clubs programs target young people for early intervention.

#### Research on defining gambling harm

In 2016, the Foundation released world-first academic research into gambling-related harm and the factors that contribute to it. The Foundation used this to shape its approach to gambling as a public health issue.

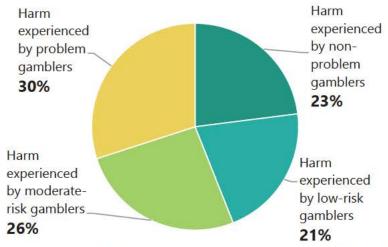
The research found that gambling harms are diverse and affect many more people than just those engaged in problem gambling. The research findings included that:

- · more than half a million Victorians experience harm from gambling each year
- for every individual who experiences severe gambling harm, up to six other people are affected, including family members and friends
- 85 per cent of the gambling harm in Victoria is experienced by people in the low and moderate-risk groups for problem gambling
- gambling harm is not limited to financial losses. A quarter of all gambling harm involves relationship issues.

Also, in 2018–19 it found that 70 per cent of gambling harm is experienced by people whose behaviour is not classified as problem gambling. Figure 2A shows this.

The research findings support the Foundation's shift to a public health approach. Since 2014, the Foundation has increased funding allocation to prevention programs, expanded from the initial venue support and school education programs to a wide range of prevention programs, such as its community-based prevention partnership programs.

FIGURE 2A: Proportion of Victorian population experiencing gambling-related harm by PGSI status, 2018–19



Source: The Foundation, based on Rockloff et al (2020), Victorian Population Gambling and Health Study 2018–2019.

#### Research on policy and environmental factors

Public health research aims to identify ways to reduce harm that go beyond raising awareness or treating individuals, such as how government policy can influence the industries and social environment to prevent harms.

The Foundation has commissioned and published several public health research projects exploring the broader environmental and contextual factors that contribute to gambling harm. Since 2014, it has researched the impact of gambling marketing methods on at-risk groups. In response, the Foundation developed a suite of programs targeting the normalisation of sports betting among young people.

#### Public policy development

It is the role of DJCS—not the Foundation—to advise government on gambling policy. However, the Foundation can contribute to better public policy development by providing evidence to departments such as DJCS and the Department of Health, and government. This is because it has a unique advantage through its research and prevention programs to understand how gambling harm affects the broader community.

The Foundation has contributed to public inquiries and provided advice to government. This includes advice to the Victorian and Australian governments on gambling operations, such as its submissions to Victoria's royal commissions into family violence and mental health.

In June 2019, the Foundation published an exploratory report on the effectiveness of policy interventions on minimising harm from alcohol and tobacco, and how this might help address gambling harm. In April 2020, the Foundation provided advice to government based on this research in relation to policy opportunities for venues reopening post closures related to the COVID-19 pandemic.

#### Research into treatment and support services

The Foundation's treatment and support services remain its single largest program by funding, making up \$14.94 million in 2020–21. However, since 2015, the Foundation has not prioritised funding research into the efficacy of different treatment and support approaches.

In 2013, the Foundation decided that research on the effectiveness of clinical treatment was not cost–effective and did not align with its new public health approach focused on prevention. As a result, the Foundation only funded limited research into parts of their treatment services.

This means, for example, it does not know how effective:

- · its various service streams are in overcoming client stigma
- Gambler's Help services are at supporting people with co-occurring health problems.

Foundation-funded research shows both issues are critical in reducing the severity of gambling harm.

As a result, the Foundation has missed the opportunity to better understand the efficacy of its treatment services, as we discuss further in Chapter 4.

#### 2.2 **Applying research**

The Foundation is not maximising the value of its funded research by using it to inform the design of its programs and services, such as in better targeting its programs to the risk factors affecting gambling harm.

The Foundation has published and distributed its research through its website and newsletters to Gambler's Help providers. Through its gambling harm conferences, it has also successfully promoted gambling harm as a broader public health issue. For example, in 2018, 203 of the total 400 conference attendees were outside of its funded organisations for Gambler's Help.

However, the Foundation does not consider that it is its role to embed research findings into service design and delivery. It believes that is the role of individual service providers.

The Foundation's mandate is to reduce gambling harm. Being the only funder for Gambler's Help services, it is accountable for continuously improving the efficacy of funded programs and service, such as by incorporating better practices identified through research and evaluation.

The Foundation's programs and services do broadly target groups that research identifies as having a high risk of experiencing or developing gambling harm. However, the link between the research evidence and the Foundation's program/service design is not always clear. We discuss two examples below.

#### Applying stigma research to service design

The social stigma associated with gambling prevents many individuals from using support services. The Foundation has funded research that identified ways to reduce stigma, but it has not used this research to reduce access barriers for people seeking treatment.

In 2015, Foundation-funded research found that only 22 per cent of problem gamblers seek help. For people experiencing moderate harm from gambling, the rate drops to 2 per cent.

Foundation-funded research in 2016 suggests the stigma associated with gambling is stronger than that associated with mental illness or drug and alcohol issues. This means that it is essential that the Foundation addresses this barrier to ensure it can effectively engage with and support clients to maximise their chance of recovery.

Evidence suggests that there are a range of responses the Foundation could implement to address stigma and improve service access.

People exposed to stigma and seeking help	This means treatment services need to	However, the Foundation has not
need to feel supported on first contact with treatment services. The Foundation's research shows that arranging access to treatment within 72 hours of a client's first contact boosts their engagement with services.	engage clients at the first point of contact and help them transition to other service streams as smoothly as possible.	incorporated its research into its service design to make sure clients can access treatment and have a referral to Gambler's Help Local within 72 hours of first contact.
need to feel they can trust and have rapport with service providers.	show they understand the client's experience, such as by providing peer support to sustain engagement and ongoing support.	integrated peer support as a viable statewide service option.
need to be able to reach out to appropriate services when they want to seek help, at anytime and anywhere.	provide flexible solutions that are available 24 hours, seven days a week, such as Gambler's Helpline/Gambling Help Online.	required Gambler's Help Local to refer clients to its flexible services such as Gambler's Helpline or Gambling Help Online, nor further developed its peer support program to address stigma issues.

Despite the research finding that client engagement is better within the first 72 hours, the Foundation only requires Gambler's Help Local providers to contact the client within five business days after Gambler's Helpline refers a client to a provider. The Foundation has not acted to require Gambler's Help Local providers to contact clients sooner and consequently may be missing out on opportunities to better engage clients.

The Foundation's *Our strategic priorities 2018–21* includes a commitment to developing a strategy to address the stigma related to gambling harm. The Foundation initially intended that this would be a 10-year standalone strategy. After completing a review of gambling-related stigma in 2019, it no longer intends to do this, as addressing stigma is an integrated part of its current activities.

The Foundation's approach brings together existing components across its communications, research and program delivery to address stigma on an ongoing basis. However, there is no detailed work plan or outcomes from this approach. This means we cannot conclude whether this approach will be effective in reducing stigma and improving support for people experiencing gambling harm.

The Foundation will also include stigma reduction as part of the next three-year business strategy, due to start in July 2021.

#### Screening for people with co-occurring conditions

While the Foundation has piloted tools to support early identification of gamblers experiencing mental health issues, it has not rolled these out in mental health services.

The Foundation funded the development of a:

- self-assessment tool for mainstream health agencies in 2014, which the Foundation reported as useful in 2016
- short screening tool in 2017 to assist general practitioners and mental health services to identify problem gambling in clients and to refer them to Gambler's Help Local at an earlier stage.

The Foundation evaluated the self-assessment tool as being beneficial and promoted it at its 2016 and 2018 conferences, as well as through its professional development activities. However, it has not sought to support the rollout of these tools more broadly in mainstream health services.

# 3.

# Preventing gambling harm

#### **Conclusion**

The Foundation has developed a range of programs and pilot initiatives that aim to prevent gambling harm. However, the Foundation does not know if it is preventing gambling harm, as its program evaluations focus on outputs rather than on outcomes and impacts. This makes it difficult for the Foundation to understand what makes a program effective and to identify which pilot initiatives to scale up.

In addition, the Foundation does not have an overarching prevention strategy to guide its work and measure its impact.

#### This chapter discusses

- The Foundation's approach to developing prevention programs
- Impact of prevention programs
- Prevention strategy and outcomes framework
- Capacity building of communities to prevent gambling harm

#### 3.1 **Developing prevention programs**

As discussed in Section 1.5, the Foundation's prevention programs consist of education and awareness programs targeting specific settings such as schools, gambling venues and sporting clubs, as well as population—based media campaigns. In addition, community groups and Gambler's Help services deliver the Foundation's suite of community prevention partnership programs to raise awareness of gambling harm in at-risk groups such as migrants and CALD communities. In 2020–21, the Foundation allocated \$15.82 million to these programs.

#### **Designing prevention programs**

Public health involves developing and evaluating interventions, as shown in Figure 1C. A well-designed prevention program needs to:

- clearly identify the problem, including at-risk groups that may have or will experience the problem
- define risk factors or protective factors, and design prevention programs to influence these factors, which is assessed through program evaluation
- have clear program aims and key outcome indicators or proxy measures to monitor the intended impact.

Except for the programs targeting sports betting described in Section 1.5, most of the Foundation's preventative programs do not align with these principles because they do not address the underlying risk factors or have clear outcome indicators.

#### Targeting at-risk groups

The Foundation's programs broadly target the at-risk groups identified in its research as needing support.

The prevention partnership programs reach target groups because they are delivered by community organisations that are already established within targeted communities.

Since its launch in 2014, the sporting clubs program has targeted young adults over sports betting. It operates in over 300 local and elite sporting clubs, such as the Australian Football League in Victoria and Football Victoria. The elite clubs stream encourages its member sporting clubs to reduce their reliance on gambling sponsorship and electronic gaming machine revenue.

While the sporting clubs program targets young adults, the program's evaluation in 2017 found it needed to target younger cohorts of 12–17 years old. The Foundation launched the redesigned program in 2019. In the redesign, the Foundation incorporated recommendations to:

- target younger people (12–17 years)—through engaging with the junior players in the sporting clubs
- improve program planning and integration between both local and elite clubs with consistent branding and messaging.

The changes should help the sporting clubs program to become more targeted, integrated, and strategic at preventing gambling from being a normalised activity.

#### Addressing risk factors

The Foundation's prevention programs, such as the venue support program, school education program and prevention partnership program, do not clearly identify how the program activities are designed to impact identified risk or protective factors. Rather, they are about raising awareness that gambling is harmful. A 2013 Foundation evaluation concluded that general awareness raising is not effective at changing attitudes and behaviours in the long term.

Only the sporting clubs and the media campaign programs have clear aims to address the underlying risk factors. For example, targeting sports betting is strategic, given its rise in popularity. The programs directly aim to address the risk of normalising gambling through betting on sports.

#### Having clear aims and outcome indicators

The Foundation's prevention programs are varied, as listed in Section 1.5:

- school education program
- venue support program
- community prevention programs for vulnerable groups including migrants and refugees (Gambler's Help for at-risk communities)
- the sporting clubs programs covering both local and elite sporting clubs
- media campaigns.

Apart from the sporting clubs and media campaign programs, the rest of the Foundation's prevention programs do not have clear objectives or outcome indicators. As such, the Foundation's program evaluations focus on outputs rather than outcomes and the Foundation has little knowledge about whether its programs and services are effective in preventing or reducing gambling harm.

Figure 3A shows an example of the output monitoring for the longstanding venue support and school education programs.

FIGURE 3A: Venue support and school education programs output monitoring

Program	Activity	Output in 2018–19
Venue support	<ul> <li>Deliver Responsible Service of Gaming training to gambling venue staff (since 2017)</li> </ul>	offered training at 452 gambling venues
	Promote Gambler's Help services	• 1 563 sessions run
	Refer problem gamblers to self-exclusion programs	
School education	Aims to challenge the normalisation of gambling among adolescents by:  • running face-to-face educational workshops	<ul><li>127 high schools involved</li><li>254 workshops delivered</li></ul>
	<ul> <li>providing teaching resources for teachers designed to link with the years 10–12 curriculum.</li> </ul>	

Note: A self-exclusion program allows a person to ban themselves from gambling venues such as pubs, clubs and bookmakers. If venue staff see a person in the gaming area of their venue who has excluded themselves, they will report them to the program and ask them to leave. It is also possible to self-exclude from gambling websites.

Source: VAGO, based on the Foundation's information.

#### 3.2 Impact of prevention programs

The Foundation has evaluated all its major prevention programs and activities since 2015.

#### Venue support program

A 2018 program review found venue staff training has improved the awareness of, and confidence in, venue staff to recognise and interact with individuals experiencing gambling problems.

The Foundation has not evaluated if the program is reducing harm. It does not know if the venue support program has increased the number of referrals to the self-exclusion program or to the Gambler's Help programs, which is one of its program objectives.

#### School education program

A 2019 program review recommended that the Foundation, among other things:

- · expand online resources instead of only using face-to-face delivery
- target younger age groups
- · measure program impact through ongoing monitoring and evaluation.

The Foundation intends to update key elements of the program and develop a longer-term strategy on youth and gambling harm. However, it has not provided a plan or timeline on how it will implement the above recommendations.

#### Impact of media campaigns

It is difficult to change attitudes and behaviours using short media campaigns, and the Foundation cannot match the advertising spend of the gambling industry (Figure 3B).

In 2019, the gambling industry spent \$70 million promoting gambling in sporting events in Victoria. In contrast, the Foundation allocated \$2.62 million in its 2019–20 budget for media campaigns during live sports.

The Foundation advised us that population level behaviour change requires:

- a sustained level of mass media activity over time
- a broader response that includes local program and service delivery, policy and advocacy and other community interventions.

However, while these observations may be true, without a strategic framework within which to assess the most effective levers for behavioural changes, the Foundation does not know how to best achieve population-level changes.

FIGURE 3B: Case study—Love the Game media campaign

During the 2018 Australian Football League finals, the Foundation ran a 'Love the Game' media campaign.

The campaign ran from 26 August to 30 September 2018, a time when both viewership and sports betting advertising were particularly high.

The Foundation spent \$887 896 on the campaign and advertised on television, radio and digital channels. Its evaluation found that:

- it reached 49 per cent of Victorians aged 30 to 55, including 51 per cent of the primary target audience (parents of 12 to 17-year-olds)
- · television was the main source of exposure
- 'there was some gradual decrease in favourable attitudes to parents' role in talking to their children', indicating that the effectiveness of the campaign message waned over time.



Source: VAGO, based on the Foundation's information. Photographs courtesy of the Foundation.

#### 3.3 Prevention strategy and outcomes framework

A good prevention strategy helps an organisation, its partners and the public to understand what its prevention goals are, what steps it will take to achieve them, and how its prevention programs contribute to this.

A 2016 evaluation of the Foundation's prevention partnership programs identified the need for a prevention strategy. A longer-term prevention strategy would help the Foundation plan beyond its four-year funding cycle, to guide how it funds and evaluates prevention projects against overall prevention goals. This is necessary for the Foundation to know whether its wideranging prevention activities are achieving its overall goal of preventing gambling harm.

The Foundation has no outcome-based framework that sets out how to measure if prevention programs and treatment services are influencing the risk factors.

The Foundation has been slow to develop a outcomes framework, despite three organisational reviews pointing to such a need, one in 2014 and two in 2018. The Foundation advised us that it is difficult to measure the effectiveness of public health interventions, particularly as public health approaches to gambling are relatively new. However, other organisations—such as VicHealth—have evaluated public health approaches to tobacco, alcohol and other drugs, and health promotion for some time.

In addition, the Foundation has lacked a coordinated process to build learnings and better practices from the pilots to program development. In the absence of an outcome-based framework, the Foundation's implementation of its research and evaluation findings depends more on its budget and the priorities of individual staff members than on an overall strategy.

#### **Next steps**

The Foundation has recognised that its performance management framework is inadequate for assessing the impact of its programs and services against its legislative mandate and strategic priorities.

It is in the early stages of improving how it evaluates its prevention partnership programs. The Foundation's funding agreements require recipients to work with the Foundation to develop a program logic, which outlines the project objectives and outcomes. The revised evaluation includes a set of standard questionnaires that measure changes in participants' awareness, attitudes and behaviours before and after attending the community prevention activities. It first applied this approach in August 2020 to evaluate these prevention partnership programs.

While this is a step in the right direction, the Foundation needs to link these short-term, program-based outcome measures with medium and long-term ones so that it can meaningfully measure the full impact of its prevention work. This will help it to make strategic decisions about which programs to fund or expand.

#### 3.4 Building community capacity to prevent harm

Since 2014, the Foundation's largest investment in prevention initiatives is its suite of community partnership prevention programs. In 2020–21 the Foundation allocated \$5.23 million, up from \$2.2 million when it launched in 2014.

The Foundation's community prevention partnership programs aim to build the funded organisations' capacity to take actions against gambling harm. This aligns with the principles of the *Ottawa Charter for Health Promotion*. However, the Foundation has failed to actively help capacity building by not providing adequate:

- guidance
- resources
- opportunities for these groups to share lessons learnt across similar projects.

The Foundation has invested in about 70 projects since 2014 and evaluated most of these. However, the Foundation does not have a systematic process to apply

project-level learnings to guide further program development. This means that it does not have a clear understanding of what has worked. It also does not know what is needed to build the capacity of community groups to prevent gambling harm.

#### Communities of practice and sector development hub

The Foundation does not have a sector-level process to identify, share and promote practice improvements within funded organisations.

Each Gambler's Help Local service operates independently. This makes it challenging for the Foundation to promote continuous improvement among providers.

The Foundation's 2016 and 2018 evaluations of the community prevention partnership programs recommended a community of practice for prevention.

In late 2019, the Foundation realigned the purpose of its professional training hub known as the sector development hub. It aims to create greater opportunities for collaboration:

- · between prevention partners from different programs
- between therapeutic and financial counselling staff from Gambler's Help.

The Foundation conducts a range of activities to engage with funded partners and to disseminate information, including training sessions and quarterly peer networking forums for funded partners.

In May 2020, the Foundation helped establish two communities of practice on youth and CALD issues. These are open to staff from all funded organisations and are to meet at least monthly. The Foundation advised us that it intends to play an observational and supportive role in how the communities of practice operate. It intends for them to be largely organised and governed by community organisations.

While communities of practice may provide opportunities for staff from funded programs to learn from their peers, only the Foundation can play a statewide sector development role. Based on the minutes of meetings, community of practice forums have mostly been information sharing sessions. They are at risk of operating without strategic direction and may not provide sector-wide improvements without the Foundation's leadership.

The Foundation advised us that despite offering professional development opportunities and training, it does not consider its role to provide leadership to the gambling counselling sector. It views this as the role of a peak body or organisation for gambling counsellors. However, in the absence of a peak body, and given that Gambler's Help Local services are the primary employer for counsellors working in the gambling sector, the Foundation needs to play a leadership role to achieve its legislative mandate.

4

# Treating gambling harm

#### **Conclusion**

While Gambler's Help providers know that they have helped some individuals, the Foundation does not know whether its treatment services are effective overall. This is because it does not have an outcome-based framework to monitor service performance.

Although the Foundation collects extensive service data, it has not used this strategically to determine whether services are meeting client needs or improving access.

In addition, the Foundation has not used its role as service funder to lead sector-wide improvements. While it has funded pilot programs and research on gambling treatment, it has not scaled up these initiatives across the state.

#### This chapter discusses:

- Outcome-based performance management
- Providing service choices for clients
- Specific programs for at-risk groups
- Supporting people with co-occurring conditions
- Treatment performance and outcomes

#### 4.1 Outcome-based performance management

Over the past eight years, the Foundation has developed tailored responses to better meet the needs of specific at-risk groups. These include programs for:

- Aboriginal and Torres Strait Islander peoples
- CALD communities
- people experiencing gambling harm who also suffer from co-occurring conditions, particularly mental illnesses.

Similar to the prevention services, all treatment programs have output-based performance indicators, such as the number of counselling hours delivered. Gambler's Help Local has client-level outcomes measures, such as indicators of a client's mental health status. However, the response rates for the client-based outcomes data have been low. This means the Foundation has limited ability to understand whether these programs are reducing gambling harm.

Despite having collected extensive service data, the Foundation has not used this strategically to inform its service performance. As a result, the Foundation does not know whether its treatment services are effective overall.

#### 4.2 Providing service choices for clients

The three main service streams— Gambler's Help Local, Gambler's Helpline and Gambling Help Online— remain largely independent from each other. This means that clients cannot move easily between them to access support in a way that best meets their needs.

This is despite a 2013 service review by the Foundation pointing to the need to integrate the three streams to provide a seamless service for people seeking help.

In 2015, the Foundation developed GH Connect to record client information centrally. Gambler's Helpline and Gambler's Help Local can now share client information. However, Gambling Help Online is a national service that uses a different system and remains disconnected from other Gambler's Help services.

The Foundation advised us that it is working with the other states and territories to integrate the online and Victorian systems.

#### Responding to client needs

The Foundation has not systematically tested the efficacy of its service streams in meeting clients' needs.

The Foundation's approach to treatment assumes that clients prefer face-to-face counselling, and that telephone and online services are for brief interventions or providing information.

**GH Connect** is the Foundation's web–based data entry and reporting system

However, the Foundation's existing service data suggests that Gambling Help Online and Gambler's Helpline have some advantages over Gamblers Help Local. They offer services:

- · at all hours
- · remotely, where face-to-face services are not easily accessible
- that avoid the stigma that may be stronger when one has to face another person.

For example, national data from Gambling Help Online in 2019–20 demonstrated 36.1 per cent of service usage occurred between 7 pm and midnight, outside of traditional office hours.

Gambler's Help Local services ask clients about their access preferences—such as the suitability of their opening hours and the accessibility of the local offices. However, these services are not required to ask whether clients prefer services delivered online or by telephone.

We interviewed managers of four Gambler's Help Local providers who confirmed that they do not refer clients to telephone and online services even where it may better suit them, such as those needing to access support after hours or from a distance.

#### Testing telehealth treatment services

During the COVID–19 pandemic, the Foundation has supported Gambler's Help Local to transition face-to-face counselling services to telehealth. Some providers have also shifted their service times beyond the traditional 9 am to 5 pm offering.

During the pandemic period, Gambler's Help services delivered more counselling hours. The Foundation reported that, despite a reduction in the number of new referrals, Gamblers' Help Local services delivered an increased number of counselling hours in the last quarter of 2019–20. The Foundation achieved 99 per cent of its annual target for counselling hours delivered, its best result ever.

The Foundation is working with Gambler's Help services to include telehealth as a standard flexible service delivery offering. It is setting up a telehealth community of practice in early 2021 to help it define what the service will be. This is a positive step.

#### Integrating peer support from people with lived experience

Since 2015, the Foundation has funded several local peer support programs and initiatives, as shown in Figure 4A. The Foundation has missed the opportunity to 'scale up' effective programs to provide a statewide peer support service.

FIGURE 4A: Summary of Foundation-funded programs offering peer support

Peer support program	Program nature	Availability
Peer Connections Program	Volunteer–based telephone peer support service delivered by Banyule Community Health Service	Marketed to statewide clients, but awareness of the service and access is limited
Face-to-face peer support	Pilot in 2018 funded by the Foundation and delivered by Banyule Community Health Service	Initiated when a client contacts Banyule Community Health Service Gambler's Help provider
Gambling Help Online's peer chat service	Free and confidential online chats provided by staff from Gambling Help Online	Initiated when a client contacts Gambling Help Online and selects the option
ReSPIN Speakers Bureau	Community-initiated program that recruits, trains and supports speakers to talk about the effects of gambling harm	On request by any interested organisation.  Marketed statewide, but availability depends on resources
Chinese Peer Support program	Delivered by Eastern Area Community Health	Initiated when a Chinese-speaking client contacts Eastern Area Community Health
Three sides of the coin	Storytelling theatre-like performance run by Link Health and Community	Fee-based performance on request by any interested organisation
		Marketed statewide, but availability depends on resources

*Note*: The Chinese Peer Support program is a small program, serving 33 clients in 2019–20. *Source*: VAGO, based on the Foundation's information.

#### **Peer Connections Program**

The Peer Connections Program started in 2006 as an initiative of Banyule Community Health Service. In 2015, an evaluation of the program found it was effective for both the volunteers providing support and the clients being supported in their recovery journey. The program evaluator identified that it filled a service gap around ongoing support for those recovering from effects of gambling harm.

Despite having run for a long time, only a few clients outside of Banyule Community Health Service access the Peer Connections Program.

The Foundation has promoted the Peer Connections Program through its newsletters and flyers since 2018. While it asked Banyule Community Health Service to develop a strategic plan to expand this service sector-wide, the expansion is unlikely to occur without leadership and further support from the Foundation. Such an expansion would likely require:

- making the Peer Connections Program a standard option for Gambler's Help services on GH Connect
- facilitating referral pathways from other Gambler's Help Local providers to the Peer Connections Program
- promoting the positive impact of peer support more widely
- sourcing and training more peer support workers.

People with lived experience who have recovered from illness or addiction deliver **peer support** initiatives Used widely in the mental health and alcohol and other drug sectors, this is an effective way to help people receiving treatment and reduce the stigma associated with illness and addictive behaviours

# 4.3 **Programs for Aboriginal and Torres Strait Islander peoples and CALD communities**

The Foundation funds counselling and awareness-raising prevention activities tailored to Aboriginal and Torres Strait Islander peoples and CALD communities.

However, there are no formal referral pathways between Gambler's Helpline and Gambling Help Online services and these specialised programs. This reduces potential access and service pathways for Aboriginal and Torres Strait Islander peoples and CALD community members.

#### **Aboriginal Communities' Gambling Awareness Program**

The Foundation's Aboriginal Communities' Gambling Awareness Program has resulted in more Aboriginal and Torres Strait Islander clients accessing the tailored support.

In 2018–19, 556 Aboriginal and Torres Strait Islander clients accessed the programs. In comparison, there are only 185 such clients a year on average from all Gambler's Help Local providers across the state.

Aboriginal and Torres Strait Islander peoples can also access the Gambling Help Online and Gambler's Helpline services, particularly those who may want to avoid a face-to-face service within their own community. However, there are no screening questions to identify if the user is an Aboriginal and Torres Strait Islander client, nor is there culturally appropriate counselling within these services for these communities.

Further, there are no referral processes between these mainstream services to the tailored programs. One provider of the Aboriginal Communities' Gambling Awareness Program noted that they have never received a referral from the Gambling Help Online or Gambler's Helpline services. As a result, the Foundation is missing an opportunity to expand access to services for Aboriginal and Torres Strait Islander peoples.

#### **CALD** community services

Similar to the Aboriginal Communities' Gambling Awareness Program, referral pathways between CALD services and the mainstream Gambler's Help services are unclear.

In October 2020, the Foundation announced that it procured a service provider to deliver statewide, integrated gambling support services for CALD communities. It will continue its existing programs for Chinese, Vietnamese and Arabic-speaking communities.

While the new service will provide counselling, community education and engagement to multiethnic communities, the Foundation advised us that it does not have a strategy on the number of languages or the ethnic groups that the new service supports. Rather, it will depend on the provider's assessment of community need balanced against available resources.

In addition, the Foundation has not outlined how it will improve referral pathways between the mainstream Gambler's Help services and the existing CALD communities' Gambler's Help programs.

While expanding services to more CALD communities is desirable, the Foundation and the new statewide hub provider needs a clear strategy, referral pathways and outcomes-based performance measures, as discussed in Section 4.1.

#### 4.4 Supporting people with co-occurring conditions

The Foundation does not have a consistent or systematic process for linking clients with co-occurring conditions to other services.

This poses a risk that a client will only be linked to an appropriate support service if the particular Gambler's Help provider they access has a strong relationship with these services. This is different from a 'joined-up' approach, where there are formal referral pathways.

People experiencing gambling harm often	This means Gamblers' Help services need to	But, the Foundation has not
have co-occurring conditions that also require treatment and support from health and human services	<ul> <li>screen clients for possible co-occurring conditions</li> <li>have referral pathways to other support services</li> </ul>	<ul> <li>provided guidance on how Gambler's Help Locals should identify and support clients with co-occurring conditions</li> <li>developed system-wide referral pathways with other health services</li> </ul>

In addition, people experiencing gambling harm often first seek treatment from health and human services for a co-occurring issue, such as depression or family violence. These treatment sessions could also be used to screen for gambling issues. The Foundation funded a pilot of a screening tool but has not rolled it out to help clients to get early support for their gambling issues.

#### Lack of clear referral pathways with mental health services

#### Within Gambler's Help Local

The Foundation's service partners for Gambler's Help Local are social service or community health organisations. They offer a range of services in addition to gambling treatment, such as allied health, homelessness and family violence support services.

We found that these providers all screen new clients for gambling issues, and have internal referral processes to provide person-centred care, regardless of the primary reason they presented for support. Therefore, these providers can support clients with co-occurring conditions, within their range of services.

#### Integrating Gambler's Help with the state's health and human services

Gambler's Help Local also works with other local services to support clients with co-occurring conditions. However, the level of integration between these services depends on the strength of relationships between providers in a local area.

At the system level, the Foundation does not have agreed referral pathways between Gambler's Help Local services and the state's mental health, family violence, and alcohol and other drugs services.

For example, the Foundation does not have a formal agreement or memorandum of understanding with the Department of Health and Department of Families, Fairness and Housing on how gambling services should integrate with its funded services. It does not issue guidelines to providers on how to support clients in transition, such as whether the providers should track if other service providers have taken up the referrals.

In addition, the Foundation has had limited success at partnering with the Department of Health to draw attention to gambling harm as a mental health and wellbeing issue. For example, the *Victorian Health and Wellbeing Plan 2019–2023* only mentions gambling once in relation to mental health. This is despite the Foundation providing advice that the plan needs to include gambling harm as one of the public health priorities for healthier lifestyles for all Victorians.

Without a strong commitment between the Foundation and the Department of Health to approach gambling as a public health issue, and often as co-occurring with mental health issues, it will be difficult to establish a consistent approach to service integration between Gambler's Help Local and the broader health sector, or to embed gambling harm more broadly as a public health policy issue.

# 4.5 **Developing specialised gambling mental health support**

The Foundation considers that Alfred Health's Mental Health and Gambling Harm Program is central to promoting awareness of gambling within the mental health system (Figure 4B). The Foundation has provided \$1.2 million over the three financial years from 2019–20 to 2021–22 to expand the Alfred Health program to regional areas.

While this is a positive step, the Foundation does not have a service model outside of this arrangement to establish sector-wide referral pathways between Gambler's Help Local and existing statewide mental health services.

As shown in Figure 4B, the Alfred Health program exceeded all the performance targets for 2019–20, which are output-based.

FIGURE 4B: Alfred Health Mental Health and Gambling Harm Program services to Gambler's Help Local, 2019–20 performance

Program component	Aim	Yearly target	Actual
Comprehensive assessment	To provide expert mental health advice to inform treatment and improve outcomes for Gambler's Help clients	88 assessments	106
Treatment and clinical review	To provide brief interventions for clients, including family therapy, review client diagnoses, monitor the commencement of client treatment and link clients to other services	45 treatment and clinical reviews	68
Educational sessions to Gambler's Help Local	To build the capacity of Gambler's Help staff to respond to mental health issues, such as through state-wide peer consultation via video link	28 sessions	44

Source: VAGO, based on the Foundation's information.

#### 4.6 Managing performance and outcomes

#### **Performance reporting**

The Foundation publicly reports its performance against three key performance indicators (KPI).

All Gambler's Help Local service providers must report on the service hours provided and timeliness of service. In 2019–20, the Foundation met all the KPI targets within 5 per cent of the target, as shown in Figure 4C.

FIGURE 4C: Foundation's KPIs: targets versus actuals, 2019–20

	2019	9-20
KPI measures	Target	Actual
Hours of therapeutic counselling and financial counselling provided by Gambler's Help Local services	75 400 hours	74 711 hours
Number of visits to the Foundation's online information sites	567 000 visits	662 393 visits
Percentage of clients receiving a service within five days of referral	96%	96.4%

Source: VAGO, based on the Foundation information.

Following COVID-19 restrictions on accessing venues from March 2020, the Foundation directed more resources to promote its online support. It anticipated an increase in Victorians turning to online gambling as an alternative to in-venue gambling. The Foundation advised us that this contributed to a 16.8 per cent increase in online visits.

#### Service data not used to inform service delivery

While the Foundation collects extensive service performance data on its three main Gambler's Help treatment streams, it has not used this strategically to determine whether services meet client needs.

#### Clients disengaging with services

The Foundation has not examined why clients disengage with Gambler's Help services.

Using the Foundation's data, we found that only a small proportion of the clients who contact the Gambler's Helpline are referred to Gambler's Help Local for further counselling.

Figure 4D breaks down the further counselling pathway for people who contacted Gambler's Helpline in 2019–20.

1 605 2 304 callers who did not callers who provided a provide a postcode postcode and therefore did not progress further FROM WHICH 1155 remained anonymous and did not progress further for various reasons total helpline calls recorded in GH Connect were willing to receive further counselling FROM WHICH did engage with a local service

FIGURE 4D: Clients contacting Gambler's Helpline who are referred to Gambler's Help Local, 2019–20

Source: VAGO, based on the Foundation's information.

It is not uncommon for clients to wish to remain anonymous or disengage with treatment in addiction services, due to stigma or difficulties they may face changing their behaviour. In 2019–20, the Gambler's Helpline had a particularly high number of callers (371) from the same catchment area that chose to remain anonymous and

therefore not proceed through to treatment. The Foundation did not actively respond to this. This may point to an issue with how the Gambler's Helpline is interacting with callers or there may be other reasons, for example, associated with the geographic location of the service. Actively reviewing the service performance and data should help the Foundation identify issues for further investigation and thereby potentially realise opportunities to improve client engagement and retention.

Although it has funded the Gambler's Helpline, the Foundation does not collect performance information on how well Gambler's Helpline supports the callers through its brief counselling. The Foundation only requires Gambler's Helpline to collect information on user satisfaction, but it has not asked it to review calls for quality purposes or to improve client engagement and retention. This is a critical gap in how well the Foundation understands the impact of Gambler's Help services on clients.

#### Source of awareness and referrals of Gambler's Help services

The Foundation has not examined how well its many prevention partnership programs have promoted the awareness of Gambler's Help services. This is despite promoting Gambler's Help being one of the main aims of these prevention programs.

Gambler's Helpline and Gambler's Help Local services collect information on how people were referred to Gambler's Help services and how they heard of the services when they first call the helpline or approach Gambler's Help services directly. Both referral and awareness data are useful for gauging if the Foundation's promotional and prevention programs have worked to boost the awareness of and referrals to the Gambler's Help services.

However, the Foundation advised us that it cannot assure the data quality as it is not a mandatory requirement for Gambler's Helpline or Gambler's Help Local to report on the referral and awareness sources.

The Foundation is partly addressing the gaps through its revised evaluation approach for community-led prevention programs, which will measure change in knowledge of services.

#### Monitoring treatment outcomes

The Foundation has developed two sets of client-level outcomes measures, but it is not strategically analysing the data to find out whether its therapeutic and financial counselling services are effective.

Figure 4E describes the two outcome measures and how Gambler's Help providers collect the data.

FIGURE 4E: Foundation's two sets of client-level outcomes measures

Client-level outcomes	Nature	How it is collected
Client outcome survey (COS)	<ul> <li>First introduced in current form in 2016</li> <li>Since 1 July 2016, it has become part of the performance measures for Gambler's Help Local</li> <li>Clients are asked a series of questions designed to measure their:</li> <li>mental health and wellbeing</li> <li>impact on work and social interactions</li> <li>gambling participation behaviour</li> <li>occurrence of thoughts about gambling</li> </ul>	<ul> <li>COS1: at the beginning of treatment, by Gambler's Help Local counsellors</li> <li>COS2: at three months, by Gambler's Helpline staff</li> <li>COS3: at six months by Gambler's Helpline staff</li> <li>Clients can respond to questions such as, the sense of feeling worthless with 'none of the time', 'a little of the time', 'some of the time', 'most of the time' and 'all of the time'</li> </ul>
Case objectives and outcomes	<ul> <li>First introduced in April 2018</li> <li>Since 1 July 2019, it has become a KPI for Gambler's Help Local</li> </ul>	<ul> <li>By Gambler's Help Local counsellors</li> <li>At the start of treatment, clients state their desired objectives from attending treatment</li> <li>At the end of treatment, clients reflect on how they have achieved their expected objectives</li> </ul>

Source: VAGO, based on the Foundation's information.

The Foundation reported that from 1 July 2019 to 30 June 2020, 73.3 per cent of clients from 4 301 closed client cases reported that counselling helped them meet or partially meet their desired case outcomes.

However, we found no evidence that the case objectives and outcomes measures have been clinically validated. In contrast, the assessment methods used for the client outcome surveys are clinically validated. The health and community services sector commonly uses the assessments to screen and assess client's health outcomes.

The Gambler's Help Program Guidelines says that the collection of the client outcome surveys intends 'to inform practice and research into the effectiveness of treatment, as well as demonstrate the value of this service for ongoing funding'.

The Foundation has a longstanding problem with low COS response rates, especially with COS3 data collection after six months. The Foundation advised us that it planned a comprehensive review of COS to assess the COS measurements, consult stakeholders and develop better practice. The Foundation planned to complete this review before June 2020. However, due to the impact of COVID-19, the Foundation advised that it would complete this review by June 2021.

#### Analysing client outcome survey data

The Foundation has not sought to understand what drives client outcomes, despite having a rich dataset.

#### The Foundation has:

- individual client-level data, including therapy provided and outcomes as measured in COS and in case objectives and outcomes
- data for multiple service providers
- data that spans multiple years
- a database that has a relational structure, with possibilities of in-depth analysis.

We analysed COS data to examine the relation between the amount of counselling (in hours) received (both therapeutic and financial), and the clients' reported outcomes in COS data. Appendix D includes the detailed analysis.

We found that clients generally reported an improvement in outcomes, but that the degree of improvement did not appear to depend on the amount of counselling received.

We also looked at the movement of individuals between COS response categories (Figure D4). Most clients reported improvement, but some individuals reported deterioration in their condition. The Foundation indicated that it had not looked into what factors cause individuals to deteriorate

The Foundation produces reports on its KPIs, client demographics and client satisfaction, as well as on client's case objectives and outcomes. However, it has not fully analysed its rich dataset to help it understand program outcomes. Our preliminary analysis serves as a proof-of-concept for the type of analysis that the Foundation could conduct.

Until the Foundation commits to strategic data analyses on outcomes, it will not know if the data is consistent, what data collection it may need to improve, or if it needs to collect additional data.

## APPENDIX A

## Submissions and comments

We have consulted with the Foundation and the Departments of Families, Fairness and Housing, Health and Justice and Community Safety, and we considered their views when reaching our audit conclusions. As required by the *Audit Act 1994*, we gave a draft copy of this report, or relevant extracts, to these agencies and asked for their submissions and comments.

Responsibility for the accuracy, fairness and balance of those comments rests solely with the agency head.

#### Responses were received as follows:

The Foundation	48
Department of Families, Fairness and Housing	54
Department of Health	56
Department of Justice and Community Safety	59



Victorian Responsible Gambling Foundation 6/14-20 Blackwood Street North Melbourne Victoria 3051 FO Box 2156 Royal Melbourne Hospillal Victoria 3050 Tel: +61 3 9452 2000 Fax: +61 3 9452 2000 Fax: pel: 3 9452 2000 responso

Trim ref: CD/21/126295 VAGO File No: 34334 21

9 March 2021

Mr Andrew Greaves Auditor-General Victorian Auditor-General's Office Level 31, 35 Collins Street Melbourne Vic 3000

Dear Mr Greaves

#### Proposed report: Reducing the harm caused by gambling

Thank you for your letter of 22 February 2021 inviting the Victorian Responsible Gambling Foundation to provide comments on the proposed VAGO audit report, Reducing the harm caused by gambling.

The Foundation is committed to continuous improvement and takes accountability for our work very seriously. We therefore welcome insights into how our performance might be enhanced.

The enclosed table provides a detailed response to each recommendation in the audit report. In assigning timeframes to actions, the Foundation has considered our four-year funding cycle, annual approved business cycle, current contractual obligations, and the development of our next three-year (2021–2024) strategic plan.

As noted in the audit report, the overarching themes of program effectiveness and embedding knowledge translation into programs are key priorities in the Foundation's current annual business plan. This includes developing and implementing an outcomes-based framework.

We also acknowledge there are always opportunities to better apply research findings, including to Gambler's Help service design and delivery. And we recognise that more can be done to further develop our approach to applying program evaluations across the organisation.

In particular, we take on board the challenge of evaluating the cost-effectiveness of our prevention programs, noting that we will be the first in the world to undertake such an evaluation in this relatively new field.

The Foundation's research program is internationally recognised and has been instrumental in enhancing our understanding of the complex nature of gambling harm. For example, Foundation-funded research has identified not only the substantial social cost of gambling harm to Victoria (\$7 billion in 2014–15), but also that the majority of harm (70%) is experienced by people at low- or moderate-risk of problem gambling.

The audit report acknowledges gambling harm is a highly stigmatised issue that Foundation research has demonstrated frequently leads to feelings of embarrassment, shame, low self-esteem and guilt. This stigma acts as a barrier to help seeking.

Foundation research shows that a person's gambling behaviour and their experience of harm are influenced by a range of factors, including social, cultural, policy, legislative, economic, and environmental issues. We also know that gambling harm is associated with poorer health and wellbeing, not only of the individual who gambles, but for families, communities, and the general population.

Informed by these insights, the Foundation's work has evolved since our establishment in 2012. One of our ongoing core responsibilities is to ensure that people who are negatively affected by gambling have access to effective treatment and support when, where and how they need it.

In addition, we have adopted a comprehensive approach that aims to support those at risk of harm, through evidence-based awareness, prevention, early intervention and education activities and programs. This means our work not only seeks to address the range of gambling harms, but to reach the range of people who are at risk of, or already experiencing, harm. In the context of the gambling industry's resources, this is a challenging remit. However, and significantly, our response is being emulated around the world.

We are a people-focused organisation working in partnership with communities that include many of Victoria's most vulnerable individuals and groups. We do so in ways that are sensitive, appropriate, and responsive to the needs of communities and that afford people dignity, respect, and self-determination. While crucial, the humanity that these characteristics of our work represent is difficult to measure.

The Foundation has valued the opportunity to participate in the audit process and is always committed to strengthening our performance. I proudly acknowledge - and thank - the Foundation's highly skilled staff who, in collaboration with our partners and stakeholders, work tirelessly and with passion and professionalism, towards achieving our vision of a Victoria free from gambling-related harm.

Please feel free to contact either the Foundation's CEO or myself if you require any additional information or wish to discuss this further.

Yours sincerely

Tass Mousaferiadis

Board Chair

Attachment:

Recommendations Action Plan

Copy to:

Caitlin Makin

Director, Performance Audit

Fei Wang Senior Manager

responsible pambling vic. gov.nu



#### Action plan to address recommendations from Reducing the harm from gambling performance audit report

No	VAGO recommendation	Action	Completion date
1.	VRGF ensure that evidence from its research informs the design and improvement of prevention programs and treatment services (see Section 2.2), through:  • requiring funded research to identify recommended actions for the Foundation to improve program and service practice, where appropriate  • tracking progress in implementing the recommendations  • reporting the progress to the Foundation's board and in its annual report.	Acknowledging the Foundation's national and international reputation as a funder of high-quality research into the gambling environment and harm prevention interventions, the Foundation will:  • ensure that funded research is required to identify recommended actions to improve program and service practice, where appropriate  • continue to improve processes to record and track progress when implementing recommendations from evaluation and research evidence  • report progress to the Board and in the Foundation's annual report.	December 2021
2.	VRGF apply available research and evaluation and where appropriate, fund research and evaluation to improve the efficacy of treatment and support services, in areas identified through its service performance review, including (Sections 2.1, 3.3, 4.2, and 4.6):  • integrating peer support as part of the Foundation's treatment and support services (Section 4.2)  • investigating the causes of client disengagement with Gambler's Help services and use the findings to improve client engagement and retention in counselling (Section 4.6)	Accepted.  Subsequent to the development of an outcomes framework for the Foundation, the Foundation will assess client needs and review areas identified through the previous service review. Work to improve the efficacy of treatment and support services will be actioned in line with the Foundation's strategic plan 2021-22 to 2023-24.	July 2023

No	VAGO recommendation	Action	Completion date
	<ul> <li>evaluating the Foundation's various treatment approaches for gambling harm to assess their effectiveness (see Sections 2.1 and 4.6).</li> </ul>		
3.	VRGF develops an evaluation and outcomes framework to guide prevention and treatment programs, supported by: (Section 3.3)  a prevention program design that clearly seeks to impact the identified risk factors/protective factors contributing to gambling harm (see Section 3.1)  a common set of outcome or proxy outcome measures that can enable the tracking of performance of both individual programs and the collective performance of programs (see Sections 3.3 and 3.4).	Accepted.  As acknowledged in the VAGO report, an outcomes framework to assess the Foundation's impact on gambling harm prevention and reduction, including consideration of the risk and protective factors that contribute to gambling harm, is presently under development. A common set of outcome or proxy outcome measures will be considered in this process.	July 2021
4.	VRGF include assessment of the cost-effectiveness of prevention approaches in future evaluations and for the media campaigns aimed at reducing the risk from sports betting among young people (see Section 3.2).	The Foundation's prevention programs are unique globally. Measuring cost effectiveness in preventing / reducing gambling harm is challenging and to the Foundation's knowledge has not been measured in other jurisdictions. The Foundation is currently measuring impact and effectiveness across the prevention program. Future evaluations will include a requirement to assess cost-effectiveness of prevention approaches, where appropriate.  Subsequent to the development of an outcomes framework for the Foundation, campaign effectiveness measures will be reviewed and revised where necessary to better demonstrate the impact of our multifaceted strategy to reduce the risk from sports betting among young people.	December 2021

Page | 2

No	VAGO recommendation	Action	Completion date
5.	VRGF identify, share and promote better practices within the funded organisations, with particular focus on building community capacity for preventing gambling harm by providing:  • tailored guidance and resources to support program design and delivery (see Section 3.4)  • opportunities for sharing learnings with and between funded organisations (see Section 3.4).	Accepted.  The Foundation has mature and strong partnerships with community-based organisations delivering prevention programs within communities. The Foundation identifies, shares and promotes practice improvements with its funded partners, and will continue to develop its processes to build community capacity for preventing gambling harm.	July 2022
6.	VRGF establish client information sharing and referral protocols between different treatment service options, including between:  • Gambling Help Online and all other Gambler's Help services (see Section 4.2)  • the local Gambler's Help services and peer support options (see Section 4.2)  • the Gambler's Helpline and Gambling Help Online services and programs for Aboriginal and Torres Strait Islander peoples and culturally and linguistically diverse communities, where appropriate (see Section 4.3)	Accepted.  The Foundation has commenced discussions and planning to enable client information sharing and referral protocols to be established between different treatment service options, including working with other jurisdictions to further integrate the online and Victorian systems. The initial priority in 2021-22 is the development of referral protocols between Gambler's Help services and peer support options.	July 2023
7.	VRGF as part of the evaluation and outcomes framework outlined in recommendation 3:  complete a strategic review of its core service datasets to identify deficiencies or quality issues that limit the usefulness of this data (see Sections 4.2, 4.3 and 4.6)  further develop client-level outcome measures with the Gambler's Help service sector so that they measure efficacy and are fit for purpose (see Section 4.6).	Accepted.  Subsequent to development of the outcomes framework, the Foundation will review core service datasets and work with the Gambler's Help service sector to further develop client level outcomes measures to ensure service efficacy	July 2022
8.	Victorian Responsible Gambling Foundation, the Department of	Accepted	December 2021

Page | 3

services (see Sections 4.4 and 4.5).

#### Completion VAGO recommendation No Action date Justice and Community Safety the The Foundation will continue to Department of Health and the explore opportunities to work Department of Families, Fairness with the Departments of Justice and Housing establish systems and and Community Safety, Health, protocols for gamblers with coand Families, Fairness and occurring conditions that enable the Housing to establish practical Foundation and its funded entities and program-based systems to undertake holistic screening and protocols to support assessments and refer cases to identification, treatment and appropriate services across mental cross-agency referral of health, alcohol and other drugs, gamblers with co-occurring homelessness and family violence conditions.

#### Response provided by the Secretary, Department of Families, Fairness and Housing



Department of Families, Fairness and Housing

SO Lonsdale Street Melbaurne Victoria 3000 Telephone: 1300 475 170 GPO Box 1774 Melbaurne Victoria 3001 www.dffh.vic.gov.au

BAC-CO-11139

Mr Andrew Greaves Auditor-General Victorian Auditor-General's Office Level 31 35 Collins Street MELBOURNE VIC 3000

Dear Mr Greaves

#### Proposed Performance Audit Report: Reducing the harm caused by gambling

Thank you for providing the department with the proposed report: Reducing the harm caused by gambling.

The department has reviewed the proposed performance audit report, particularly recommendation no 8 which is directed to several agencies, including the department. The department will work with these agencies to address the recommendation.

The specific actions to be taken by Homes Victoria and Family Safety Victoria are included in the attached table. If your office requires further information, please contact Shari McPhail, Executive Director, Homes Victoria (shari.mcphail@homes.vic.gov.au) or Eleri Butler, Chief Executive Officer, Family Safety Victoria (eleri.butler@familysafety.vic.gov.au).

We look forward to working with your staff in the periodic monitoring of implementation of the audit recommendation.

Yours sincerely

Sandy Pitcher Secretary

09/03/2021

VICTORIA State SINVENIVEIX

OFFICIAL

# DFFH Action Table in response to Recommendation 8 Reducing the harm caused by gambling performance audit

Rec No.	Recommendation	Response	Proposed Action	Proposed Completion Date										
8 Victorian Responded Gambling Found Department of Ji Community Safe Department of H Department of Fairness and Ho systems and pro	Victorian Responsible Gambling Foundation, the Department of Justice and Community Safety the Department of Health and the Department of Families, Fairness and Housing establish systems and protocols for gamblers with	F	Homes Victoria, an agency within the Department of Families, Fairness and Housing, proposes to:  1. provide the Foundation with information on homelessness services so that Gamblers Help services are able to refer people to homelessness or housing support services as required.  2. review homelessness policies and guidelines to ensure that funded agencies are aware of and able to consistently refer people to relevant gambling support services as needed.  Family Safety Victoria will:	30 June 2021 30 June 2021										
	co-occurring conditions that enable the Foundation and its funded entities to undertake holistic screening assessments and refer cases to appropriate												<ol> <li>work with the VRGF to request its funded agencies to voluntarily align with the Family Violence Multi-Agency Risk Assessment and Management framework, and in consultation with DJCS, consider whether gambling help services should be prescribed under the framework.</li> </ol>	30 June 2022
	services across mental health, alcohol and other drugs, homelessness and family violence services (see Sections 4.4 and 4.5)													

OFFICIAL

#### Response provided by the Secretary, Department of Health



Department of Health

50 Lonsdale Street Melbourne Victoria 3000 Telephone 1300 650 172 GPO Box 4057 Melbourne Victoria 3001 www.health.vic.gov.dii DX 210081

BAC-BR-3187

Mr Andrew Greaves Auditor-General Victorian Auditor-General's Office

#### Dear Mr Greaves

Thank you for the opportunity to respond to recommendation 8 of the proposed report for the performance audit, *Reducing the harm caused by gambling*.

The Department of Health (the department) is supportive of a recommendation to develop protocols for gamblers with co-occurring conditions that would enable screening assessments and the referral of individuals to appropriate services across mental health, alcohol and other drugs, homelessness, and family violence services.

Given the recent release of the report of the Royal Commission into Victoria's Mental Health System, the department is already considering how we might lead improvements in mental care provision. Necessarily, these improvements will be structured to best respond to the Royal Commission's recommendations. As part of that, the department will work to develop

- a co-designed screening instrument that will identify problem gamblers with co-occurring mental health issues; and
- a referral protocol to create a streamlined referral pathway for eligible people with co-occurring mental health problems to Local and Area Youth and Adult and Older Adult Mental Health Services and where necessary specialist Statewide services.

The Royal Commission's report also includes recommendations to improve integrated treatment, care and support to people living with mental illness and substance use or addiction issues. The department will include consideration of people with gambling issues as part of the development of these integrated service delivery models in AOD.

The department will explore opportunities to work with the Department of Justice and Community Safety, the Victorian Responsible Gambling Foundation and the Department of Families, Fairness and Housing to establish practical and program-based systems and protocols to support identification, treatment and cross-agency referral of gamblers with co-occurring conditions. The department would also value greater involvement with specialist gambling help services.



**OFFICIAL:** Sensitive

#### Response provided by the Secretary, Department of Health—continued

The department's action table is attached. Hook forward to reporting on our progress to your office in due course.

Yours sincerely

Professor Euan M Wallace AM

Secretary

07/03/2021



**OFFICIAL: Sensitive** 

### **DH Action Table in response to Recommendation 8**

#### Reducing the harm caused by gambling performance audit

Rec No.	Recommendation	Response	Proposed Action	Proposed Completion Date										
8	Victorian Responsible Gambling Foundation, the Department of Justice and Community Safety the	Accept	Accept	Given the recent release of the report of the Royal Commission into Victoria's Mental Health System, the Department of Health will be working to implement all recommendations, including ensuring the Victorian mental health system is significantly reconfigured.      As part of implementing the responses to the Royal Commission, the	31 Dec 2022									
	Department of Health and the Department of Families, Fairness and Housing		Department of Health will work to ensure actions in response to the Royal Commission to enable:											
	establish systems and protocols for gamblers with	sh systems and ols for gamblers with urring conditions that the Foundation and its lentities to undertake screening ments and refer cases ropriate services across health, alcohol and drugs, homelessness nily violence services											<ul> <li>a co-designed screening instrument that will identify problem gamblers with co-occurring mental health issues; and</li> </ul>	
	co-occurring conditions that enable the Foundation and its funded entities to undertake		<ul> <li>the development of a referral protocol to create a streamlined referral pathway for eligible people with co-occurring mental health problems to Local and Area Youth and Adult and Older Adult Mental Health Services and where necessary specialist Statewide services.</li> </ul>											
	assessments and refer cases to appropriate services across mental health, alcohol and other drugs, homelessness		and refer cases e services across , alcohol and nomelessness blence services		ssessments and refer cases o appropriate services across nental health, alcohol and  • The Royal Commission report als integrated treatment, care and su substance use or addiction issue consideration of people with game	<ul> <li>The Royal Commission report also includes recommendations to improve integrated treatment, care and support to people living with mental illness and substance use or addiction issues. The Department of Health will include consideration of people with gambling issues as part of the development of these integrated service delivery models in AOD.</li> </ul>								
	and family violence services (see Sections 4.4 and 4.5)			<ul> <li>The Department of Health will explore opportunities to work with the department of Justice and Community Safety, the Victorian Responsible Gambling Foundation and the Department of Families, Fairness and Housing to establish practical and program-based systems and protocols to support identification, treatment and cross-agency referral of gamblers with co- occurring conditions.</li> </ul>										

#### Response provided by the Secretary, Department of Justice and Community Safety



#### Department of Justice and Community Safety

Secretary

Level 28 121 Exhibition Street Melbourne Victoria 3000 Telephone: (03) 8684 0501 justice vic gov.au DX: 210077

Mr Andrew Greaves Victorian Auditor-General Level 31, 35 Collins Street MELBOURNE VIC 3000

#### Dear Mr Greaves

Thank you for your letter of 22 February 2021 providing me with the *Reducing the harm caused by gambling* proposed report and the opportunity to formally respond.

The Department of Justice and Community Safety (the department) supports the report's joint recommendation and is committed to working with the Victorian Responsible Gambling Foundation, the Department of Health and the Department of Families, Fairness and Housing to improve programs to treat gambling harm.

The department accepts the recommendation directed towards it and I have attached a proposed action plan that addresses the recommendation.

If you have any questions or require further information, please contact Scott Farquharson, Executive Director, Assurance, in the department on 9136 2134 or via email Scott.Farquharson@iustice.vic.gov.au.

Yours sincerely

D. 35 -

Rebecca Falkingham Secretary

10/03/2021



# Department of Justice and Community Safety response to the VAGO Reducing the harm caused by gambling audit (Attachment A)

Recommendation	Proposed Action	Completion Date
8. Victorian Responsible Gambling Foundation, the Department of Justice and Community Safety the Department of Health and the Department of Families, Fairness and Housing establish systems and protocols for gamblers with co-occurring conditions that enable the Foundation and its funded entities to undertake holistic screening assessments and refer cases to appropriate services across mental health, alcohol and other drugs, homelessness and family violence services (see Sections 4.4 and 4.5)	Accept The Department of Justice and Community Safety will work with the Victorian Responsible Gambling Foundation, the Department of Health and the Department of Families, Fairness and Housing to explore opportunities to establish practical and program-based systems and protocols to support identification, treatment and cross-agency referral of gamblers with co-occurring conditions.	December 2021



## APPENDIX B

# Acronyms and abbreviations

#### Acronyms

CALD	culturally and linguistically diverse
COS	client outcome survey
DJCS	Department of Justice and Community Safety
KPI	key performance indicator
PGSI	Problem Gambling Severity Index
VAGO	Victorian Auditor-General's Office

#### **Abbreviations**

the Act	Victorian Responsible Gambling Foundation Act 2011
the charter	Ottawa Charter for Health Promotion
the Foundation	Victorian Responsible Gambling Foundation

## APPENDIX C

# Scope of this audit

Who we audited	What we assessed	What the audit cost
Victorian Responsible Gambling Foundation	We assessed whether the Foundation has:	The cost of this audit was \$535 000.
	<ul> <li>strategic priorities, services and programs that are appropriately targeted to prevent and reduce the risks of gambling harm</li> </ul>	
	assurance that its strategies, programs and services are achieving their intended impact.	

#### Our methods

As part of the audit we:

- consulted stakeholders, such as peak bodies and academics
- interviewed relevant Foundation staff in relation to its strategy, governance, programs, performance management and reporting
- consulted senior management staff from the Foundation's funded service providers
- reviewed and analysed documentation and data on the Foundation's programs and services.

We conducted our audit in accordance with the *Audit Act 1994* and ASAE 3500 Performance Engagements. We complied with the independence and other relevant ethical requirements related to assurance engagements.

We also provided a copy of the report to the Department of Premier and Cabinet and the Department of Treasury and Finance.

## APPENDIX D

# Analyses of client outcomes survey data

#### Methodology

We used the following set of measurements within the COS data:

- sadness
- nervousness
- restlessness
- hopelessness
- effort
- · worthlessness.

The clients' response to these questions can be one of five categories, as shown in Figure D1.

#### FIGURE D1: COS measurement of client's mental health situation

During the past four weeks, how much of the time did you feel	None of the time	A little of the time	Some of the time	Most of the time	All of the time
so sad that nothing could cheer you up?					
nervous?					
restless or fidgety?					
hopeless?					
that everything was an effort?					
worthless?					

Source: The Foundation.

To help with the analysis, we assigned each response a numerical value. This ranged from 0 for 'all of the time' to 4 for 'none of the time'. For each survey, we totalled the responses for the six measures to a score in the range of 0 (worse assessment) to 24 (better assessment).

Both COS1 and COS2 ask clients the same list of questions. A positive numerical difference reflects an improvement between COS2 and COS1. A negative difference means a worsening outcome.

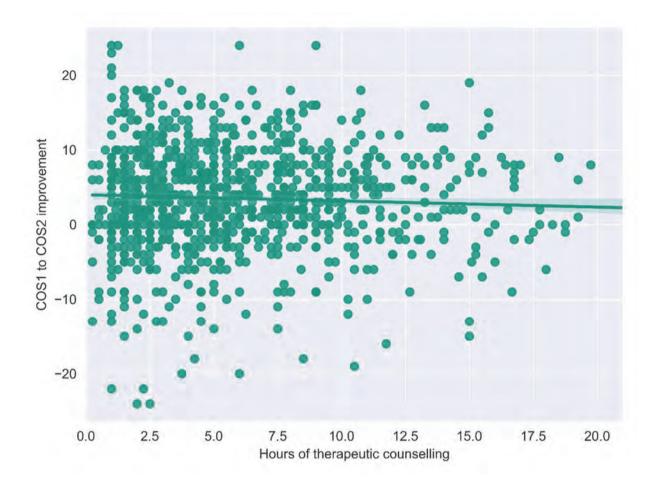
Note that we cannot interpret a particular numerical change as corresponding to a particular outcome. In the analysis presented we only reflect that a positive change is an improvement, and that the larger the change in score, the larger the change in outcome. A more in-depth analysis would benefit from verifying or even quantifying this.

Figure D2 shows the changes in COS1 and COS2 scores against the number of therapeutic counselling hours a client received. Figure D3 shows the changes in the COS1 and COS2 scores against the number of financial counselling hours a client received.

Each dot represents a client who has completed COS1 and COS2 assessment. How far a dot is above the zero line represents the degree of improvement. Both graphs show that the degree of improvement in client outcomes does not appear to depend on the number of counselling hours the client received. In other words, more counselling does not appear to drive better outcomes.

Figure D2 also shows that there are the same proportion of clients who improve by four points as those who are below this level.

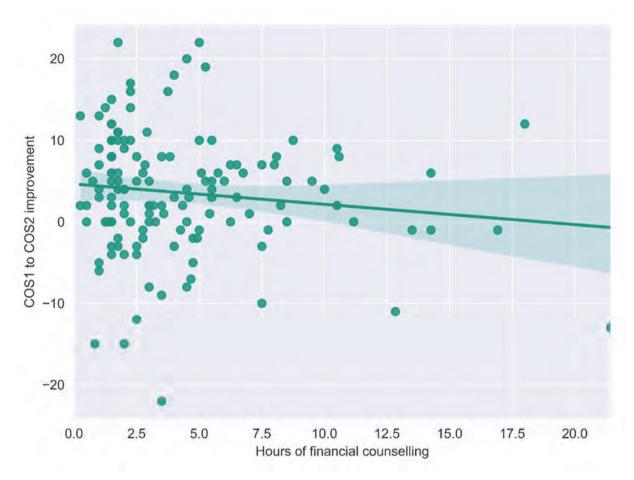
FIGURE D2: Degree of client outcome improvement in relation to number of therapeutic counselling hours client received, 24 May 2016 to 22 September 2020



Source: VAGO, based on the Foundation's data.

Figure D3 is based on less data since fewer clients receive financial counselling than therapeutic counselling. It shows a lack of correlation between the amount of financial counselling and improved outcomes. However, we cannot conclude on what this might mean in the service context as our analysis is preliminary.

FIGURE D3: Degree of client outcome improvement in relation to number of financial counselling hours a client received, 24 May 2016 to 22 September 2020



Source: VAGO, based on Foundation's data.

We have done the same analysis, using a scatter plot, of other measures such as how often one feels that gambling impacts their work and social interactions, how often they gamble, and how often they have thoughts or urges of gambling. The results do not vary much from the above example, no matter which measure we use. This means that the degree of improvement in client outcomes overall, regardless the choice of measure, is not dependent on the hours of counselling, whether it is financial or therapeutic.

We also analysed how a client's response changes from one survey to another. Figure D4 shows an example using the responses in the 'effort measurement', which refers to how often a client feels that everything was an effort—an indication of their mental health. Figure D4 shows while some clients improved in their assessment, some deteriorated during COS2. The data also show that many clients (753) did not proceed to complete COS3. This is consistent with the Foundation's observation that COS response rate has been very low.

Some of the time (COS1) None of the time (COS2) No response (COS3) Most of the time (COS1) Some of the time (COS2) A little of the time (COS1) None of the time (COS3) A little of the time (COS2) None of the time (COS1) A little of the time (COS3) Most of the time (COS2) Some of the time (COS3) No response (COS2) All of the time (COS1) Most of the time (COS3) All of the time (COS2) All of the time (COS3) No response (COS1)

FIGURE D4: Movements of client's outcomes from COS1 to COS2 and to COS3

Source: VAGO, based on the Foundation's data.

Figure D5 also shows the above results for COS1 and COS2. It shows there were:

- 20 clients who significantly improved their condition. They moved from thinking everything takes effort ('all of the time') at COS1 to 'none of the time' at COS2, shown in green.
- 4 clients whose condition deteriorated significantly, moving from 'none of the time' to 'all of the time', shown in red
- 340 clients whose condition remained the same between COS1 and COS2, shown in bold.

FIGURE D5: Changes in client's status from COS1 to COS2

COS1 COS2 Some of the None of the All of the Most of the A little of the No response time time time time time All of the time 30 22 20 19 20 8 12 Most of the time 28 49 45 60 10 144 10 **32** 81 58 Some of the time 5 12 8 A little of the time 43 43 124 4 10 31 27 137 7 None of the time 1 2 3 6 1 1 No response

Source: VAGO, based on the Foundation's data.

Figure D6 shows the summary results from Figure D5.

FIGURE D6: Aggregated changes of clients' status from COS1 and COS2.

Status change	Number of clients	Per cent
Have improved	575	51.5
Remain same	340	30.4
Become worse	202	18.1

Source: VAGO, based on the Foundation's data.

## APPENDIX E

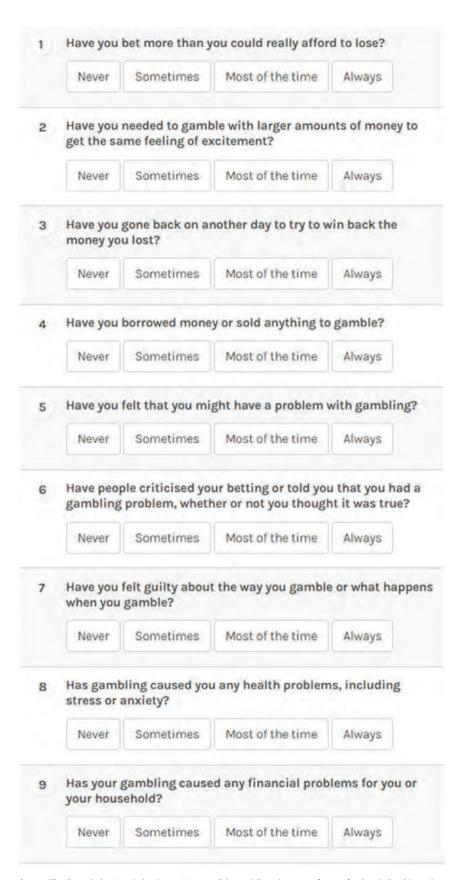
# Self-assessment tool for gambling harm

The PGSI is the standardised measure of at-risk behaviour in problem gambling. The PGSI quiz asks participants to self-assess their gambling behaviour over the past 12 months by scoring themselves against nine questions.

If the PGSI score is	Person is categorised as	For example
0	Non-problem gambler	People have no negative consequences
1–2	Low-risk gambler	They may very occasionally spend over their limit or feel guilty about their gambling
3–7	Moderate-risk gambler	They may sometimes spend more than they can afford, lose track of time or feel guilty about their gambling
8 or above	Problem gambler	They may often spend over their limit, gamble to win back money and feel stressed about their gambling

#### PGSI scores the responses as:

- 0 for 'never'
- 1 for 'sometimes'
- · 2 for 'most of the times'
- 3 for 'always'.



Source: The Foundation's website: https://responsiblegambling.vic.gov.au/for-professionals/health-and-community-professionals/problem-gambling-severity-index-pgsi

# Auditor-General's reports tabled during 2020–21

#### Report title

Rehabilitating Mines (2020–21: 1)	August 2020
Management of the Student Resource Package (2020–21: 2)	August 2020
Victoria's Homelessness Response (2020–21: 3)	September 2020
Reducing Bushfire Risks (2020–21: 4)	October 2020
Follow up of Managing the Level Crossing Removal Project (2020–21: 5)	October 2020
Early Years Management in Victorian Sessional Kindergartens (2020–21: 6)	October 2020
Accessibility of Tram Services (2020–21: 7)	October 2020
Accessing emergency funding to meet urgent claims (2020–21: 8)	November 2020
Auditor-General's Report on the Annual Financial Report of the State of Victoria: 2019–20 (2020–21: 9)	November 2020
Sexual Harassment in Local Government (2020–21: 10)	December 2020
Systems and Support for Principal Performance (2020–21: 11)	December 2020
Grants to the Migrant Workers Centre (2020–21: 12)	February 2021
Results of 2019–20 Audits: State-controlled Entities (2020–21: 13)	March 2021
Results of 2019–20 Audits: Local Government (2020–21: 14)	March 2021
Maintaining Local Roads (2020–21: 15)	March 2021
Service Victoria—Digital Delivery of Government Services (2020–21: 16)	March 2021
Reducing the Harm Caused by Gambling (2020–21: 17)	March 2021

## All reports are available for download in PDF and HTML format on our website www.audit.vic.gov.au

Victorian Auditor-General's Office Level 31, 35 Collins Street Melbourne Vic 3000 AUSTRALIA

Phone +61 3 8601 7000

Email enquiries@audit.vic.gov.au