	-					-			-	1			1
A OBJECTIVE		DESCRIPTION OF INCIDENT	DATE OF	DATE OF	REQUIRED	DATE OF	H H	DATE FINE PAID	CROWN	Fines per FY	Cumulative	M Per s 25 Review Period	Acceptance by Crown o
WORKSITE			INCIDENT (or Notice)	SHOW CAUSE NOTICE	RESPONSE DATE	E CROWN'S SUBMISSION TO			CONTACT		Fine Total		Finding
FILE NO.			nouse)	HOHOL		VCGLR							
DA 28/001	Licensed employees	Two licensed casiro employees	06-Nov-95	15-Dec-95	04-Jan-96	08-Dec-95	FY 1990 A police investigation was undertaken and both the police and the VCGA decided not to proceed with any action	N/A	D				
	gambing in the Casing	o the defined casino premises thereby breaching section 79(2) of the CCA							Perso				
				1									
				1					nal				
				1					Infor				
									Inior				
DA 28/002	Breach of the Rules of Baccarat	Baccarat rules 7.1 & 10 were breached by a dealer not retaining the dealing shoe which resulted in a card being dealt to an incorrect hand	106-07/07/1995	19-Dec-95	04-Jan-96	04-Jan-96	\$5,000 Fine	Nothing on File	Peter Lawrence	9			
				1									
				1									
DA 28/003	Minor in the Casino	An international golfer and his 4 month child were given access back go house to a restaurant on the gaming floor after initially being	23-Nov-95	19-Dec-95	04-Jan-96	04-Jan-96	\$15,000 Fine	19-July-96		FY 1996	\$20,000	DA's Received for FY96 (based on date Notice to	
		refused entry by Security							Perso	\$20,000	Contraction of	Show Cause received): 3	
				1									
									nal				
DA 28/004	Minor in the Casino	A minor was detected on the gaming floor and removed approximately 8 minutes after having entered	09-Feb-97	21-May-97	04-Jun-97	04-Jun-97	FY 1997 \$3,000 Fine	No later than 13 Feb 1998	Inform				
									Inform				
				1					ation				
DA 28/005	Minor in the Casino	A minor was detected on the gaming floor and removed approximately three hours after having entered	22-Feb-97	21-May-97	04-Jun-97	04-Jun-97	\$6,000 Fine	No later than 13 Feb 1998	allon	FY 1997	\$29,000	DA's Received for FY97 (based on date Notice to	
DH 201000	initial in the cusito	A minist was detected on the gamming noor and removed approximation and endors and maxing endored		211109-57	of voir or	or our of				\$9,000	\$28,000	Show Cause received): 2	
													1
							FV 4004						
DA 28/006	Numerous minors in	Between the 8th and the 31st of May 1997 45 minors entered the casino and on 5 occasions Crown did not notify an inspector thereby	01-May-97	05-Aug-97	19-Aug-97	19-Aug-97	FY 1998 \$59,000 Fine (\$1,311 per minor)	24-Dec-97			1		1
	the Casino	breaching section 85(2) of the CCA											1
				1									
													1
DA 28/007	Breach of the shuff	Cards on Baccarat 0915 were not reshuffled as required and were put into play after only one riffle thereby not sufficiently randomly	16-Feb-97	04-Sep-97	18-Sep-04	18-Sep-97	\$10,000 Fine	24-Dec-97			-		-
DA 28/007	procedures for	Intermixing the cords	16-F60-9/	04-Sep-9/	18-Sep-04	18-Sep-97	\$10,000 Fine	24-Dec-97					
	Baccarat			1									
				1									
				1									
DA 00/000	Minor in the Casino	t stars asked only to the sector of the Max Parent days allow a strended with and fall the days also deadly structure assumes.	05 14 07	04.007	10 5 07	10.000.07	47 000 Eine (84 000 men (Knee)	04 Dec 07					
DA 28/008	Minor in the Casino	A minor gained entry to the casino via the Map Room doors after a patron had exited and left the door ajar despite previous assurances from Crown to the VCGR that the doors could not be accessed by patrons.	05-Jul-9/	04-Sep-97	18-Sep-97	18-Sep-97	\$7,000 Fine-(\$1,000 per Minor)	24-Dec-97					
				1									
				1									
				1									
				1									
DA 28/009	Failure to obtain	Crown falled to seek & obtain the VCGRs prior approval for alterations to the casino layout before commencing work in the Mahogany	18-Jul-97	04-Sep-97	22-Oct-97	18-Sep-97	\$15,000 Fine	24-Dec-97					
	VCGA approval for alteration works	Room to remove part of the Settlement Room adjacent to the Cashier's Cage		1									
	100000000000000000000000000000000000000			1									
				1									
				1									
DA 28/010	Box on a gaming table	A defective drop box was removed from BJ 2303 and sent for repair without a replacement box being fitted. The table was subsequently opened without a drop box fitted and \$290 in cash was dropped before it was realised by the staff that no drop box was present.	15-Sep-97	26-Feb-98	12-Mar-98	12-Mar-98	\$25,000 Fine and a Letter of Censure	No later than 13 April 1998	Barry Felstead				
	100			1									
DA 28/011	Minor in the Casino	A minor was allowed into the Mahogany Room by a Mahogany Host	03-Dec-97	26-Feb-98	12-Mar-98	12-Mar-98	\$15,000 Fine	No later than 13 April 1998					
		•••••							Perso				
				1									
									nal				1
DA 28/012	Breach of Internal	A number of drop boxes were removed from Pit 4 by the Drop Team in the absence of the Pt Boss and Surveillance failed to monitor the	11-Sep-97	01-Apr-98	17-Apr-98	17-Apr-98	\$15,000 Fine	18-Mar-99	Barry Felstead	1			-
	Controls	drop											1
						1							
						1							
													1
						1							
DA 28/013	Breach of the Rules and Procedures for	On the first day of play for a Baccarat Tournament 8 x \$5,000 chips were found to be missing at the completion of Round 1 on BA 2519. During Round 2, four (4) of the chips had been re-introduced into play. The next day the remaining four (4) chips were re-introduced into	11-12/10/1997	02-Apr-98	16-Apr-98	16-Apr-98	\$40,000 FINE	18-Mar-99	Perso				
	Baccarat	The Grand Final. Surveillance could not pinpoint where or when the chips wert missing or were returned											
		name anne e su d'une e su de l'actant de anticipal d'actant de la serie d'una de la serie de la serie de la ser							nal				
									ilai				1
									Infor				
									20000				1
									matio				
DA 28/014		r Cards were dealt in an anti-clockwise rather than a clockwise direction on CS 1009 for 20 minutes before a Games Supervisor noticed and	12-Dec-97	02-Apr-98	16-Apr-98	16-Apr-98	\$5,000 FINE	18-Mar-99					
	Caribbean Stud	stopped play				1			n				1
DA 28/015	Breach of the Rules and Procedures for	Cards were put into play without having been reshuffled resulting in the cards being dealt in sequence for approximately 35 minutes.	02-Jan-98	02-Apr-98	08-Jul-98	08-Jul-98	\$15,000 FINE	18-Mar-99					
	Baccarat												1
	1												

A OBJECTIVE/ WORKSITE							-						
	B	C DESCRIPTION OF INCIDENT	D DATE OF	E DATE OF	F	G DATE OF	H DECISION / OUTCOME	I DATE FINE PAID	J	K Fines per FY	L	M Per s 25 Review Period	N Acceptance by Crown o
	INCIDENT	DESCRIPTION OF INCIDENT		SHOW CAUSE	RESPONSE DATE	CROWN'S		DATE FINE PAID	CONTACT		Fine Total	Fer's 25 Review Period	Finding
FILE NO.			Notice)	NOTICE		SUBMISSION TO	0		1000000000		0.000.000.000		5.07 CO.
						VCGLR							
D.4.00/010	Breach of Internal	A Security Officer circumvented the access requirements of the Count Room by using his own as well as a borrowed swipe card and then	0/ 1/ 00	02-Jun-98	08-Jul-98	05-Jul-98	\$15,000 FINE	18-Mar-99	Barry Falatand	EX 1000		Sale Benchud for FVOS (bened on data Method in	
	Controls	removed drop boxes for placement on the respective tables while the count was in progress (although on a break).	German 20	02-3un-50	00-70-50	05-501-56	STO, OCH PINE	10-Mer-00	Barry Felstead	FY 1998 \$221,000	\$250,000	DA's Received for FY98 (based on date Notice to Show Cause received): 55	
1 1													
1 1												60 DA's received for 1st s25 review period, totalling	
1 1												\$245,000 in fines.	
1 1													
1 1						1							
							FY 1999						
	Alleged breach of the		04-Feb-98	23-Jul-98	23-Jul-98	06-Aug-98	On 8 October 2001 the Office of Gambling Regulation advised Crown that the matter had concluded when the	N/A	Daraa				
	Controlled Contract Provisions	investigation period before doing so, the investigation was subsumed into an inquiry involving a former Associate, Peter Ronec, sut this inquiry came to an end on 3 May 2000. The VCGA advised Crown that the Notice to Show Cause also concluded on the same day				1	inquiry into the former Associate of Crown concluded in May 2000.		Perso				
I Í						1			-				
						1			nal				
DA 29/019	Breach of Rules and	A game of Poker was played for approximately three (3) hours with one card missing from the deck and the procedures for "stub counting"	00.000.00	01-Dec-98	15-Dec-98	15-Dec-98	\$5,000 Fine	16-Jul-99					
201010	Procedures for Poker		00-060-70	01-560-55	10-000-00	15-060-50	40,000 T mm	10-30-33	Infor				
1 1						1							
DA 28/019	Breach of the	A game of Baccarat was dealt with the 16 Jokers still included in the set of cards being used	18-Nov-98	01-Feb-99	15-Feb-99	10-Feb-99	Letter of Cersure	N/A	matio	FY 1999	\$255,000	DA's Received for FY99 (based on date Notice to	
	Procedures for	A gaine of baccarat was dealt what the no backets suit included in the set of cards being used	10-1404-50	014 60-35	10-160-55	10-1 60-33			matio	\$5.000	\$255,000	Show Cause received): 3	
1 1	Baccarat								5	10.00			
1 1									n				
1 1													
							FY 2000						
	Breach of the	Cash used in exchange for chips in the middle of the game was placed over the dealer's first card and the card was subsequently picked	27-Feb-99	28-Sep-99	15-Oct-99	08-Oct-99	\$8,000 Fine	No later than 3 May 2000					
	Procedures of	up with the cash and dropped into the drop box			1			~					1
	Blackjack			1	1	1							1
						1							
	Breach of Internal	A Security Officer twice circumvented the access requirements of the Count Room by using his own as well as a borrowed swipe card	08-Jun-99	29-Aug-00	28-Sep-00	28-Sep-99	\$50,000 FINE	9-Oct-01					
	Controls	(from two different employees) and then removed drop boxes for placement on gaming tables											1
DA 28/022			21-Jun-00	12-Dec-00	26/12/02 - At the	26-Dec-02	\$5,000 Fine	10/07/02			\$318,000	DA's Received for FY00 (based on date Notice to	
	Controlled Contract	expenditure exceeding \$200,000			VCGRs request	1				\$63,000	1	Show Cause received): 3	1
I ľ	Provisions				additional information was								
					provided on								
					21/05/02							4	
DA 00/012	Breach of the Dules	1 come of Deplete use and used using Rischight and for any similar to 1.50 minutes	02 14 02	05 044 01	00 0+1 01	00/102001	FY 2002	05/04/00					
	Breach of the Rules and Procedures for	A game of Pontoon was conducted using Blackjack cards for approximately 50 minutes	02-Jul-00	25-Sep-01	09-Oct-01	09/102001	\$10,000 Fine	05/04/02					
	Pontoon												
1 1	Charles and the second												
1 1													
1 1													
					2							c	
DA 28/024	Minor in the Casino	A minor was detected on the gaming floor and removed approximately twenty minutes after having entered	30-Dec-00	25-Sep-01	09-Oct-01	09-Oct-01	\$5,000 Fine	18/03/02					
1 1						1							
1 1													
1 1													
						1							
											2		-
	Breach of the Rules	A game of Biackjack was played with Pontcon cards for approximately 10 hours	13-Jan-01	11-Dec-01	25-Dec-01	24-Dec-02	\$10,000 Fine	05/04/02					
1 1	and Procedures for Blackjack												
DA 28/026	Breach of Internal	Crown failed on a number of occasions to implement Internal Control requirements for obtaining a bank opinion or verifying outstanding	September	27-Sep-01	28-Feb-02	14-Dec-01	\$1,000 Fine	12/06/02			-	2	
	Controls												
		balances and indebtedness in regard to a patron's Cheque Cashing facility	1997 to		1	1							
		balances and indebtedness in regard to a patron's Cheque Cashing facility	1997 to February 1998	04.00000000									
		balances and indebtedness in regard to a pstron's Cheque Cashing facility											
		balances and indebtedness in regard to a patron's Cheque Cashing facility											
		balances and indebtedness in regard to a patron's Cheque Cashing facility											
DA 28/027	Breach of the		February 1998	31-Oct-01	28-Feb-02	23-Feb-02	\$5.000 FINE	09/07/02					
		Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table	February 1998		28-Feb-02	23-Feb-02	\$5,000 FINE	09/07/02					
	Breach of the Controlled Contract provisions	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table	February 1998		28-Feb-02	23-Feb-02	\$5,000 FINE	09/07/02					
	Controlled Contract provisions	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table	February 1998		28-Feb-02	28-Feb-02	\$5,000 FINE	09/07/02					
DA 28/028	Controlled Contract provisions Using unlicensed	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table	February 1998 06-Mar-00		28-Feb-02 14-Nov-01	23-Feb-02 07-Jan-02	\$5,000 FINE \$20,000 Fine	09/07/02					
DA 28/028	Controlled Contract provisions Using unlicensed personnel working in	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table games without first giving 28 days prior notce in writing to the VCGA of the details of the proposed contract Two Purchasing Dept, employees were promoted to positions requiring a casino special employee licence but cid not obtain the licences	February 1998 06-Mar-00	31-Oct-01									
DA 28/028	Controlled Contract provisions Using unlicensed	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table games without first giving 28 days prior notce in writing to the VCGA of the details of the proposed contract Two Purchasing Dept, employees were promoted to positions requiring a casino special employee licence but cid not obtain the licences	February 1998 06-Mar-00	31-Oct-01									
DA 28/028	Controlled Contract provisions Using unlicensed personnel working in positions requiring a casino special employee's licence	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Sami-Automated table games without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences	February 1998 06-Mar-00 01-Jan-98	31-Oct-01 02-Nov-01	14-Nov-01	07-Jan-02	\$20,000 Fine	22/03/02					
DA 28/028	Controlled Contract provisions Using unlicensed personnel working in positions requiring a casine special employee's licence Breach of the	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table games without first giving 28 days prior notce in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences. Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200.000 without first giving 28	February 1998 06-Mar-00 01-Jan-98	31-Oct-01 02-Nov-01					-				
DA 28/028 DA 28/029	Controlled Contract provisions Using unlicensed persoanel working in positions requiring a casine special employee's licence Breach of the Controlled Contract	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Sami-Automated table games without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences	February 1998 06-Mar-00 01-Jan-98	31-Oct-01 02-Nov-01	14-Nov-01	07-Jan-02	\$20,000 Fine	22/03/02					
DA 28/028 DA 28/029	Controlled Contract provisions Using unlicensed personnel working in positions requiring a casine special employee's licence Breach of the Controlled Contract Provisions	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table sames without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract Two Purchasing Dept: employees were promoted to positions requiring a casino special employee licence but did not obtain the licences Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200,000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract	February 1998 06-Mar-00 01-Jan-98 During 2000	31-Oct-01 02-Nov-01 04-Dec-01	14-Nov-01 28-Feb-02	07-Jan-02 28-Feb-02	\$20,000 Fine \$5,000 Fine	22/03/02 21/06/02					
DA 28/028 DA 28/029 DA 28/030	Controlled Contract provisions Using unilcensed personnel working in positions requiring a cosine special employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table games without first giving 28 days prior notce in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences. Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200.000 without first giving 28	February 1998 06-Mar-00 01-Jan-98 During 2000	31-Oct-01 02-Nov-01	14-Nov-01 28-Feb-02	07-Jan-02	\$20,000 Fine	22/03/02					
DA 28/028 DA 28/029 DA 28/030	Controlled Contract provisions Using unlicensed personnel working in positions requiring a casine special employee's licence Breach of the Controlled Contract Provisions	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table sames without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract Two Purchasing Dept: employees were promoted to positions requiring a casino special employee licence but did not obtain the licences Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200,000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract	February 1998 06-Mar-00 01-Jan-98 During 2000	31-Oct-01 02-Nov-01 04-Dec-01	14-Nov-01 28-Feb-02	07-Jan-02 28-Feb-02	\$20,000 Fine \$5,000 Fine	22/03/02 21/06/02	-				
DA 28/028 DA 28/029 DA 28/030	Controlled Contract provisions Using unilcensed personnel working in positions requiring a cosine special employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table sames without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract Two Purchasing Dept: employees were promoted to positions requiring a casino special employee licence but did not obtain the licences Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200,000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract	February 1998 06-Mar-00 01-Jan-98 During 2000	31-Oct-01 02-Nov-01 04-Dec-01	14-Nov-01 28-Feb-02	07-Jan-02 28-Feb-02	\$20,000 Fine \$5,000 Fine	22/03/02 21/06/02					
DA 28/028 DA 28/029 DA 28/030	Controlled Contract provisions Using unilcensed personnel working in positions requiring a cosine special employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table sames without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract Two Purchasing Dept: employees were promoted to positions requiring a casino special employee licence but did not obtain the licences Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200,000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract	February 1998 06-Mar-00 01-Jan-98 During 2000	31-Oct-01 02-Nov-01 04-Dec-01	14-Nov-01 28-Feb-02	07-Jan-02 28-Feb-02	\$20,000 Fine \$5,000 Fine	22/03/02 21/06/02	-				
DA 28/028 DA 28/029 DA 28/030	Controlled Contract provisions Using unilcensed personnel working in positions requiring a cosine special employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table sames without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract Two Purchasing Dept: employees were promoted to positions requiring a casino special employee licence but did not obtain the licences Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200,000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract	February 1998 06-Mar-00 01-Jan-98 During 2000	31-Oct-01 02-Nov-01 04-Dec-01	14-Nov-01 28-Feb-02	07-Jan-02 28-Feb-02	\$20,000 Fine \$5,000 Fine	22/03/02 21/06/02					
DA 28/028 DA 28/029 DA 28/030	Controlled Contract provisions Using unlicensed personnel working in positions requiring a employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of Baccarat	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table aames without first giving 28 days prior notce in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences. Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200,000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract of A junket player moved a \$200,000 wager from Player to Banker after the first card had been drawn from the shoe	February 1996 06-Mar-00 01-Jan-98 During 2000 07-Aug-00	31-Oct-01 02-Nov-01 04-Dec-01 23-Jan-02	14-Nov-01 28-Feb-02 01-May-02	07-Jan-02 25-Feb-02 01-May-02	\$20,000 Fine \$5,000 Fine	22/03/02 21/06/02					
DA 28/028 DA 28/029 DA 28/030 DA 28/030	Controlled Contract provisions Using unlicensed personnet working in positions requiring a casine special employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of Baccarat	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table sames without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract Two Purchasing Dept: employees were promoted to positions requiring a casino special employee licence but did not obtain the licences Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200,000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract	February 1998 06-Mar-00 01-Jan-98 During 2000	31-Oct-01 02-Nov-01 04-Dec-01	14-Nov-01 28-Feb-02	07-Jan-02 28-Feb-02	\$20,000 Fine \$5,000 Fine	22/03/02 21/06/02					
DA 28/028 DA 28/029 DA 28/030 DA 28/030	Controlled Contract provisions Using unlicensed personnel working in positions requiring a employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of Baccarat	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table aames without first giving 28 days prior notce in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences. Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200,000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract of A junket player moved a \$200,000 wager from Player to Banker after the first card had been drawn from the shoe	February 1996 06-Mar-00 01-Jan-98 During 2000 07-Aug-00	31-Oct-01 02-Nov-01 04-Dec-01 23-Jan-02	14-Nov-01 28-Feb-02 01-May-02	07-Jan-02 25-Feb-02 01-May-02	\$20,000 Fine \$5,000 Fine \$25,000 Fine	22/03/02 21/06/02 20/06/02					
DA 28/028 DA 28/029 DA 28/030 DA 28/030	Controlled Contract provisions Using unlicensed personnet working in positions requiring a casine special employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of Baccarat	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table aames without first giving 28 days prior notce in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences. Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200,000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract of A junket player moved a \$200,000 wager from Player to Banker after the first card had been drawn from the shoe	February 1996 06-Mar-00 01-Jan-98 During 2000 07-Aug-00	31-Oct-01 02-Nov-01 04-Dec-01 23-Jan-02	14-Nov-01 28-Feb-02 01-May-02	07-Jan-02 25-Feb-02 01-May-02	\$20,000 Fine \$5,000 Fine \$25,000 Fine	22/03/02 21/06/02 20/06/02					
DA 28/028 DA 28/029 DA 28/030 DA 28/030	Controlled Contract provisions Using unlicensed personnet working in positions requiring a casine special employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of Baccarat	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table aames without first giving 28 days prior notce in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences. Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200,000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract of A junket player moved a \$200,000 wager from Player to Banker after the first card had been drawn from the shoe	February 1996 06-Mar-00 01-Jan-98 During 2000 07-Aug-00	31-Oct-01 02-Nov-01 04-Dec-01 23-Jan-02	14-Nov-01 28-Feb-02 01-May-02	07-Jan-02 25-Feb-02 01-May-02	\$20,000 Fine \$5,000 Fine \$25,000 Fine	22/03/02 21/06/02 20/06/02					
DA 28/028 DA 28/029 DA 28/030 DA 28/031	Controlled Contract provisions Using unlicensed personnel working in positions requiring a casine special employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of Baccarat Breach of Internal Controls	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table aames without first giving 28 days prior notce in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences. Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200.000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract of A junket player moved a \$200,000 wager from Player to Banker after the first card had been drawn from the shoe Crown failed to provide the VCGA with two external audt reports by 31 October 2001 as is required by the Internal Controls.	February 1998 06-Mar-00 01-Jan-98 During 2000 07-Aug-00 31-Oct-01	31-Oct-01 02-Nov-01 04-Dec-01 23-Jan-02 11-Dec-01	14-Nov-D1 28-Feb-02 01-May-02 07-Jan-02	07-Jan-02 28-Feb-02 01-May-02 07-Jan-02	\$20,000 Fine \$5.000 Fine \$25.000 Fine	22/03/02 21/06/02 20/06/02 N/A					
DA 28/028 DA 28/029 DA 28/030 DA 28/031 DA 28/032	Controlled Contract provisions Using unlicensed personnel working in positions requiring a casine special employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of Baccarat Breach of Internal Controls Breach of Internal Controls	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table aames without first giving 28 days prior notce in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences. Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200.000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract of A junket player moved a \$200,000 wager from Player to Banksr after the first card had been drawn from the shoe Crown failed to provide the VCGA with two external audt reports by 31 October 2001 as is required by the Internal Controls.	February 1998 06-Mar-00 01-Jan-98 During 2000 07-Aug-00 31-Oct-01	31-Oct-01 02-Nov-01 04-Dec-01 23-Jan-02	14-Nov-01 28-Feb-02 01-May-02	07-Jan-02 25-Feb-02 01-May-02	\$20,000 Fine \$5,000 Fine \$25,000 Fine	22/03/02 21/06/02 20/06/02					
DA 28/029 DA 28/029 DA 28/030 DA 28/031 DA 28/032 DA 28/032	Controlled Contract provisions Using unlicensed personnel working in positions requiring a employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of Baccarat Breach of Internal Controls Breach of Rules of Poker Breach of Internal	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table aames without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200.000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract of A junket player moved a \$200,000 wager from Player to Banker after the first card had been drawn from the shoe Crown failed to provide the VCGA with two external audt reports by 31 October 2001 as is required by the Internal Controls. A game of Poker was played for approximately two hours with a card missing from the deck Crown failed, for four (4) months, to provide monthly Patron Comments Reports as required by the Internal Controls. When the reports	February 1998 06-Mar-00 01-Jan-98 During 2000 07-Aug-00 31-Oct-01 30-Jan-01 August to	31-Oct-01 02-Nov-01 04-Oac-01 23-Jan-02 23-Jan-02 23-Jan-02	14-Nov-D1 28-Feb-02 01-May-02 07-Jan-02	07-Jan-02 28-Feb-02 01-May-02 07-Jan-02	\$20,000 Fine \$5.000 Fine \$25.000 Fine	22/03/02 21/06/02 20/06/02 N/A					
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DA 28/029 DA 28/029 DA 28/030 DA 28/031 DA 28/032 DA 28/032	Controlled Contract provisions Using unlicensed personnel working in positions requiring a employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of Baccarat Breach of Internal Controls Breach of Rules of Poker Breach of Internal	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table aames without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200.000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract of A junket player moved a \$200,000 wager from Player to Banker after the first card had been drawn from the shoe Crown failed to provide the VCGA with two external audt reports by 31 October 2001 as is required by the Internal Controls. A game of Poker was played for approximately two hours with a card missing from the deck Crown failed, for four (4) months, to provide monthly Patron Comments Reports as required by the Internal Controls. When the reports	February 1998 06-Mar-00 01-Jan-98 During 2000 07-Aug-00 31-Oct-01 30-Jan-01 August to	31-Oct-01 02-Nov-01 04-Oac-01 23-Jan-02 23-Jan-02 23-Jan-02	14-Nov-01 28-Feb-02 01-May-02 07-Jan-02	07-Jan-02 28-Feb-02 01-May-02 07-Jan-02	\$20,000 Fine \$5,000 Fine \$25,000 Fine Letter of Censure \$10,000 Fine	22/03/02 21/06/02 20/06/02 N/A					
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DA 28/028 DA 28/029 DA 28/030 DA 28/031 DA 28/031 DA 28/032 DA 28/032	Controlled Contract provisions Using unlicensed personnet working in positions requiring a casine special employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of Baccarat Breach of Internal Controls Breach of Rules of Poker Breach of Internal Controls	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table aames without first giving 28 days prior notce in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences. Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200,000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract of A junkst player moved a \$200,000 wager from Player to Banker after the first card had been drawn from the shoe Crown failed to provide the VCGA with two external audit reports by 31 October 2001 as is required by the Internal Controls. A game of Poker was played for approximately two hours with a card missing from the deck. Crown failed, for four (4) months, to provide monthly Platron Comments Reports as required by the Internal Controls. When the reports were provided in the fifth month they were deemed by the VCGA to be deficient.	February 1998 06-Mar-00 01-Jan-98 During 2000 07-Aug-00 31-Oct-01 30-Jan-01 August to November 2001	31-Oct-01 02-Nov-01 04-Dec-01 23-Jan-02 23-Jan-02 23-Jan-02	14-Nov-01 28-Feb-02 01-May-02 07-Jan-02 12-Apr-02 03-May-02	07-Jan-02 28-Feb-02 01-May-02 07-Jan-02 12-Apr-02 03-May-02	S20,000 Fine S5.000 Fine S25,000 Fine Letter of Cersure S10,000 Fine Letter of Cersure	22/03/02 21/06/02 20/06/02 N/A 10/07/02 N/A					
DA 28/028 DA 28/029 DA 28/030 DA 28/031 DA 28/031 DA 28/032 DA 28/032	Controlled Contract provisions Using unlicensed personnel working in positions requiring a employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of Baccarat Breach of Internal Controls Breach of Internal Controls Breach of Internal Controls Breach of Internal Controls Breach of Internal	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table aames without first giving 28 days prior notce in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences. Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200,000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract of A junkst player moved a \$200,000 wager from Player to Banker after the first card had been drawn from the shoe Crown failed to provide the VCGA with two external audit reports by 31 October 2001 as is required by the Internal Controls. A game of Poker was played for approximately two hours with a card missing from the deck. Crown failed, for four (4) months, to provide monthly Platron Comments Reports as required by the Internal Controls. When the reports were provided in the fifth month they were deemed by the VCGA to be deficient.	February 1998 06-Mar-00 01-Jan-98 During 2000 07-Aug-00 31-Oct-01 30-Jan-01 August to November 2001	31-Oct-01 02-Nov-01 04-Dec-01 23-Jan-02 23-Jan-02 23-Jan-02	14-Nov-01 28-Feb-02 01-May-02 07-Jan-02 12-Apr-02 03-May-02	07-Jan-02 28-Feb-02 01-May-02 07-Jan-02 12-Apr-02 03-May-02	S20,000 Fine S5.000 Fine S25,000 Fine Letter of Cersure S10,000 Fine Letter of Cersure	22/03/02 21/06/02 20/06/02 N/A 10/07/02 N/A					
DA 28/028 DA 28/029 DA 28/030 DA 28/031 DA 28/031 DA 28/032 DA 28/032	Controlled Contract provisions Using unlicensed personnel working in positions requiring a employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of Baccarat Breach of Internal Controls Breach of Internal Controls Breach of Internal Controls Breach of Internal Controls Breach of Internal	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table aames without first giving 28 days prior notce in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences. Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200,000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract of A junkst player moved a \$200,000 wager from Player to Banker after the first card had been drawn from the shoe Crown failed to provide the VCGA with two external audit reports by 31 October 2001 as is required by the Internal Controls. A game of Poker was played for approximately two hours with a card missing from the deck. Crown failed, for four (4) months, to provide monthly Platron Comments Reports as required by the Internal Controls. When the reports were provided in the fifth month they were deemed by the VCGA to be deficient.	February 1998 06-Mar-00 01-Jan-98 During 2000 07-Aug-00 31-Oct-01 30-Jan-01 August to November 2001	31-Oct-01 02-Nov-01 04-Dec-01 23-Jan-02 23-Jan-02 23-Jan-02	14-Nov-01 28-Feb-02 01-May-02 07-Jan-02 12-Apr-02 03-May-02	07-Jan-02 28-Feb-02 01-May-02 07-Jan-02 12-Apr-02 03-May-02	S20,000 Fine S5.000 Fine S25,000 Fine Letter of Cersure S10,000 Fine Letter of Cersure	22/03/02 21/06/02 20/06/02 N/A 10/07/02 N/A					
DA 28/028 DA 28/029 DA 28/030 DA 28/031 DA 28/031 DA 28/032 DA 28/033 DA 28/033	Controlled Contract provisions Using unlicensed personnel working in positions requiring a casine special employee's licence Breach of the Provisions Breach of the Rules of Baccarat Breach of Internal Controlls Breach of Internal Controls Breach of Internal Controls Breach of Internal Controls Breach of Internal Controls	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table sames without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200.000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract of A junket player moved a \$200,000 wager from Player to Banker after the first card had been drawn from the shoe Crown failed to provide the VCGA with two external audt reports by 31 October 2001 as is required by the Internal Controls. A game of Poker was played for approximately two hours with a card missing from the deck Crown failed for four (4) months, to provide monthly Patron Comments Raports as required by the Internal Controls. When the reports were provided in the fifth month they were deemed by the VCGA to be deficient. Crown entered into a contract with Safegames & Mikohn without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract	February 1998 06-Mar-00 01-Jan-98 During 2000 07-Aug-00 07-Aug-00 31-Oct-01 30-Jan-01 Aegust to November 2001 09-Feb-00	31-Oct-01 02-Nov-01 04-Dec-01 23-Jan-02 23-Jan-02 23-Jan-02 23-Jan-02	14-Nov-01 28-Feb-02 01-May-02 07-Jan-02 12-Apr-02 03-May-02 03-May-02	07-Jan-02 28-Feb-02 01-May-02 07-Jan-02 12-Apr-02 03-May-02 03-May-02	S20,000 Fine S5.000 Fine S25,000 Fine Letter of Cersure S10,000 Fine Letter of Consure S2,500 Fine	22/03/02 21/06/02 20/06/02 N/A 10/07/02 N/A 21/06/02					
DA 28/028 DA 28/029 DA 28/030 DA 28/031 DA 28/031 DA 28/032 DA 28/033 DA 28/034	Controlled Contract provisions Using unlicensed personnel working in positions requiring a employee's licence Breach of the Controlled Contract Provisions Breach of the Rules of Baccarat Breach of Internal Controls Breach of Internal Controls Breach of Internal Controls Breach of Internal Controls Breach of Controlled	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table aames without first giving 28 days prior notce in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences. Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200,000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract of A junkst player moved a \$200,000 wager from Player to Bankar after the first card had been drawn from the shoe Crown failed to provide the VCGA with two external audit reports by 31 October 2001 as is required by the Internal Controls. A game of Poker was played for approximately two hours with a card missing from the deck. Crown failed, for four (4) months, to provide monthly Patron Commants Reports as required by the Internal Controls. When the reports were provided in the fifth month they were deemed by the VCGA to be deficient. Crown entered into a contract with Safegames & Mikohn without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract. Crown entered into a contract with Netstar without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract.	February 1998 06-Mar-00 01-Jan-98 During 2000 07-Aug-00 07-Aug-00 31-Oct-01 30-Jan-01 Aegust to November 2001 09-Feb-00	31-Oct-01 02-Nov-01 04-Dec-01 23-Jan-02 23-Jan-02 23-Jan-02	14-Nov-01 28-Feb-02 01-May-02 07-Jan-02 12-Apr-02 03-May-02	07-Jan-02 28-Feb-02 01-May-02 07-Jan-02 12-Apr-02 03-May-02	S20,000 Fine S5.000 Fine S25,000 Fine Letter of Cersure S10,000 Fine Letter of Cersure	22/03/02 21/06/02 20/06/02 N/A 10/07/02 N/A					
DA 28/028 DA 28/029 DA 28/030 DA 28/030 DA 28/031 DA 28/032 DA 28/033 DA 28/034 DA 28/036	Controlled Contract provisions Using unlicensed personnel working in positions requiring a casine special employee's licence Breach of the Provisions Breach of the Rules of Baccarat Breach of Internal Controlls Breach of Internal Controls Breach of Internal Controls Breach of Internal Controls Breach of Internal Controls	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table sames without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract Two Purchasing Dept. employees were promoted to positions requiring a casino special employee licence but did not obtain the licences Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200.000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract of A junket player moved a \$200,000 wager from Player to Banker after the first card had been drawn from the shoe Crown failed to provide the VCGA with two external audt reports by 31 October 2001 as is required by the Internal Controls. A game of Poker was played for approximately two hours with a card missing from the deck Crown failed for four (4) months, to provide monthly Patron Comments Raports as required by the Internal Controls. When the reports were provided in the fifth month they were deemed by the VCGA to be deficient. Crown entered into a contract with Safegames & Mikohn without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract	February 1998 06-Mar-00 01-Jan-98 During 2000 07-Aug-00 07-Aug-00 31-Oct-01 30-Jan-01 Aegust to November 2001 09-Feb-00	31-Oct-01 02-Nov-01 04-Dec-01 23-Jan-02 23-Jan-02 23-Jan-02 23-Jan-02	14-Nov-01 28-Feb-02 01-May-02 07-Jan-02 12-Apr-02 03-May-02 03-May-02	07-Jan-02 28-Feb-02 01-May-02 07-Jan-02 12-Apr-02 03-May-02 03-May-02	S20,000 Fine S5.000 Fine S25,000 Fine Letter of Cersure S10,000 Fine Letter of Consure S2,500 Fine	22/03/02 21/06/02 20/06/02 N/A 10/07/02 N/A 21/06/02					

A OBJECTIVE/													
	B	C	DATE OF	E	F	G DATE OF	H		J	K	L	M.	N
WORKSITE	INCIDENT	DESCRIPTION OF INCIDENT			REQUIRED RESPONSE DATE	CROWN'S	DECISION / OUTCOME	DATE FINE PAID	CROWN	Fines per FY	Fine Total		Acceptance by Crown of Finding
FILE NO.			Notice)	NOTICE		SUBMISSION TO VCGLR					1.04-24120124		NG23047870
						VCGLR							
DA 28/037	Breach of Controlled	This file is a continuation of files DA 035 and DA 036	As for files 035	As above	As above	As abore	As above	As set out in files 035 & 036			-	++	
	Contract provisions		& 036			1 /			Perso			1 1	
				1		1 /			and a				
				1		1 /			nal				
				1		1 /			lin form			1	
				1		1 /			Infor			1	
DA 28/038	Breach of Controlled	Crown entered into a contract with Flight Centre without first giving 28 days prior notice in writing to the VCGA of the details of the	1999 - 2001	28-Feb-02	24-Apr-02	24-Apr-02	\$5,000 Fine	21/06/02				1 1	
		proposed contract					124		matio			1	
DA 28/039	Breach of section	Crown discharged a debt owing by a patron without first seeking and obtaining the VCGAs approval in writing	06-Aug-99	28-Feb-02	12-Apr-02	12-Apr-02	Letter of Cersure	N/A				++	
DA 201000	68(2)(e) of the Casino		000 Aug-55	201 00-02	12-001-02	12-041-02			n			1	
	Control Act			1		1 /						1	
DA 28/040	Breach of the provisions of the	The VCGA served Crown with a Notice under the provisions of s 31.2 of the Casino Agreement (CA) for a failure to provide five Director's Quarterly Reports on the Series 2 Unsecured Notes between September 2000 and September 2001	September 2000 to	07-Mar-02	05-Apr-02	05-Apr-02	The matter was rectified within the 60 day cure period in the CA and the Compliance Alerter system was developed and implemented to ensure on going compliance	N/A					
	Casino Agreement		September	1		1 7						1	
DA 28/041	Numerous Minors in	Between 17 February and 22 July 2001 seven (7) minors were detected in the casino	2001 FEBRUARY -	28-Mar-02	25-Jun-02	25-Jun-02	\$7,000 Fine -(\$1,000 per Minor)	10/07/02				++	
DA 201041	the Casino		JULY 2001	20 mail for	20-941-02	20-0011-02					5		
DA 28/042	Minor in the Casino	A minor of approximately 12 months of age was detected in the casino in the arms of an adult patron	13-Oct-01	30-Apr-02	16-May-02	16-May-02	\$1,000 Fine	10/07/02			· · · · · · · · · · · · · · · · · · ·		
DA 28/043	Breach of Controlled	Crown entered into a contract with Classic Blue Computers without first giving 28 days prior notice in writing to the VCGA of the details of	DURING 2000	02-May-02	13-Jun-02	13-Jun-02	Letter of Cersure	N/A			-	++	
Contraction (Contraction)		the proposed contract			0.1.1.00.000	60.0000.000						1	
				1		1 /						1	
				1		1 /						1	
				1		1 /						1	
DA 28/044	Breach of Controlled	Crown entered into a contract with Bunbury Holdings Pty Ltd without first giving 28 days prior notice in writing to the VCGA of the details	DURING 2000	02-May-02	13-Jun-02	13-Jun-02	\$5,000 Fine	11/09/02		FY 2002	\$434,500	DA's Received for FY02 (based on date Notice to	
	Contract provisions	of the proposed contract								\$116,500		Show Cause received): 48	
			1	1		1 /						64 DA's received for 2nd s25 review period, totalling	
			1			1 /						\$184,500 in fines.	
	1		E	1			FY 2003				_		
DA 28/045	Alleged failure to pay	It was alleged that Crown contravened s 64(e) of the CCA by not paying an excluded patron who had won a Jackpot of \$10,769.05 on	22-Aug-01	02-Jul-02	05-Aug-02	05-Aug-02	YCGA determined that Disciplinary Action was not warranted after consideration of Crown's submission which	N/A	Barry Felstead				
	winnings to a patron	gaming machine D2102		1		1 7	Included REDACTED - PRIVILEGE						
				1		1 /							
				1		1 /							
				1		1 /						1	
				1		1 /						1 1	
DA 28/046	Breach of Internal	A Crown Security and Service Officer breached the Internal Controls by escorting two electrical contractors into the Hard Count Room	29-Jun-01	29-Aug-02	31-Oct-02	21-Oct-02	\$10,000 Fine	03/12/02			0	++	
2010/02/23/02/2010	Controls	while the count was in progress				1000000000			Perso			1 1	
				1		1 /						1	
									nal				
DA 28/047	Breach of the Rules of Blackjack	f A game of Blackjack was played with Pontcon cards for nearly two hours	30-Jul-01	29-Aug-02	31-Oct-02	21-Oct-02	\$10,000 Fine	03/12/02					
				1		1 /			Infor			1	
DA 28/048	An alleged breach of	It was alleged in the Show Cause Notice that Crown used unapproved digital surveillance equipment to monitor and record some gaming	13 MAY - 3	29-Aug-02	31-Oct-02	Numerous	VCGA determined to withdraw the Disciplinary Notice at its meeting of 25 March 2003	N/A			-	++	
Drizorono	Internal Controls and	related operations and failed to retain the images for the required relention period	JULY 2001	20 Hug of		discussions but			matio			1 1	
	of section 59 of the CCA			1		no formal			matio			1 1	
	CCA			1		submission was lodged			n			1 1	
DA 28/049	Minor in the Casino	A minor of approximately 18 months of age was detected in the casino in the arms of an adult patron	10-Apr-02	29-Aug-02	31-Oct-02	21-Oct-02	\$1,000 Fine	03/12/02					
						1 /						1 1	
				1		1 /						1 1	
						/							
DA 28/050	Breach of the Rules of Blackjack	A game of Biackjack was played with Pontcon cards for approximately four (4) minutes	20-Apr-02	31-Oct-02	23-Nov-02	22-Nov-02	\$5000 Fine	20/12/02					
DA 28/051		Four (4) minors aged between 14 and 16 years of age entered and remained in the casino for approximately 7 minutes before being	13-May-02	04-Feb-03	01-Apr-03	01-Apr-03	\$1,000 Fine	14/05/03				1	
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DA 28/052	Minor in the Casino	A six (5) year old was lifted over the railing of the Baccarat Bar thereby enlering the defined casino premises	05-Dec-01	04-Feb-03	01-Apr-03	01-Apr-03	\$1,000 Fine	14/05/03					
	a company to an order										2		
DA 28/052 DA 28/053	a company to an order	A six (5) year old was lifted over the railing of the Baccarat Bar thereby enlering the defined casino premises A seventeen (17) year old entered the casino and gambled for approximately two (2) hours	05-Dec-01 14-Sep-02	04-Feb-03 04-#eb-03	01-Apr-03 01-Apr-03	01-Apr-03 01-Apr-03		14/05/03					
	a company to an order												
	a company to an order												
DA 28/053	Minor in the Casino	A seventeen (17) year old entered the casino and gambled for approximately two (2) hours Crown used two (2) Security Officers to assist in the running of the Surveilance Room while the majority of the Surveillance team met in	14-Sep-02				\$10,000 Pine						
DA 28/053	Minor in the Casino	A seventeen (17) year old entered the casino and gambled for approximately two (2) hours	14-Sep-02	04-Feb-03	01-Apr-03	01-Apr-03	\$10,000 Pine	14/05/03					
DA 28/053 DA 28/054	Minor in the Casino An alleged breach of Internal Controls Breach of the Rules of	A seventeen (17) year old entered the casino and gambled for approximately two (2) hours Crown used two (2) Security Officers to assist in the running of the Surveilance Room while the majority of the Surveillance team met in	14-Sep-02 20-Dec-01	04-Feb-03	01-Apr-03 15-Apr-03	01-Apr-03	\$10,000 Fine VCGA determined that Disciplinary Action was not warranted after consideration of Crown's submission	14/05/03					
DA 28/053 DA 28/054 DA 28/055	Minor in the Casino An alleged breach of Internal Controls Breach of the Rules of Bilackjack	A seventeen (17) year old entered the casino and gambled for approximately twe (2) hours Crown used two (2) Security Officers to assist in the running of the Surveillance Room while the majority of the Surveillance team met in relation to threatened industrial action A game of Blackjack was played with Pontcon cards for approximately twe and a half hours	14-Sep-02 20-Dec-01 06-Jul-02	04-#eb-03 27-Feb-03 25-Mar-03	01-Apr-03 15-Apr-03 01-May-03	01-Apr-03 15-Apr-03 28-Apr-03	\$10,000 Fine VCGA determined that Disciplinary Action was not warranted after consideration of Crewn's submission \$10,000 Fine	14/05/03 N/A 12/08/03					
DA 28/053 DA 28/054 DA 28/055	Minor in the Casino An alleged breach of Internal Controls Breach of the Rules of Bilackjack	A seventeen (17) year old entered the casino and gambled for approximately two (2) hours Crown used two (2) Security Officers to assist in the running of the Surveillance Room while the majority of the Surveillance team met in relation to threatened industrial action	14-Sep-02 20-Dec-01 06-Jul-02	04-#eb-03 27-Feb-03	01-Apr-03 15-Apr-03	01-Apr-03 15-Apr-03 28-Apr-03	\$10,000 Fine VCGA determined that Disciplinary Action was not warranted after consideration of Crewn's submission \$10,000 Fine	14/05/03 N/A					
DA 28/053 DA 28/054 DA 28/055	Minor in the Casino An alleged breach of Internal Controls Breach of the Rules of Blackjack Breach of the Rules of	A seventeen (17) year old entered the casino and gambled for approximately twe (2) hours Crown used two (2) Security Officers to assist in the running of the Surveillance Room while the majority of the Surveillance team met in relation to threatened industrial action A game of Blackjack was played with Pontcon cards for approximately twe and a half hours	14-Sep-02 20-Dec-01 06-Jul-02	04-#eb-03 27-Feb-03 25-Mar-03	01-Apr-03 15-Apr-03 01-May-03	01-Apr-03 15-Apr-03 28-Apr-03	\$10,000 Fine VCGA determined that Disciplinary Action was not warranted after consideration of Crewn's submission \$10,000 Fine	14/05/03 N/A 12/08/03	-				
DA 28/053 DA 28/054 DA 28/055	Minor in the Casino An alleged breach of Internal Controls Breach of the Rules of Blackjack Breach of the Rules of	A seventeen (17) year old entered the casino and gambled for approximately twe (2) hours Crown used two (2) Security Officers to assist in the running of the Surveillance Room while the majority of the Surveillance team met in relation to threatened industrial action A game of Blackjack was played with Pontcon cards for approximately twe and a half hours	14-Sep-02 20-Dec-01 06-Jul-02	04-#eb-03 27-Feb-03 25-Mar-03	01-Apr-03 15-Apr-03 01-May-03	01-Apr-03 15-Apr-03 28-Apr-03	\$10,000 Fine VCGA determined that Disciplinary Action was not warranted after consideration of Crewn's submission \$10,000 Fine	14/05/03 N/A 12/08/03					
DA 28/053 DA 28/054 DA 28/055 DA 28/056	Minor in the Casino An alleged breach of Internal Controls Breach of the Rules of Blackjack Breach of the Rules of Baccarat	A seventeen (17) year old entered the casino and gambled for approximately two (2) hours Crown used two (2) Security Officers to assist in the running of the Surveillance Room while the majority of the Surveillance team met in relation to threatened industrial action A game of Blackjack was played with Pontcon cards for approximately two and a half hours A shoe of Blackard cards missing 44 cards was used for play for approximately 30 minutes	14-Sep-02 20-Dec-01 06-Jul-02 02-Sep-02	04-#eb-03 27-#eb-03 25-Mar-03 06-May-03	01-Apr-03 15-Apr-03 01-May-03 16-Jun-03	01-Apr-03 15-Apr-03 28-Apr-03 16-Jun-03	S10,000 Fine VCGA determined that Dsciplinary Action was not warranted after consideration of Crown's submission S10,000 Fine S5,000 Fine	14/05/03 N/A 12/08/03 12/08/03	-				
DA 28/053 DA 28/054 DA 28/055 DA 28/056	An alleged breach of Internal Controls Breach of the Rules of Blackjack Breach of the Rules of Baccarat	A seventeen (17) year old entered the casino and gambled for approximately twe (2) hours Crown used two (2) Security Officers to assist in the running of the Surveillance Room while the majority of the Surveillance team met in relation to threatened industrial action A game of Blackjack was played with Pontcon cards for approximately twe and a half hours	14-Sep-02 20-Dec-01 06-Jul-02 02-Sep-02	04-#eb-03 27-Feb-03 25-Mar-03	01-Apr-03 15-Apr-03 01-May-03 16-Jun-03	01-Apr-03 15-Apr-03 28-Apr-03 16-Jun-03	S10,000 Fine VCGA determined that Dsciplinary Action was not warranted after consideration of Crown's submission S10,000 Fine S5,000 Fine	14/05/03 N/A 12/08/03		FY 2003	\$492,500	DA's Received for FY03 (based on date Notice to	
DA 28/053 DA 28/054 DA 28/055 DA 28/056	Minor in the Casino An alleged breach of Internal Controls Breach of the Rules of Blackjack Breach of the Rules of Baccarat	A seventeen (17) year old entered the casino and gambled for approximately two (2) hours Crown used two (2) Security Officers to assist in the running of the Surveillance Room while the majority of the Surveillance team met in relation to threatened industrial action A game of Blackjack was played with Pontoon cards for approximately two and a half hours A shoe of Blackard cards missing 44 cards was used for play for approximately 30 minutes	14-Sep-02 20-Dec-01 06-Jul-02 02-Sep-02	04-#eb-03 27-#eb-03 25-Mar-03 06-May-03	01-Apr-03 15-Apr-03 01-May-03 16-Jun-03	01-Apr-03 15-Apr-03 28-Apr-03 16-Jun-03	S10,000 Fine VCGA determined that Dsciplinary Action was not warranted after consideration of Crown's submission S10,000 Fine S5,000 Fine	14/05/03 N/A 12/08/03 12/08/03		FY 2003 \$58,000	\$492,500	Show Cause received): 13	
DA 28/053 DA 28/054 DA 28/055 DA 28/056	An alleged breach of Internal Controls Breach of the Rules of Blackjack Breach of the Rules of Baccarat	A seventeen (17) year old entered the casino and gambled for approximately two (2) hours Crown used two (2) Security Officers to assist in the running of the Surveillance Room while the majority of the Surveillance team met in relation to threatened industrial action A game of Blackjack was played with Pontoon cards for approximately two and a half hours A shoe of Blackard cards missing 44 cards was used for play for approximately 30 minutes	14-Sep-02 20-Dec-01 06-Jul-02 02-Sep-02	04-#eb-03 27-#eb-03 25-Mar-03 06-May-03	01-Apr-03 15-Apr-03 01-May-03 16-Jun-03	01-Apr-03 15-Apr-03 28-Apr-03 16-Jun-03	S10,000 Fine VCGA determined that Dsciplinary Action was not warranted after consideration of Crown's submission S10,000 Fine S5,000 Fine	14/05/03 N/A 12/08/03 12/08/03		FY 2003 \$58,000	\$492,500	Show Cause received): 13 60 DA's received for 3rd s25 review period, totalling	
DA 28/053 DA 28/054 DA 28/055 DA 28/056	An alleged breach of Internal Controls Breach of the Rules of Blackjack Breach of the Rules of Baccarat	A seventeen (17) year old entered the casino and gambled for approximately two (2) hours Crown used two (2) Security Officers to assist in the running of the Surveillance Room while the majority of the Surveillance team met in relation to threatened industrial action A game of Blackjack was played with Pontoon cards for approximately two and a half hours A shoe of Blackard cards missing 44 cards was used for play for approximately 30 minutes	14-Sep-02 20-Dec-01 06-Jul-02 02-Sep-02	04-#eb-03 27-#eb-03 25-Mar-03 06-May-03	01-Apr-03 15-Apr-03 01-May-03 16-Jun-03	01-Apr-03 15-Apr-03 28-Apr-03 16-Jun-03	S10,000 Fine VCGA determined that Dsciplinary Action was not warranted after consideration of Crown's submission S10,000 Fine S5,000 Fine	14/05/03 N/A 12/08/03 12/08/03		FY 2003 \$58,000	\$492,500	Show Cause received): 13	
DA 28/053 DA 28/054 DA 28/055 DA 28/056 DA 28/057	An alleged breach of Internal Controls Breach of the Rules of Blackjack Breach of the Rules of Baccarat Breach of the Rules of Blackjack	A seventeen (17) year old entered the casino and gambled for approximately two (2) hours Crown used two (2) Security Officers to assist in the running of the Surveillance Room while the majority of the Surveillance team met in relation to threatened industrial action A game of Blackjack was played with Pontoon cards for approximately two and a half hours A shoe of Blaccarat cards missing 44 cards was used for play for approximately 30 minutes A game of Pontoon was played with Blackjack cards for approximately 16 minutes	14-Sep-92 20-Dec-01 06-Jul-02 02-Sep-02 08-Dec-92	04-#eb-03 27-#eb-03 25-Mar-03 06-May-03 26-Jun-03	01-Apr-03 15-Apr-03 01-May-03 16-Jun-03 31-Jul-03	01-Apr-03 15-Apr-03 28-Apr-03 16-Jun-03 25-Jul-03	S10,000 Fine VCGA determined that Disciplinary Action was not warranted after consideration of Crown's submission S10,000 Fine S5,000 Fine FY 2004	14/05/03 N/A 12/08/03 12/08/03 08/09/03		\$58,000		Show Cause received): 13 60 DA's received for 3rd s25 review period, totalling \$237,500 in lines.	
DA 28/053 DA 28/054 DA 28/055 DA 28/056 DA 28/057	Minor in the Casino Minor in the Casino An alleged breach of Internal Controls Breach of the Rules of Blackjack Breach of the Rules of Blackjack Breach of the Rules of Blackjack	A seventeen (17) year old entered the casino and gambled for approximately two (2) hours Crown used two (2) Security Officers to assist in the running of the Surveillance Room while the majority of the Surveillance team met in relation to threatened industrial action A game of Blackjack was played with Pontoon cards for approximately two and a half hours A shoe of Blackard cards missing 44 cards was used for play for approximately 30 minutes	14-Sep-92 20-Dec-01 06-Jul-02 02-Sep-02 08-Dec-92	04-#eb-03 27-#eb-03 25-Mar-03 06-May-03	01-Apr-03 15-Apr-03 01-May-03 16-Jun-03	01-Apr-03 15-Apr-03 28-Apr-03 16-Jun-03	S10,000 Fine VCGA determined that Disciplinary Action was not warranted after consideration of Crown's submission S10,000 Fine S5,000 Fine FY 2004	14/05/03 N/A 12/08/03 12/08/03		\$58,000 FY 2004	\$492,500	Show Cause received): 13 60 DA's received for 3rd s25 review period, totalling \$237,500 in fines. DA's Received for FY04 (based on date Notice to	
DA 28/053 DA 28/054 DA 28/055 DA 28/056 DA 28/057	An alleged breach of Internal Controls Breach of the Rules of Blackjack Breach of the Rules of Baccarat Breach of the Rules of Blackjack	A seventeen (17) year old entered the casino and gambled for approximately two (2) hours Crown used two (2) Security Officers to assist in the running of the Surveillance Room while the majority of the Surveillance team met in relation to threatened industrial action A game of Blackjack was played with Pontoon cards for approximately two and a half hours A shoe of Blaccarat cards missing 44 cards was used for play for approximately 30 minutes A game of Pontoon was played with Blackjack cards for approximately 16 minutes	14-Sep-92 20-Dec-01 06-Jul-02 02-Sep-02 08-Dec-92	04-#eb-03 27-#eb-03 25-Mar-03 06-May-03 26-Jun-03	01-Apr-03 15-Apr-03 01-May-03 16-Jun-03 31-Jul-03	01-Apr-03 15-Apr-03 28-Apr-03 16-Jun-03 25-Jul-03	S10,000 Fine VCGA determined that Disciplinary Action was not warranted after consideration of Crown's submission S10,000 Fine S5,000 Fine FY 2004 S10,000 Fine	14/05/03 N/A 12/08/03 12/08/03 08/09/03		\$58,000		Show Cause received): 13 60 DA's received for 3rd s25 review period, totalling \$237,500 in lines.	
DA 28/053 DA 28/054 DA 28/055 DA 28/056 DA 28/057	An alisged breach of Internal Controls Breach of the Rules of Blackjack Breach of the Rules of Baccarat Breach of the Rules of Blackjack	A seventeen (17) year old entered the casino and gambied for approximately two (2) hours Crown used two (2) Security Officers to assist in the running of the Surveilance Room while the majority of the Surveilance team met in relation to threatened industrial action A game of Biackjack was played with Pontcon cards for approximately two and a half hours A shoe of Baccarat cards missing 44 cards was used for play for approximately 30 minutes A game of Pontoon was played with Blackjack cards for approximately 16 minutes A game of Biackjack was played with Pontcon cards for approximately three and a half hours	14-Sep-92 20-Dec-91 06-Jul-02 02-Sep-02 08-Dec-92 16-Feb-03	04-#eb-03 27-#eb-03 25-Mar-03 06-May-03 26-Jun-03 23-Oct-03	01-Apr-03 15-Apr-03 01-May-03 16-Jun-03 31-Jul-03 15-Dec-03	01-Apr-03 15-Apr-03 28-Apr-03 16-Jun-03 25-Jul-03 12-Dec-03	S10,000 Fine YCGA determined that Disciplinary Action was not warranted after consideration of Crown's submission S10,000 Fine S5,000 Fine S5,000 Fine FY 2004 S10,000 Fine FY 2005	14/05/03 N/A 12/08/03 12/08/03 08/09/03 27/01/04		\$58,000 FY 2004		Show Cause received): 13 60 DA's received for 3rd s25 review period, totalling \$237,500 in fines. DA's Received for FY04 (based on date Notice to	
DA 28/053 DA 28/054 DA 28/055 DA 28/056 DA 28/057 DA 28/058 DA 28/058	An alisged breach of Internal Controls Breach of the Rules of Blackjack Breach of the Rules of Baccarat Breach of the Rules of Blackjack	A seventeen (17) year old entered the casino and gambled for approximately two (2) hours Crown used two (2) Security Officers to assist in the running of the Surveillance Room while the majority of the Surveillance team met in relation to threatened industrial action A game of Blackjack was played with Pontoon cards for approximately two and a half hours A shoe of Blaccarat cards missing 44 cards was used for play for approximately 30 minutes A game of Pontoon was played with Blackjack cards for approximately 16 minutes	14-Sep-92 20-Dec-91 06-Jul-02 02-Sep-02 08-Dec-92 16-Feb-03	04-#eb-03 27-#eb-03 25-Mar-03 06-May-03 26-Jun-03	01-Apr-03 15-Apr-03 01-May-03 16-Jun-03 31-Jul-03 15-Dec-03	01-Apr-03 15-Apr-03 28-Apr-03 16-Jun-03 25-Jul-03	S10,000 Fine YCGA determined that Disciplinary Action was not warranted after consideration of Crown's submission \$10,000 Fine \$5,000 Fine \$5,000 Fine FY 2004 \$10,000 Fine FY 2005	14/05/03 N/A 12/08/03 12/08/03 08/09/03		\$58,000 FY 2004		Show Cause received): 13 60 DA's received for 3rd s25 review period, totalling \$237,500 in fines. DA's Received for FY04 (based on date Notice to	
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DA 28/053 DA 28/054 DA 28/055 DA 28/056 DA 28/057 DA 28/058 DA 28/058	An alisged breach of Internal Controls Breach of the Rules of Blackjack Breach of the Rules of Baccarat Breach of the Rules of Blackjack	A seventeen (17) year old entered the casino and gambied for approximately two (2) hours Crown used two (2) Security Officers to assist in the running of the Surveilance Room while the majority of the Surveilance team met in relation to threatened industrial action A game of Biackjack was played with Pontcon cards for approximately two and a half hours A shoe of Baccarat cards missing 44 cards was used for play for approximately 30 minutes A game of Pontoon was played with Blackjack cards for approximately 16 minutes A game of Biackjack was played with Pontcon cards for approximately three and a half hours	14-Sep-92 20-Dec-91 06-Jul-02 02-Sep-02 08-Dec-92 16-Feb-03	04-#eb-03 27-#eb-03 25-Mar-03 06-May-03 26-Jun-03 23-Oct-03	01-Apr-03 15-Apr-03 01-May-03 16-Jun-03 31-Jul-03 15-Dec-03	01-Apr-03 15-Apr-03 28-Apr-03 16-Jun-03 25-Jul-03 12-Dec-03	S10,000 Fine YCGA determined that Disciplinary Action was not warranted after consideration of Crown's submission S10,000 Fine S5,000 Fine FY 2004 S10,000 Fine FY 2005	14/05/03 N/A 12/08/03 12/08/03 08/09/03 27/01/04		\$58,000 FY 2004		Show Cause received): 13 60 DA's received for 3rd s25 review period, totalling \$237,500 in fines. DA's Received for FY04 (based on date Notice to	
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OBJECTIVE/ WORKSITE	INCIDENT	DESCRIPTION OF INCIDENT		DATE OF	REQUIRED RESPONSE DATE	DATE OF	DECISION / OUTCOME	DATE FINE PAID	CROWN	Fines per FY	Cumulative Fine Total	Per s 25 Review Period	Acceptance by Crown of Finding
FILE NO.				NOTICE	RESPONSE DATE	SUBMISSION TO			CONTACT		Fine Total		Finaing
						VCGLR							
DA	Breach of Internal	Gaming Machines personnel failed to manually adjust the Prize Percentage increment Rate on the "Win This Prize" Jackpol which led to	05-Jul-04	18-May-05		23/06/2005	Letter of Cersure	N/A	Doroo	FY 2005	\$ 513,500	DA's Received for FY05 (based on date Notice to	
28/061	Controls	over accruing for the prize and an understalement of revenue and therefore tax paid			20/09/2005	and 19/09/2005			Perso	\$11,000		Show Cause received): 3	
							FY 2006		nal				
DA 28/062	Minors in the Casino	12-10:23pm on 25/12/04 a minor in a pram entered the gaming floor via the Promenade entry after the parents spoke to the security officer on duty 2 3:39pm on 14/3/05 a 15 yr old male entered through Sante entry without being asked for ID	Incident 1 was on 25/12/04	16-Aug-05	17-Oct-05	17-Oct-05	Incident 1 - No Acton Incident 2 - \$1,000 Fine	28/11/05					
			Incident 2 was on 14/3/05						Infor				
DA	Minor in the Casino	A two (2) year old in a pram was allowed access to the gaming floor from Vegas Lift Lobby by a Property Services Officer		29-Sep-05	30-Nov-05	30-Nov-05	Letter of Cersure	N/A	motio			1	
28/063 DA 28/064	Minors in the Casino	1On 29/07/05 a minor in a pram entered the gaming foor via the Promenade entry after the parents spoke to the security officer on duty	Incident 1 was	30-Nov-05	21-Dec-05	20-Dec-05	Incident 1 - Letter of Censure Incident 2 -	16/3/06	matio				
		2 On 02/05/05 a 10 yr old male entered through the Food Court entry without being asked for ID	on 29/07/05 Incident 2 was		1.	100-0100000	\$2,000 Fine	57.00 State	n				
			on 02/06/05										
		An unlicensed security officer escorted an unlicensed cleaner into the Change Booth 4	02-Jun-05	27-Mar-06	29-May-06	29-May-06	\$2,000 Fine	02/08/06			\$518,500	DA's Received for FY06 (based on date Notice to	
1	to a sensitive area									\$5,000		Show Cause received): 6	
												10 DA's received for 4th s25 review period, totalling \$26,000 in fines.	
												420,000 m mes.	
3							FY 2007	1			_		
DA 28/066	Minor in the Casino	On 3 October 2005 a minor aged approx 2 years of age entered in her father's arms via the Food Court entry and remained in the casino for approx 40 seconds	03-Oct-05	07-Jun-06	31-Jul-06	28-Jul-06	Letter of Cersure	N/A					
DA 28/047	Minors in the Casino		Incident 1	07-4-02	06-04-06	05-Oct-06	Incident 1 - \$1500 Fine Incident 2 - \$1000 Fine	01/12/06				4/	
DA 28/067	Minors in the Casino	<u>I_</u> A minor (approx. 2 yrs of age) entered the casino in a pram via the Las Vegas entry after the child's mother asked the security officer on duty for directions. <u>2</u> . A minor (approx. 4 months of age) entered the casino in a pram via the Nonte Carlo entry	Incident 1 was on 17-08-05	or-hug-us	06-Oct-06	06-Oct-06	Incident 1 - \$1500 Fine Incident 2 - \$1000 Fine	01/12/06					
		while the security officers on duty atlanded to others at the entry point.	Incident 2 was on 08-01-06										
DA 28/068	Unlawful advance of	A patron was advanced gaming chips by a Pit Boss without cash or a CPV being tendered on three occasions in the amounts of \$100K.	10 2342024.724	16-Aug-06	13-Oct-06	13-Oct-06	\$15,000 Fine	01/12/06				+	+
		A pation was advanced gaining crips by a Pic boss minious cash of a CPY being tendered on unree occasions in the amounts of a rook. \$200K & \$200K.											
DA 28/070	Minor in the Casino	On 5 April 2006 a minor 14 years of age entered the casino via the Monte Carlo entrance and remained in the casino for approx. 40	05-Apr-06	22-Nov-06	05-Jan-07	04-Jan-07	\$2,500 Fine	19/02/07			\$538,500	DA's Received for FY07 (based on date Notice to	+
		minutes								\$20,000		Show Cause received): 5	
DA	Breach of game rules	The game of Texas Holdem poker was conducted in each occasion with incomplete deck of cards containing less than the required 52	Incident 1	05-Jul-07	31-Aug-07	31-Aug-07	FY 2003 \$15,000 Fine	04/10/07				1	
28/071	(poker) on three occasions	cards.	28/09/06 Incident 2			0000000							
			24/11/06 Incident 3										
2			13/12/06								-	<	
	Unmanned entry point on two occasions	Incident 1 On 20 October 2006 the Promenade bridge entry was left unmanned for a period of 11 minutes. Incident 2 On 13 November 2006 Sante entry was left unmanned for a period of 7 minutes.	Incident 1 20/10/06	05-Jul-07	31-Aug-07	31-Aug-07	\$10,000 Fine	01/10/07					
3		(c) Contraction of the contraction of a structure of the contraction of the contraction of the server. One hold the rest of a contraction of the contraction of th	Incident 2 13/11/06										
DA 28/073	Minor in the Casino	A minor aged 18 months entered the casino via the Vegas entry.	11-Jan-07	05-Jul-07	31-Aug-07	31-Aug-07	\$1.000 Fine	01/10/07					
DA		The game of pontcon was conducted with an incorrect number of cards being the required eight decks of 48 cards, plus and additional	14-Nov-06	05-Jul-07	31-Aug-07	31-Aug-07	\$7,500 Fine	04/10/07					<u> </u>
28/074	(pontcon)	card.											
DA 28/075	Breach of game rules (blackjack)	The game of blackjack was conducted with an incomplete shoe of cards being eight decks of 52 cards, minus the 15 cards which remained stuck in the shuffle machine	17-Dec-06	05-Jul-07	31-Aug-07	31-Aug-07	\$5,000 Fine	04/10/07					
3	()											J	
DA 28/076	Two minors in the Casino	Two minors aged 9 and 16 years entered the casino via Western entry.	14-Jan-07	05-Jul-07	31-Aug-07	31-Aug-07	\$1,000 Fine	01/10/07					
DA 28/077	Breach of rules of Pai	The game of Pai Gow on table PG 1703 was conducted with a set of tiles containing an extra "while nine" and missing a "low 8" for	19-Feb-07	20-Jul-07	14-Sep-07	14-Sep-07	\$7.500 Fine	04/10/07			-		+
3	Gow	approximately 27 minutes.										s	/
	Breach of the rules of Texas Holdem Poker	The game of Poker was played with one card missing for approximately 12 minutes	08-Feb-07	20-Nov-07	25-Jan-08	25-Jan-08	\$5,000 Fine	04/03/08					
9	Breach of the rules of	The game of Poker was played with one card missing for approximately 5 minutes	28-Jun-07	06-Feb-08	28-Mar-08	27-Mar-08	\$1,000 Fine	14/05/08					
)	Texas Holdem Poker												
DA 28/080	Breach of the rules of Texas Holdem Poker	The game of Poker was played with an incomplete deck of cards for approximately 6 minutes	13-Jun-07	28-Feb-08	11-Apr-08	08-Apr-08	\$2,000 Fine	17/07/08				/	
	Use of unapproved	Crown used gaming equipment, namely an incorrect and unapproved version of software, on Rapid Roulette without obtaining the	12 to 30 April	05-May-08	11-Jul-08	11-Jul-08	VCGR determined that Disciplinary Action was not warranted after consideration of Crown's submission	N/A			\$593,500	DA's Received for FY08 (based on date Notice to	
,	gaming equipment	approval to use that equipment	2007							\$55,000		Show Cause received): 12	
3							FY 2009						<u> </u>
	Breach of game rules (Blackjack) on one	The game of blackjack was conducted with an incomplete shoe of cards containing 5 less than the required number.	28-Oct-07	14-Aug-08	03-Oct-08	02-Oct-08	Letter of Consure	N/A					
1	occasion	Crown entered into a controlled contract with Aquanas Foods when notice in writing had not been given to the Commission 28 days before	July -	14-Aug-08	21-Nov-08	21-Nov-08	VCGR determined that Disciplinary Action was not warranted after consideration of Crown's submission	N/A			2		
		entering into the contract.	November 2006					1975					
DA 28/084	Breach of game rules	The game of WSOP Borus Texas Hold'em poker was conducted with an incomplete deck of cards	05-Jul-07	14-Aug-08	14-Nov-08	13-Nov-08	\$2,000 Fine	14/01/2009					<u> </u>
3	(poker) on one occasion												
DA 28/085	Breach of game rules (poker) on one	The game of Ornaha Poller was conducted with an incomplete deck of cards	15-Jan-08	10-Nov-08	30-Jan-09	29-Jan-09	\$7.500 Fine	29/04/09					Stored in hardcopy (BPQ1393)
7	occasion	The game of Manila Poker was conducted with an incomplete deck of cards	31-May-08	01-Jun-09	14-Aug-09	10-Aug-09	Letter of Censure	N/A		FY 2009	\$603,000	DA's Received for FY09 (based on date Notice to	Stored in hardcopy
	(poker) on one	anne Germe ei niegtus t auss uns antisassas unt en tuovilikuzta agent at patria.	5. may - 50		mg - v -			[\$9,500			(BPQ1393)
9	occasion						FY 2010						
DA 28/087	Minor in the Casino	On 11 October 2008 a minor aged 16 years of age entered via the Sports entry and remained in the casino for approx. fifty (50) minutes	11-Oct-08	21-Jul-09	01-Oct-09	10-Oct-09	\$2,500 fine	24/12/09					Stored in hardcopy (BPQ1393)
		1. A game of Texas Holdem Poker was conducted with an incomplete deck of cards.		21-Jul-09	01-Oct-09	28-Sep-09	\$7,500 fine for Incident 1 & \$5,000 fine for Incident 2	24/12/09					Stored in hardcopy
	(poker) on two occasions	2_A game of Texas Holdem Poker was conducted with an incomplete deck of cards.	7 September 2008										(BPQ1393)
			Incident 2 4 January 2009										
4	Minors in the Casino	1. A minor (spaces 2 months of ana) antered the poster in a more sin the Marte Mart			01-Nov-09	01-Nov-09	\$2,500 fine	25/02/2010				/	Stored in hardcopy
DA 29/050	casino	A minor (approx. 3 menths of age) entered the casino in a pram via the Monte Carlo entry. A minor (approx. 9 years of age) entered the casino via the Food Court entry.	16 July 2008		01-100-00	01-1109-05							(BPQ1393)
DA 28/089													
DA 28/089		1 A minor (infant) entered the casino in a gram via Sante entry after the mother asked for directions to the Promenade air bridge.	Incident 2 14 August 2008										
DA 28/089			Incident 2 14 August 2008 Incident 3 5 September										

OBJECT WORKS FILE NO	TIVE/ INCIDE	B DENT	C DESCRIPTION OF INCIDENT		E DATE OF SHOW CAUSE NOTICE	F REQUIRED RESPONSE DATE	G DATE OF CROWN'S SUBMISSION TO VCGLR	H DECISION / OUTCOME	DATE FINE PAID	J CROWN CONTACT	Fines p
DA 28/0		er) on ane	The game of Texas Holdem Poker was conducted with an incomplete deck of cards	01-Jan-09	15-Dec-09	31-Mar-10	17-Mar-10	VCOR determined that Disciplinary Action was not warranted after consideration of Crown's submission	N/A	Perso)
DA 28/0	091 Minors	end more on them.	 A minor (baby) entered the casino in a pram via Promenade air bridge entry. 	Incident 1 5 November 2008 Incident 2 6 February 2009 Incident 3 24 May 2009	18-Mar-10	28-May-10	24-May-10	52,500 fine	29/06/10	nal Infor matio	
DA 28/0		ch of game rules kjack) on one son	The game of blackjack was conducted with an incomplete shoe of cards containing 7 less than the required number.	23-Apr-09	31-Mar-10	01-Jun-10	28-May-10	\$10.000 fine	29/06/10	n	
DA 28/0	(poker) occasio		The game of Texas Holdem Poker was conducted with an incomplete deck of cards	08-Jun-09	31-Mar-10	01-Jun-10	20-May-10	\$15,000 fine	29/06/10		
DA 28/0	Advanc		Crown entered into a controlled contract with Advanced Catering when notice in writing had not been given to the Commission 28 days before entering into the contract.	January and June 2005 and March and May 2009	31-Mar-10	01-Jun-10	01-Jun-10	\$15,000 fine	29/06/2010	Perso	\$50,000
DA 28/0	095 Breach occase		1_Polier was played on a table that had no TLN displayed. 2. A Security officer left his post on the Promenade Air Bridge entry.	Incident 1 15 April 2009 Incident 2 25 April 2009	08-Apr-10	07-Jun-10	07-Jun-10	1, \$5.000 fme 2. VCGR determined that Disciplinary Action was not warranted after consideration of Crown's submission	22/09/2010	nal	
DA 28/0		er) on one	The game of Caribbean Stud Poker was dealt incorrectly breaching the Rules of the game.	07-Dec-09	30-Apr-10	28-Jun-10	24-Jun-10	VCOR determined that Disciplinary Action was not warranted after consideration of Crown's submission	N/A	Infor matio n	
DA 28/0		er) on one	The game of WSOP Bonus Texas Hold'em Poker was dealt incorrectly breaching the Rules of the game,	1 1-Mar-09	21-May-10	12-Jul-10	12-Jul-10	35,000 fine	22/09/2010		FY 2010 \$70,000
DA 28/0	gaming	re to ensure ng equipment is rely stored.	Cards were left in a shuffle machine after a Baccarat table had been closed, breaching rule 2.2.2, of the TG ICS.	05-Sep-09	09-Aug-10	11-Oct-10	11-Oct-10	E#2015 B6/12/2010 - VCGR determined that Disciplinary Action was not warranted after consideration of Crown's submission	N/A		
DA 28/0	099 Breach (poker) occasio	ch of game rules er) on one sion		02-Dec-09	09-Aug-10	11-Oct-10	C. (C. 18)	4/11/10 - VCGR determined that Disciplinary Action was not warranted after consideration of Crown's submission	The second second		
DA 28/1	and s 1 Act.	s 121 (4) of the	The game of Big Wheel commenced gaming prior to the table being opened in the ATOM System The game of Pontcon was deat one card short, the missing card was later found under the ASM. It is further alleged that Crown failed to	03-Nov-09	09-Nov-10 03-May-11	10-Jan-11 19-Aug-11	17-Jan-11 19-Aug-11	4/04/11 - VCGR issued Crown with a \$5,000 fine, 25/10/11 - VCGR determined that Disciplinary Action was warranted, however, after consideration of Crown's	07/04/11		\$5.000
	and s 6 121 (4)	60 (2)c and s 4) of the Act.	notify the VCGR of the matter until 5 days after the incident had occurred		÷			submission, the VCGR issued Crown with a Letter of Censure			
DA 28/1	and s B	ed Breach of ICS s 60 (2)c and s (4) of the Act.	The game of Texas Holdem Poker was dealt three hands with 51 rather than 52 cards – the missing card was subsequently located in the ASM.	10-Jun-10	03-May-11	19-Aug-11	19-Aug-11	22/09/11 - VCGR issued Crown with a \$10,000 fine.	29/09/11		
DA 28/1 #684383	and s 6	ed Breach of ICS a 60 (2)c and s 4) of the Act.	The game of Poker was played with less than the required number of cards for 8 hands, due to a Dealer error.	21-Oct-10	21-Jun-11	31-Aug-11	25-Aug-11	07/10/11 - VCGR determined that Disciplinary Action was warranted, however, after consideration of Crown's submission, the VCGR Issued Crown with a Letter of Censure	N/A		FY 201 \$15,000
DA 28/1	ICS an the Ga	and s 10.7.6(3) of Sambling Nation Act 2003	Two minors accompanied by their mother (burists from Germany), were detected at the Atrium Bar by a VCGR inspector,	17-Jan-11	05-Sep-11	04-Nov-11	04-Nov-11	FF 2012 28/11/11 - VCGR issued Crown with a \$5,000 fine.	12/12/11	Craig Walsh	FY 201 \$5,000
DA 28/0	085 Alleged	ed breach of s 60 e CCA and the	The geme of WSOP Bonus Texas Holdern was dealt with two cards missing.	06-May-12							
No D Recei	Dàs							FY 2013			
DA 28/1	10,7,6(10,7,6(Gambi	ed Breach ofs 6(1) and 6(3) of the <i>bling Regulation</i> toos (vic).	Three minors were detected on the gaming floor by a VCGLR Inspector, two of who were alleged to have gambled.	01-Jan-13	11-Oct-13	06-Nov-13	Construction of	FY 2014 20/12/13 - VCGLR Issued Crown with a \$35,000 fne (\$5,000 for each minor having entered the casino and \$10,00 for each minor that gambled). The Commission also expects a response in relation to 'improvements to its processes to overcome such occurrences."	0 23-Dec-13	Craig Walsh	FY 2014 \$35.000
DA 28/1	10.7.6(Gambi	6(3) of the blog Regulation 1003 (VIC).	A VCGLR Inspector requested that a Security Officer conduct an ID check of a patron they suspected to be a minor (now known estimated in the Atrium Bar. The Security Officer confirmed that a security of the scalar floor via the Monte Carlo Entry point at 2028hrs. The minor entered via the Monie Carlo Entry point, accompanied by his father at approximately 2019hrs. The two Security Officers at the Entry when the minor had netied were engaged with checking a patron's 10. The minor sat at an EGM but did not pay. A Gaming Machine Attendant approximately 2019hrs. The two Security Officers at the fruty when the additional discrete the minor as she did not address him and he left the machine immediately after. The Gaming Machine Saff member was conselled regarding the inclinet and one of the two Security Officers received a First Written Warning and the other received a Verbal Warning. Matter closed.	14-Dec-2013	17-Apr-14	22-May-14	22-Mey-14	18/07/14 (received 22/07/14), the VC&LR determined that Disciplinary Action was warranted and issued Crown wit a fine for \$20,000. A cheque was requested for payment on 22 July 2014 - matter closed.	h 29-Ju-14 Cost split between Security (for allowing the minor to enter) and Gaming Machin (for falling to detect the minor at the EGM).		\$20,000

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Fines per FY	Cumulative Fine Total	Per s 25 Review Period	Acceptance by Crown of Finding
			Stored in hardcopy (BPQ1393)
			Stored in herdcopy (BPQ1393)
			Stored in hardcopy (BPQ1393)
			Stored in hardcopy (BPQ1393)
50,000	\$651,000		Stored in hardcopy (BPQ1393)
			Stored in hardcopy (BPQ 1393)
			Stored in hardcopy (BPQ1393)
Y 2010 70,000	\$673,000	DA's Received for FY10 (based on date Notice to Show Cause received): 17	Stored in hardcopy (BPQ1393)
			Stored in hardcopy (BPQ1393)
			Stored in hardcopy (BPQ1393)
5.000	\$661,000		Stored in hardcopy (BPQ1393)
			Stored in hardcopy (BPQ1393)
	Î		Stored in hardcopy (BPQ1393)
Y 2011 15,000	\$688,000	DA's Received for FY11 (based on date Notice to Show Cause received): 6	Stored in hardcopy (BPQ1393)
Y 2012 5,000	\$693,000	DA's Received for FY12 (based on date Notice to Show Cause received): 1 48 DA's received for 5th s25 review period totalling	Stored in hardcopy (BPQ1393)
		\$174,500 in fines.	
		DA's Received for FY13 (based on date Notice to Show Cause received): 8	
¥ 2014 35.000	\$728,000	DA's Received for FY14 (based on date Notice to Show Cause received): 4	
20,000	\$748,000		
124115	Contract of Contra		

A	B		DATE OF	E	F	G	H		J	K	L	M M	N
OBJECTIVE WORKSITE FILE NO.		DESCRIPTION OF INCIDENT	DATE OF INCIDENT (or Notice)	DATE OF SHOW CAUSE NOTICE	REQUIRED RESPONSE DATE	DATE OF CROWN'S SUBMISSION TO VCGLR	DECISION / OUTCOME	DATE FINE PAID	CROWN	Fines per FY	Cumulative Fine Total	Per s 25 Review Period	Acceptance by Crown o
DA 28/108	10 7 6(3) of the	A miner (now known as was detected on the Mahogany Room terrace by a VCGLR inspector. At approximately 1941 hours on 31 January 2014, a VCGLR Inspector contacted Surveillance to advise that they had located a minor on the Mahogany Room Terrace. Footage revealed that had entered the Mahogany Room via the ground floor reception desk adjacent to the Crown Towers Lobby at 1558 hours, accompanied by two females, at least one being a Mahogany Room member. The sception desk was manned by two staff members at the time. One staff member was occupied with andther greeted the group including and viewed a membership card produced by each and the ground floor enception of the vigilance around checking 10. The Mahogany Room Receptionis: at the ground floor entry when the minor entered was counselled regarding the matter. Matter closed.	31-Dec-13	0 17-Apr-14	22-May-1	4 22-May-1	10/07/14 (received 22/07/14), the VCGLR determined that Disciplinary Action was wananted and issued Crown with a fine for \$20,000. A cheque was requested for payment on 22 July 2014	29-Jul-14	Perso	\$20,000	\$768,000		
6 DA 28/109 7	Alleged Breach of s 10.7.6(3) of the Gambling Regulation Act 2003 (Vic).	0239hrs via the Promenade Air Bridge Entry point. Footage revealed that a male, now known as entered the casino floor via the		17-Apr-14	22-Mey-1-	4 22-Mey-1-	18/07/14 (received 22/07/14), the VCGLR determined that Disciplinary Action was warranted and issued Crown with a \$10,000 fine (\$5,000 for each minor having entered the casino).	29-Jul-14	Craig Walsh	\$10,000	\$778,000		
B DA 28/110	Alleget Breach of s 60(2)(c) and 60(3)(c) of the Casino Control Act 1991 (Vic).	alleged that there is no Dealer Terminal connected to SATG table 2608.		18-Aug-14	15-Sep-1-	4 1-Sep-1-	FF2015 On 18 August 2014, the VCGLR issued Crown with a Notice to Show Cause and on 1 September 2014, Crown provided a response. On 16 October 2014, the VCGLR agreed that Crown was not in breach as Rule 2.2.2 of the SATG Rules which does not state the required proximity of the Dealer Terminal to the table. A review of Rule 2.2.2 of the SATG Rules to provide clarity regarding Dealer Terminals and the removal of the TLN at table 2606 is currently under consideration - matter closed.		Perso	0	\$778,30	DA's Received for FY15 (based on date Notice to Show Cause received): 2	
DA 28/112 (Worksite)	60(2) of the Casino	On 1 August 2014 at approximately 255hrs, a minor, now known as accompanied by 4 other patrons entered the casino floor presenting the ID of another person by the name of the casino floor presenting the ID of another person by the name of the casino floor presenting the ID of another person by the name of the casino floor presenting the ID of another person by the name of the casino floor presenting the ID of another person by the name of the casino floor at escurit presented with the casino floor presented with the casino floor at 2559hrs. The security Officer at the Mings Entry point at 2040hrs. Footage revealed that an ID inspection took place prior to the Promesade Air bridge Security Officer at the Mings Entry point at 2040hrs. Footage revealed that an ID inspection took place prior to the Promesade Air bridge Security Officer allowing the Minor to enter the casino floor. At the time of entry the Security Officer autowing the Minor to enter the casino floor. At the time of entry the Security Officer autowing the Minor to enter the casino floor. At the time of entry the Security Officer autowing the Minor to enter the casino floor. At the time of entry the Security Officer autowing the Minor to enter the casino floor. At the time of entry the Security Officer autowing the Minor to enter the casino floor. At the time of entry the Security Officer autowing the Minor to enter the casino floor. At the time of entry the Security Officer autowing the Minor to enter the casino floor. At the time of entry the Security of the secure of a general autowing the Officer vere committed; and the officer entry and the officer vere committed, there was produced to the accused acceptable proof of age for the minor. As such and in this case: 1. The minor presented valid ID; and 2. Was over 14 years of age (she was 17).		24-Mar-15	14-Apr-11	5 13-Apr-1	On 23 June 2015, the Commission considered Crown's submission and has determined to issue Crown with a Letter of Censure. Matter closed.	N/A	Craig Walsh	0	\$778,000		

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OBJECTIVE/ WORKSITE	INCIDENT	DESCRIPTION OF INCIDENT	DATE OF INCIDENT (or	DATE OF SHOW CAUSE	REQUIRED RESPONSE DATE	DATE OF CROWN'S	DECISION / OUTCOME	DATE FINE PAID	CROWN CONTACT	Fines per
FILE NO.			Notice)	NOTICE		SUBMISSION TO VCGLR				
1 DA 28/112 (Worksite)		On 30 March 2016, the VCGLR issued Crown with a Notice to Show Cause in relation to an operational change to the fully-automated table games (FATGs) configuration. It is specifically alleged that for a period, there was no ability to set daily loss and time limits on FATGs. It is noted that the VCGLR's Notice to Show Cause addresses 13 FATGs allegedly in breach, however, two of the thirteen (Tables 0725 and 1021) are Semi-Automated Table Games. Crown submitted that the limit capabilities on FATGs were disabled as alleged, when the State wide pre-commitment system (YourPlay) was introduced and available to gaming machines only. The law prevents two pre-commitment systems being operated for EGMs. Crown was therefore required to disable its own pre-commitment system (PaySafe) which operated simultaneously across both gaming machines and FATGs. Accordingly, when PlaySafe was disabled as required for EGMs. It also ceased to operate on FATGs. On 11 April 2016, Jason Cremona, was provided, as requested, with a letter outlining the scope and estimated timelines for the works to be carried out. Crown is committed to undertaking the necessary works either within or ahead of the schedule provided. Crown was deeply involved in discussion and consultation with the Department of Justice (DOJ) priot to 10 November 2015, however, it was deept involved in discussion and consultation with the Department of discussion to 10 November 2015, however, it was deept involved in PlaySafe (no perate across both EGMs and FATGs accord to PlaySafe (no perated social PlaySafe) explication solely for EGM har minimisation and player protection features, did not account for, nor have the capability to extend to FATGs in accordance with Rule 3.9 of the FATG Rules. Crown submits that there should be no disciplinary action. The effect of turning off PlaySafe (as was required) was clear. Once turned off there would be no limit setting on FATGs, a position not disputed by the DOJ. Turther, this position was reinforced by the DOJ in recently obtain	unavailable on FATGs from 11 November 201 with the introduction of YourPlay)	5	5 25-Apr-16		19/07/16 the VCGLR determined that Disciplinary Action was warranted and issued Crown with a Letter of Censure and a fine for \$25,000 - matter closed.	26-Jul-16	Michelle Fielding	\$25,000
32 33 Worksite 106 #614394 34	Alleged breaches of the Junket and Premium Player Programs Internal Control Statement (ICS), which resulted in alleged breaches of s 121(4) and consequently s 20(1)(b) of the Casino Control Act 1991 (Vic)(Act).	On 28 December 2016, the VCGLR issued Crown with a Notice to Show Cause in relation to alleged breaches of the Junket and Premium Player Programs Internal Control Statement (ICS). Most of the alleged breaches are technical in nature (e.g. illegible hand writing on forms). It is specifically alleged that there were 21 breaches covering five (5) Junket Player Programs, which resulted in alleged breaches of s 121(4) and consequently s 20(1)(b) of the Cas no Control Act 1991 (Vic)(Act).	programs rang		25/01/2017	18/01/2017	FY 2017 On 18 January 2017, Crown made a submission to the Commission asking that the Notice be withdrawn or suspended, as a result of it containing a large number of factual errors. Pending this, Crown also requested the Commission provide additional information in relation to the alleged breaches. The Commission notified Crown on 24 January 2017 that they have accepted its request for additional information and will grant an additional seven (7) days to respond once received. "After this time has concluded the Commission will proceed to determine any d scipinary acto n relation to the alleged breaches." As of 4 April 2017 the VCGLR have withdrawn seven (7) the allegations and Crown has fourteen (14) days to respond to the revised Motice of fourteen (14) allegations. On 27 April 2017 Crown responded to the Notice issued by the Commission, maintaining that Crown was not in breach of s 12(4) and subsequently \$20(1)(b) of the Act. Crown defended thirteen (13) alleged breaches (as another had been withdrawn) and will await a response from the Commission in due course. On 6 December, the Commission issued Crown with its finding resulting in a \$150.000 fine, which Crown subsequently paid via cheque on 20 December 2017. The omater is now closed. On 9 February 2017, the Commission purported to provide Crown with the additional information to correct the errors in its Notice to Show Cause. However, the further information can be provided. The VCGLR again granted the extension and Crown awaits their further advice. On 22 February 2017, the Commission notified Crown that they are considering a response to Crown's request for the Notice to be withdrawn or suspended. The Commission also acknowledged that Crown was not is a position to respond to the Notice (Show Cause until further information was provided by the Commission, therefore, Crown has been granted an extension of time. On 4 April 2017, the Commission notified Crown that seven (7) of the twenty-one (21) allegations contained within the Notice (datel 28 December 2		Michelle Fielding	150,000
Das #336335 #336507 #374056 #355171 #364656 #355374		On 8 December 2017, the VCGLR issued Crown with a Notice to Show Cause in relation to alleged variation to 17 gaming machines types and 17 games. The Commission alleges that Crown has contravened the Gambling Regulation Act 2003 (Vic).	27 March 2017 20 April 2017.	' - 8 December	5/01/2018	5/01/2018 26/02/2018	FY 2018 On 5 January 2018, Crown responded to the Notice issued by the Commission defending Crown's position to the allegation of varying machine type and games without approval. On 6 February 2018, the Commission responded to Crown upholding their position on the matter. The Commission invited Crown to respond within 14 days. On 26 February 2018, Crown responded to the Commission. On 26 April 2018, the Commission made a determination, issuing a Letter of Censure and imposing a \$300,000 fine. Crown paid the fine via cheque on Tuesday 1 May 2018. The matter is now closed.	01/05/2018 (\$300,000)	Michelle Fielding	\$450,00
137 Worksite 06 #614391	Button Picks (Wilky allegation)	On 13 July 2018, The VCGLR issued Crown with a Notice pursuant to s 26 of the Cas no Control Act 1991 (Vic), in relation to Crown- banded 'picks' (button picks), which were able to be inserted in buttons on unrestricted EGM to allow simultaneous play of multiple machines. The VCGLR requested information in relation to the picks, inclusive of their use and instructions to staff, advise to patrons etc. On 7 March 2019, the VCGLR words to Crown advising of the finding, a required Crown to provide a report by 8 April 2019, detailing the steps taken by Crown to comply with the Direction. On 8 April 2019, Crown wrote to the VCGLR, setting out actions taken to comply with the 7 March 2019 VCGLR letter.		13/07/2018	27/07/2018 10/08/2018	18/07/2018 10/08/2018	FY 2019 On 7 March 2019, the VCGLR wrote to Crown with a determination that button picks were considered gaming equipment under s 64 of the Act. The VCGLR issued a Direction under s 23 banning button picks.	\$0	Michelle Fielding	
Worksite 06 #411431 139		Information pertaining to EGM C8308 operating in continuous play mode (alleged as 'unrestricted mode' in the Notice) when a player card was not inserted. On 20 December 2018, the VCGLR (on-site inspectorate) wrote to Crown requesting clarification on several matters and an explanation as to why Crown breached s 62AB(2) of the Casino Control Act 1991 (Vic).		27/09/2018	11/10/2018	11/10/2018	On 11 October 2018, Crown responded to the VCGLR, by providing information as requested by the VCGLR (letter dated 27 September 2018). On 8 January 2019, Crown responded to the letter dated 20 December 2018, reinforcing its position as documented in Crown's response dated 11 October 2018, that the machine was not functioning in unrestricted mode and that the continuous play arose from a malfunction. On 14 January 2021, the VCGLR issued Crown with a Notice of Non-Compliance. No further action will be taken by the VCGLR.			\$450,0
Worksite 06	Crown Rewards Loyalty Scheme and EGM in Unrestricted Mode	As a consequence of the above matter (VCGLR letter dated 27 September 2018); on 19 November 2018 the VCGLR (Director, Compliance) responded to Crown advising that they are confinuing to investigate the circumstances relating to the malfunction of EGM C3308. The VCGLR sought further information from Crown as to whether regulation 18(6) of the Gambling Regulation (Pre-commitment and Loyalty Scheme) Regulations 2014 (Regulations) has been complied with.	n/a	19/11/2018	18/12/2018	18/12/2018	On 18 December 2018, Crown responded to the VCGLR's letter dated 19 November 2018.	0		\$450,0
Worksite 06 #41654	Your Play Pre- commitment scheme	On 5 October 2018, the VCGLR wrote to Crown to make inquiries of a preliminary nature regarding the operation of the Your Play pre- commitment scheme under s 26 of the Casino Control Act 1991 (Vic), requesting Crown to provide information and produce records as stated in the letter.	n/a	05/10/2018	26/10/2018	26/10/2018	On 26 October 2018, Crown responded to the Notice and provided all requested information.	0		\$450,0

Fines per FY	Cumulative Fine Total	Per s 25 Review Period	Acceptance by Crown of
	Fine Total		Finding

\$25,000	\$803,000		
150.000	052.000		
150,000	953,000		
\$450,00	\$1,253,000		
\$450,00	\$1,253,000		Crown does not accept the
			finding (Button Picks require approval as gaming
			approval as gaming equipment).
\$450,00	\$1,253,000		
91 00,00	÷1,200,000		
\$450.00	\$1 252 000		
\$450,00	\$1,253,000		
\$450,00	\$1,253,000		

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OBJECTIVE/ WORKSITE FILE NO.	INCIDENT	DESCRIPTION OF INCIDENT	INCIDENT (or	DATE OF SHOW CAUSE NOTICE	REQUIRED RESPONSE DATE	DATE OF CROWN'S SUBMISSION TO VCGLR	DECISION / OUTCOME	DATE FINE PAID	CROWN	Fines per FY	Cumulative Fine Total	Per s 25 Review Period	Acceptance by Crown of Finding
DAs #459154	Alleged breach of the Junket and Premium Program Players Internal Control Statement (ICS)	On 1 March 2019, the VCGLR issued Crown with a Notice to Show Cause (Notice) for contraventiens of section 121 of the Casino Control Act 1991 (Vic). It is alleged that Crown failed to notify the Commission of a new Junket Operator I under 2.4.1 of the ICS, this subsequently offended a 121 of the Casino Control Act 1991 (Vic)(Act).			29-Mar-19	29-Mar-19	On 29 March 2019. Crown responded to the Commission, maintaining that the error was administrative in nature and was not a deliberate act committed to conceal or deceive the Commission or the general public. Crown also responded to the matter of three prior instances, as raised by the Commission in the Notice. Crown made comment that these matters occurred as a s result of human error over a 25 year period. On 7 May 2019, the Commission wrote to Crown, commenting that it was satisfied that a ground for disciplinary action was established on the basis that Crown contravened a provision of the Act. The Commission imposed a \$25,000 pursuant to s 20(4)(a) of the Act.		Michelle Fielding	\$25000	1.278,000		
3 DA 06	Operator information	On 9 August 2019, the VCGLR issued Crown Resorts with a section 25 Notice. The Notice requested information regarding the Junket Operators' process and procedures undertaken by Crown Resorts (and its associated corporate entities, including Crown Melbourne Limited (Crown)) and the ongoing probity monitoring of Junkets by Crown.	The notice related to information on 5 Junket Operators. Between 1 July 2014 - 30 June 2019 how many entities did Crown refuse approval.	09-Aug-19	Items 1 - 4 14 August 2019 Items 5 -7 16 August 2019 Item 8 23 August 2019	Crown made the submission deadlines	EV 2920 Pending with the VCGLR	Na	Michelle Fleiding	0		Seventh Review	
Worksite 106 #613954 #613955	Section 26 Notice - Request for Junket Operators	On 16 December 2019, the VCGLR issued Crown with a section 25 <u>Notice</u> . The requested information regarding recent media alegations and Junket Operators. <u>Matters listed</u> 1. Freezer bag recording and the UN Sanctions List and the UN	Nia	16-Dec-19	Items 1 -3 and 5 -7 by 5pm 20 December 2019. Items 4 & 8 by 5pm 24 December 2019.	20 December 2019 for all items listed	On 20 December 2020, Crown responded to the Notice.	NA	Joshua Preston	0	1.278.00	Seventh Review	
6 Worksite 106 #615743 #616776	s 26 Notice - Request for Junket Operations	On 7 September 2020, the VCGLR issued Crown with a section 26 Notice. The Notice requested information regarding Jurket Operations, more specifically, operations regarding:	Na	07-Sep-20	18-Sep-20	18-Sep-20	On 18 September 2020, Crown responded to the Notice.	N/A.	Michelle Fielding	0	1,278,00		Information Only
Workalte 106 #620769 #630040 #641296 #645331	Junket and Premium Program Players Internal Control Statement (ICS)	On 2 October 2020, the VCGLR issued Crown with a Notice to Show Cause – Contravention to a 121 of the Casino ControlAct 1991 (Vic) Notice) for allegad failures to comply with d. 2. 5.1 of the Junket ICS, that being. Crown failed to: 1. Establish – Complexents, therefore, failing to request and obtain all available and relevant information regarding 2. Verify the accuracy of medical allegations in relation to 2. Verify the accuracy of medical allegations in relation to 3. Exercise appropriate discretion in me-engaging with 0. The Crown failed to have regard to incidents of non-compliance by 1. That Crown failed to have regard to incidents of non-compliance by and/or Sundty during orgoing probit assessments, therefore failing to consider all available and relevant information regarding 1. The Crown failed to have regard to incidents of non-compliance by and/or Sundty during orgoing probit assessments, therefore failing to consider all available and relevant information regarding 1. The Crown provided a response to the VCGLR and awaits the VCGLR's decision.	Nia	02-Oct-20	30-0el-20	30-00+20	On 30 October 2020, Crown responded to the Notice. On 4 December 2020, Crown provided a response to the VCGLR.	Pending outcome	Michelle Fielding / Ken Barton			Seventh Review	On 19 November 2020, Michelle Fielding, Group General Manager – Regulatory and Compliance circulated a Memo to the Board Risk Management Committee, that captured eight (8) key matters consisted within the Notice Show Cause, what the breakdown in Clown's processes were and what cu be done to mitigate a recurrence in the future.
8 DA06 9	Section 26 Notice - ILGA Inquiry	On 13 November 2020, Crown Melbourne received a s 26 Notice from the VCGLR, seeking information in relation to evidence provided to the ILCA inquiry, including funds at the Sun City desk and a potential staff disciplinary matter.	05/01/2018 & 09/02/2018	13-Nov-20		23-Nov-20	As at 2 February 2021, the matter is pending with the VCGLR.	Pending outcome				Seventh Review	Information Only
Compliance does not hold a copy 0		On 17 November 2020, the VCGLR vrote to Crown noting its on-going menitoring of an Approved Associate. The VCGLR further put Crown on notice that it will contact Crown should it require further information in their consideration of the Associate's ongoing suitability.											
Compliance does not hold a copy		On 18 November 2020, the VCGLR served Crown with a s 26 Notice requesting copies of the Initialism Report and the Grant Thomton Report, which had not yet been finalised.		18-Nov-20									
DA06	Section 26 Notice - KFI	On 16 December 2020, Crown received a section 26 Notice – Request for Information – information in relation to investigation of compliants about cultying	IN/A	16-Nov-20		15-Jan-21	As at 2 February 2021, the matter is pending with the VCGLR.	Pending outcome				Seventh Review	
DA06	Section 26 Notice Request for Information – Copies of further information submitted to the NSW Casino Inquiry	On 13 January 2021, Crown Nelbourne received a s 25 Notice from the VCGLR, requiring Crown to provide the final Grant Thomton report on Southback Investments and any further statements or documents filed with the NSIV Casino Inquiry by Crown since 24 November 2021. On 15 January 2021 as required. Crown provided the final Grant Thomton report and statements as requested	NIA	13-Jan-21	15-Jan-21	15-Jan-21	As at 2 February 2921, the matter is pending with the VCGLR.	Pending outcome				Seventh Review	

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File Number	DATE OF INCIDENT	INCIDENT	DESCRIPTION OF INCIDENT	DATE OF DECISION / OUTCOME	DECISION / OUTCOME	REASON FOR INCIDENT
VCGR Investigation	15/06/2010	Alleged breach of s 60 of the Act.	The game of Blackjack was played with one card missing for 45 minutes, as a result of an Automatic Shuffle Machine incident.	26/08/2010	The VCGR concluded no further action will be taken.	Automatic Shuffling Machine
DA 28/101 VCGR Investigation DA 28/101	28/06/2010	Alleged breach of s 60 of the Act.	The game of Baccarat was played with one card missing for 19 hands, as a result of an Automatic Shuffle Machine incident.	27/08/2010	The VCGR concluded no further action will be taken.	Automatic Shuffling Machine
	03/08/2010	Alleged breach of s 60 of the Act.	The game of Blackjack was played with less than the required number of cards as the result of an Automatic Shuffe Machine issue, for thirty-five consecutive hands	06/09/2010	The VCGR concluded no further action will be taken.	Automatic Shuffling Machine
VCGR Investigation DA 28/101	10/08/2010	Alleged breach of s 60 of the Act.	The game of Blackjack was played with less than the required number of cards as the result of an Automatic Shuffe Machine issue, for forty-two consecutive hands – no further action will be taken.	06/09/2010	The VCGR concluded no further action will be taken.	Automatic Shuffling Machine
	30/04/2010	Alleged breach of s 60 of the Act.	The game of Blackjack was played without the cards being shuffled, for five consecutive hands.	14/09/2010	The VCGR concluded no further action will be taken.	Negligence - Procedural violation
	23/08/2010	Alleged breach of s 76 of the Act.	The excluded persons list was not posted prior to 6 am.	27/09/2010 - Michelle responded to the VCGR.	Matter closed.	N/A - Previous agreements between Security & the VCG taken into consideration
VCGR Investgation DA 28/101	9/07/2010	Non compliance with regulations s 38 (1)(a) and 38(1)(n).	PAS failed to contain the Responsible Gambling Message, etc.	09/08/10 - Michelle responded to the VCGR	Matter closed.	N/A
VCGR Investigation	11/08/2010 & 28/09/2010	Alleged breach of s 60 of the Act.	Two alleged breaches of the Game Rules, for matters relating to Automatic Shuffle Machine errors.	07/01/11 - Michelle responded to the VCGR.	Matter closed.	Automatic Shuffling Machines
	5/10/2015	Section 121 CCA ICS Junket & Premium Players Programs V.4.0	On 5 October 2015, the VCGLR wrote to Crown to formally request CML immediately cease its relationship with	06/11/2015 - Michelle Fielding spoke to Ralph MONTEPAONE of the VCLGR about the letter and Crown's dealings with Subsequently, Mr. Montepaone asked the Crown does not action any part of their letter for now, until he comes back to Michelle.		
Worksite 06	8/11/2017	On 8 November 2017, the	Allegation: Button Trial on EGM D21	EV 2013 On 22 November 2017, Crown responded to the VCGLR's Notice dated 8	Matter closed.	This trial never took place.
#305224 #336335		VCGLR issued Crown with a Section 26(1) Notice (Notice) pursuant to the Casino Control Act 1991 (Vic), requesting information pertaining to EGM Bank D21.	On 8 November 2017, the VCGLR issued Crown with a section 26 Notice to provide information relating to an alleged breach o the GRA 2003 regarding a trial of min. and max bet selection on EGM Bank D21.	November 2017, by providing all requested information. Information related to		
Worksite 06 #336318 #362338	8/12/2017	On 8 December 2017, the VCGLR issued Crown with a letter for information in relation to a Trial (Blanking Plate) for May 2016 on D46 and F37.	Allegation: Button Trial on EGM F23 On 12 December 2017, Crown responded to the Commission, by way of an explanation that the Trial did indeed not commence at that point in time and it was apart of the preparation/pitch for the March 2017 Trial. Crown responded on 19 December 2017.	On 8 December 2017, Crown was issued with a Show Cause Notice relating to D21. Crown responded to the Commission on 5 January 2018, and included F23 in it's response. Please refer to the DA tab. The matter is now closed.	Letter of Censure and fine \$300,000	Blanking Plate
Worksite 06	8/12/2017	On 8 December 2017, the	Allegation: Crown held an earlier 'Trial' relating to Blanking Plates.	19 December 2017, Crown responded to the s 26 request for information,	Letter of Censure and fine \$300,000	Blanking Plate
#314420 #336318		VCGLR issued Crown with a Section 20(2) Notice to Show Cause (Notice) pursuant to the Casino Control Act 1991 (Vic), requesting information pertaining to EGM Bank F21.	Refer to DA tab	confirming that the trial undertaken on D21 and F23 was the one (same) trial across two banks (17 EGMs). Matter is now closed.		
VCGLR Letter of Warning #341770	18/02/2018	Alleged Breach of s 19 of the Gambling Regulations 2015	Clock on C8604 was only particularly visible on the screen (18/02/2018)	14/03/2018	Matter is closed.	Screen calibration
VCGLR Reported through CURA and onto ERCC	18/05/2018	Chip Rotation (Cage procedure) SOP	on 1 June 2018, seeking footage of a Chip Rotation in the Main Cage on 25 May 2018 – as a VCGLR audi revealed that the log of rotations was not completed on that date. Footage from the day did not show the chips being rotated. The matter has revealed a peculiarity in the interpretation of the word 'rotation' in the context of the Cage – it is not applied as moving chips to the front from the back, but rather as rippling the chips with the staff. member's hand in order to see if there are any discrepancies with the chips.		Pending with the VCGLR	The procedural submission update was approved to alte requirement.

CRW.512.012.1757_0008

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	ACCEPTANCE BY CROWN OF FINDING
l.	
the VCGR were not	
	See Button Blanks
	Crown accepted this finding. Any variation to a gaming machine must be approved via Gaming Initiative Form.
	Crown accepted this finding (as above)
	Accepted.
d to alter the rotation	Accepted.

A	В	C	D	E	F	G
File Number	DATE OF INCIDENT		DESCRIPTION OF INCIDENT	DATE OF DECISION / OUTCOME	DECISION / OUTCOME	REASON FOR INCIDENT
lotice	21/09/2018 (Date of Notice 27/09/2018)	Continuous Play available on five (5) EGMs (Heavy Weight Champion)	VCGLR Inspector discovered EGM C8308 was able to operate in continuous play mode when player card was not inserted. The continuous play was only available via the repeat bet button. On 11 October 2018, Crown provided all information requested by the Commission. On 19 November 2018 the VCGLR responded to Crown advising that they are continuing to investigate the circumstances relating to the maifunction of EGM C8308. The VCGLR further advised that Crown is being investigated to determine if regulation 18(6) of the Gambling Regulation (Pre-commitment and Loyalty Scheme) Regulations 2014 (Regulations) have been complied with.		0	Wiring fault
	Date of letter - 25 October 2018	Junket Operator was paid a commission on PalGow on program 300945.	Junket Operator was paid a commission on PaiGow. The VCGLR allege that this is against the Rules and consequently, was paid a commission that he was not entitled to. On 12 November 2018, Crown responded to the VCGLR's letter, providing cl. 14(a) of the Table Games Junket Program Agreement Terms and Conditions that allow for Crown to 'waive or vary any of these conditions but only to the extent that the waiver or variation operates to the benefit of the Junket Operator or agent at the time of the waiver or variation'. Crown also corrected the commission calculations from \$11,667,000 to \$3,395,033. On 6 December 2018, the VCGLR wrote to Crown requesting further advise under cl. 14(a) on the matter, the VCGLR also maintained their stance to the commission payment amount.	The matter is currently pending with the VCGLR	Pending	Crown responded to the request on 12 November 2018 Crown believes it is permissible within the agreement @ The VCGLR further wrote to Crown on 6 December 201 Crown responded on 3 January 2019.
	Letter dated 25 October 2018	Multiple Rewards Cards Casino Control Act 1991 (Vic) Crown Rewards Rules (1)	On 25 October 2018, the VCGLR wrote to Crown, alleging a breach of the Crown Rewards Rules occurred. The VCGLR conducted an audit of a Premium Player and found that Dependent held two primary membership account and three ancillary cards. The VCGLR requested an explanation as to why a member was permitted to have two membership cards and three ancillary cards. On 12 November 2018, Crown responded to the letter, setting out that the member held one Rewards account and one 'active' ancillary card (noting the other two were not active). Crown further provided that the second account was a registered CrownBet provisional account.	TBC	TBC	NA
VCGLR	1/11/2018 30/11/2018	Late Reporting (Schedule 5 of the Consolidated Casino Agreement). Ministerial Directions Casmo Control Act 1991 (Vic) Gambling Regulation Act 2003 (Vic)	The VCGLR sent Crown an email on 1 November stating that Crown had not complied with the requirement to file its Annual Financial Report by 31 October 2018. On 30 November 2018, during a routine gaming machine audit an issue was identified with the AGT game base. The AGT game base permitted continuous play mode to be active for approx. G-9 seconds after an eligible player card was removed from an unrestricted EGM. The lag in transition from Unrestricted to Restricted impacted 134 EGMs across the floor and meant that up to 2-3 more games could be placed in	The Report was located and Crown contacted the VCGLR to apologise for the oversight. The Report was immediately sent through.	Matter closed.	The email notification/reminder was missed. A Significant Event Report was completed. The matter to the manufacturer for investigation.
VCGLR Letter for explanation 06/DA #439243 #436274	6/12/2018	Lighting Compliance Responsible Gambling Code of Conduct Reg 8 of the Gambling Regulations 2015	The VCGLR alleges that five (5) EGMs from a total audit of fifteen (15) were below the required luminance level of 30 LUX. On 14 December 2018, Crown responded to the letter and provided remedial action information.	6-Dec-13	No further information has been received from the VCGLR. Noted in letter dated 6 December 2018, that repeated breaches may provide grounds for disciplinary action.	Crown responded to the request on 14 December 2018 measures Crown put in place once notified of the LUX le Machines are working through a program with Maintena the frequency of the luminance audits. Geming Machine purchased the same LUX meters as used by the VCGLI inconsistent LUX meter readings.
		Casino Control Act 1991 (Vic) - Section 62AB(2)	On 20 December 2018, the VCGLR (on-site inspectorate) wrote to Crown requesting a clarification on several matters and therefore an explanation as to why Crown breached s 62AB(2) of the Casino Control Act 1991 (Vic).	The matter is currently pending with the VCGLR	pending	On 8 January 2019, Crown responded to the letter date 2018, reinforcing its position as documented in Crown's dated 11 October 2018.
VCGLR Letter for explanation 06/DA #441989 #440101		Poker Rule 8.10	On 20 December 2018, the VCGLR wrote to Crown requesting an explanation as to why on 3 December 2018, Poker Rule 8.10 was not followed by the Dealer on PK10.	The matter is currently pending with the VCGLR.	pending	On 8 January 2019, Crown responded to the matter. Or human error as the initial cause of the error, subsequen brought to the attention of the Area Manager on duty, th Rule 14.1.1(a)(iv) deeming the valid and dealt correctly.
VCGLR Letter for explanation 06/DA #		Casno Control Act 1991 (Vic) Section 81AAC Gambling or betting by intoxicated persons prohibited	On 26 March2019, the VCGLR requested Crown to provide footage of a patron who they allege to be gambing while intoxicated. It is alleged that the patron placed a bet on Roulette 3112 at approximately 05:28 hours. The VCGLR has requested a statement from the Dealer.	On 9 April 2019, Crown provided footage in line with the request. Crown has provided statements to the VCGLR and the corrupted footage.	ТВА	Surveillance is working with the manufacturer to identify the corrupted file.
Investigation	18/03/2019	the VCGLR attendance)	On 18 March 2019, an alleged breach of the SOP (to be confirmed) occurred on MT0652 (Sic Bo). A job was logged with Maintenance to clean the dice, however, it was discovered that the seal was broken (a VCGLR Inspector was not in attendance when this occurred).	On 6 June 2019, the on-site inspectorate verbally advised that the matter is closed. No further action taken.	SOP updated, VCGLR advised 30 October 2019.	It is believed that the seal may have inadvertently broke Inspector on another occasion.
VCGLR Letter for explanation 06/DA #	19/04/2019	Roulette alleged 'significant bias'.	On 2 May 2019, the VCGLR wrote to Crown requesting an explanation as to what action Crown took in the face of contrary views regarding the bias.balance of Roulette Table BW3109. The matter relates to a VCGLR inspection of BW3109 on 19 April 2019, with an alleged finding of a 'significant bias'.	On 5 June 2019, Crown responded to the matter, noting the remedial action that was taken at the time. On 6 June2019, the VCGLR on-site Inspectorate verbally advised Crown that the matter was closed.	Verbal advise from SM that the matter has been closed.	

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	ACCEPTANCE BY CROWN OF FINDING
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	Accepted.
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File Number	DATE OF INCIDENT	INCIDENT	DESCRIPTION OF INCIDENT	DATE OF DECISION / OUTCOME	DECISION / OUTCOME	REASON FOR INCIDENT
VCGLR Letter for explanation 06/DA	1-Mar-19	s 81AAC Gambling or betting b intoxicated persons prohibited	y On 26 March 2019, the VCGLR requested that Crown provide footage of a patron who they allege was gambling whilst intoxicated. It is alleged that the patron placed a bet on Roulette 3112 at approximately 05:28 hours. The VCGLR has also requested a statement from the Dealer and an F&B employee involved.	The matter is currently pending with the VC3LR	Pending with the VCGLR	
VCGLR Letter #478519	21-Mai-19		On 21 March 2019, the VCGLR wrote to Crown regarding allegations made about the use of multiple player cards on EGMs.		On 21 March 2019, the VCGLR concluded that the use of multiple player loyalty cards does not contravene any applicable gaming laws.	
VCGLR Letter	27-Apr-19	Player Information Display could not be accessed on 12 EGMS	On 2 May 2019, the VCGLR wrote to Crown requesting an explanation of action taken by Crown in relation to the Player Information Display (PID) buttons on the bank of D15 and D1603. The request relates to the PID not being available on the first tap of the 'f button when the button panel is asleep (that is, it requires x2 button taps to activate the 'f button – one to 'wake' the button panel and again to activate the selected button).	Crown responded to the matter, noting the machine is operating as approved.	Pending with the VCGLR	The EGM is operating as approved.
VCGLR Letter for explanation 06/DA	1-May-19	Big Wheel alleged significant bi	a On 2 May 2019, the VCGLR wrote to Crown requesting an explanation as to what action Crown had taken regarding the bias/balance of Eig Wheel Table BW3109. The matter relates to a VCGLR inspection of EW3109 on 19 April 2019, with an alleged finding of a 'significant bias'.	On 5 June 2019, Crown responded to the matter, noting the remedial action that was taken at the time.	On 6 June2019, the VCGLR on-site Inspectorate verbally advised Crown that the matter was closed.	
DA -06	1-Jul-19	Poker Rules 14.9.5	On 4 July 2019, the VCGLR wrote to Crown requesting an explanation in relation to a patron complaint. The matter relates to an alegation that on 14 May 2019, the Dealer on PK3311 exposed the cards too early on the River.	FY 20 On 23 July 2019, Crown responded to the letter defending Crown's position that the Area Managers had made the correct decision given the circumstances. Crown relied on Poker Rules 1.1, 8.8, 18.11 and the patron's behaviour at the time (refusing to comply with a direction to reveal his cards).	Pending with the VCGLR	
90/10 500058	3-Jul-19	Patron Complaint - Jackpot Relocation	On 16 July 2019, the VCGLR emailed Crown requesting information pertaining to EGM M1104. A complaint was received by the VCGLR stating that the patron had been playing EGM - M1104 the night before and had returned to play the machine the next day, however, the EGM had been removed and the jackpots retired. The VCGLR sought information on the EGMs movements and Jackpot values (inclusive of jackpot configuration sheets).	On 2 August 2019, Crown responded to the request, noting EGM M1104 had moved planned several weeks in advance. Crown supplied all requested information.	Pending with the VCGLR	Patron complaint
Workeite	1.07/2019	Section 60 <i>Casino Control</i> Act 1991 (Vic)	On 25 June 2019, Crown made a submission to the VCGLR to approve updates to Spreadbet Roulette (ar electronic side wager system that runs off Cammegh screens linked to Cammegh Roulette Wheels). During this process, it was identified that the system was proposed to run off Cammegh Mercury 360 and Cammegh Mercury 2 Roulette Wheels, however, Crown was unable to locate a prior specific approval for Mercury 2. It was revealed that the term Mercury was used interchangeably by Cammegh to refer to both the Mercury and Mercury 2 products – only minor differences existed between both wheels, neither of which impacted in any way on the running of the game (primarily, components which consisted of sensors used to detect the numbers and portray them on a display screen). This was communicated to the VCGLR.		Pending with the VCGLR	
Worksite 81	1.07/2019	Responsible Gaming	On 24 July 2019, Crown received a complaint from a patron in relation to an alleged 'failure to identify and respond to problem gambling behaviours displayed by myself' The patron requested that Crown reimburse \$15,120.00 as compensation. In the second se	Pending with the VCGLR.	This is not a matter for Crown, as the complaint was raised with the VCGLR.	Patron complaint
Worksite 81	1/07/2019	Responsible Gaming	A VIP patron made a complaint to the VCGLR alleging that site gambled for 34 hours (with breaks of 2 – 4.5 hours). The patron was on an enforced break of 24 hours, but through negotiations was able to return to the gaming floor after 8 hours.	Pending with the VCGLR.	Pending with the VCGLR	The VCGLR alleged a patron was sleeping at a gaming to
Worksite 81	1/08/2019	Responsible Gaming	On 31 August 2019, the VCGLR wrote to Crown requesting information relating to patron The request required all records. Including any records of conversations held by Responsible Gaming, records of interactions with Mahogany Room EGM personnel and Gaming, records of interactions with Mahogany Room EGM personnel and Gaming, records of interactions with Mahogany Room EGM personnel and Gaming, records of interactions with Mahogany Room EGM personnel and Gaming, records of interactions with Mahogany Room EGM personnel and Gaming, records of interactions with Mahogany Room EGM personnel and Gaming, records of interactions with Mahogany Room EGM personnel and Gaming, records of interactions with Mahogany Room EGM personnel and Furthermore, alleged staff corruption, allowing payment to a Crown employee to serve alcohol against responsible service of alcohol practices.	Pending with the VCGLR.	As at 2 February 2021, the VCGLR have not responded to the matter.	Patron complaint
Worksite 106 #614948 (email)	2.08/2019	ICS - Junket and Premium Player Programs	On 2 August 2019, the VCGLR wrote to Crown seeking information in reference to section 2.5.1 of the Junket and Premium Player Programs Internal Control Statement which provides:- 'Crown will ensure that it has robust processes in place to consider the ongoing probity of its registered junket operators, junket players and premium players'. Can you advise what the robust probity processes are for each type? 1 Registered junket operators 2 Junket players 3 Premium players'	Crown responded to the email on 7 August 2019, setting out Crown's process.	As at 22 March 2021, the VCGLR have not responded to the matter.	VCGLR Investigation
Worksite 06 #614390	7/08/2019	Junket Operator / Program Key Players ICS 2.2.1(a)(iii)	On 14 November 2019, the VCGLR wrote to Crown alleging that during the course of an audit, it was found that three junket players, namely the second	On 9 December 2019, Crown responded to the letter, noting that all three players, played under #2776101, Program #319609 (a Hong Kong Dollar Program), not Program #31906 as noted in the letter.	As at 22 March 2021, the VCGLR have not responded to the matter.	VCGLR Investigation

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2	File Number	DATE OF INCIDENT	INCIDENT	DESCRIPTION OF INCIDENT	DATE OF DECISION / OUTCOME	DECISION / OUTCOME	REASON FOR INCIDENT
1	Worksite 196 - Nedia Allegations #614721	7/11/2019	Request for Information -	On 7 November 2019, the VCGLR emailed Crown requesting information on Control of the back of a media article published in the 'Sydney Morning Herald'. On 12 November 2018, Crown responded to the email, with a letter providing information as requested.	Pending with the VCGLR.	As 22 March 2021, the VCGLR have not responded to the matter.	VCGLR Investigation
N /	Worksite 106 - Media Review Allegations #614406 #614405	14/11/2019		On 14 November 2019, the VCGLR wrote to Crown alleging that during the course of an audit (October 2019 in relation to s2.5.1of the Junket ICS), documents provided detailed adverse media reports and a Patron Risk Rating report as 'significant.' The VCGLR reguested an explanation into what consideration the Casino Operator gave when deciding to engage with	On 18 December 2019, Crown responded to the letter, providing information as requested.	As at 22 March 2021, the VCGLR have not responded to the matter	VCGLR Investigation
	Worksite 06	13/01/2020	Gaming Machine Advertising ou	On 13 January 2020, the VCGLR wrote to Crown requesting an explanation as to why the publication f a gaming machine advertisement was permitted to be displayed outside of the casino boundary contrary to the GRA. A Pillar located on Level One near the Village entry contained the words *1c&2c Pokies.*	On 11 February 2020, Crown responded to the letter noting that it is permissible for directional signage within the complex to be displayed under clause 30(f) of the <i>Gambling Regulations 2015</i> (Vic)	As at 22 March 2021, the VCGLR have not responded to the matter.	
5	Worksite 106 26 votice/Junket #615913	3.01/2020	Via email (In relation to Notices previously issued under s 26)	(a) your email dated 3 January 2020, in relation to notices previously issued under section 26 of the Casino Control Act 1991 (Vic), requesting certain additional information and clarification; (b) the email from Amy Rudolph dated 6 January 2020; (c) our subsequent conversation on 17 January 2020; (d) our letter dated 20 January 2020, and (e) your email dated 21 January 2020. Crown's response to your question in relation in generation and clarification additional questions cutlined in your email dated 21 January 2020 is set out below.	On 22 January 2020, Crown responded to the email.	твс	VCGLR Investigation
5	Worksite 106 526 votice/Junket #615914	3.02/2020	Via email Query regarding Enhanced Custome: Due Diligence at Crown Casino	On 3 February 2020, the VCGLR emailed Crown requesting information on three (3) questions put to Crown regarding ECDD. G. Does Crown classify Junket Players as 'high risk' customers under AML/CTF Program? G. When does Crown undertake its PEP, sanction and other screenings on Junket Players? G. Putting aside FEP, sanction and other screenings as referred to above, does Crown undertake any other ECDD processes in relation to Junket Players, prior to commencement of junket play? If so, are you able to provide any details of what these processes entail.	On 7 February 2020, Crown wrote to the VCGLR, setting out responses to three (3) questions put to Crown regarding ECDD.	TBC	
8	Worksite 06	13/02/2020	Credit File - RFI	On 13 February 2020, the VCGLR wrote to Crown requesting to comment and provide an explanation regarding alleged anomalies within the second predit File.	On 24 February 2020, Crown responded to the letter, replying to each of the five (5) alleged breaches, as set-out by the VCGLR. Crown disputed each point and provided a valid response to each matter.	As at 22 March 2021, the VCGLR have not responded to the matter.	
#	Worksite 106 #577540 #582998	12/03/2020	s166 CCA - Direction for Information (to be provided to Vicpol via the VCGLR)	on 12 March 2020, the VCGLR wrote to Crown requesting Crown provide information under s 166 of the Casino Control Act 1991 (Vic), to provide information to Victoria Police. The requested related to and Doccool (and Suncity).	On 26 March 2020, Crown responded to the Letter, providing information as requested.	As at 22 March 2021, the VCGLR have not responded to the matter.	
V. 5	Worksite 30/10 #586890 #586917	1.04/2020	Supply liquor to a minor at Crown Promenade during government sanction quarantine on 1 April 2020	On 7 April 2020, a VCGLR Inspector made enquiries into allegations that a minor was able to procure alcohol during government sanctioned quarantine (COVID-19) in a Crown hotel on 1 April 2020.	14 January 2021.	The following matters are considered finalised and are not subject to any enforcement action	
	Worksite 06 #668231 #686099	Letter dated 4 February 2021	Request For Information Southbank Investments (Singapore and HK)	On 4 February 2021, the VCGLR wrote to Crown requesting information on accounts allegedly held by Southbank Investments Ply Ltd in Singapore and Hong Kong. The request is off the back of evidence given to the inquiry (NSW).	EY21 On 12 February 2021, Crown responded to the letter, providing information (ar requested) regarding Southbank accounts.	As at 11 March 2021, the VCGLR have not responded to the matter.	Mr. Kenneth Barton gave evidence to the inquiry establi section 143 of the Casio Control Act 1992 (NSW) regard closure of ANZ bank accounts held.
V t	Worksite 06 669427 675827	Letter dated 2 February 2021	CSEL Employee Conduct	On 2 February 2021, the VCGLR wrote to Crown in respect of the Conduct (as defined in Ms. Fitzpatrick's letter) of casino special employees referred to in the decision of the Victorian Court of Appeal.	On 23 February 2021, Crown responded to the Letter, providing all requested information.	As at 11 March 2021, the VCGLR have not responded to the matter.	This was the first time, as far as Crown was aware, that Surveillance personnel were defined as an IO under the

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	ACCEPTANCE BY CROWN OF FINDING
	Pending Finding
	Pending Finding
	Crown does not accept this to be a breach of the GRA. Crown submits that it is compliant with clause 30(f) of the <i>Gambling Regulations</i> 2015 (Vic) to display a directional sign.
	Pending Finding
	Crown does not accept that a breach under s 2.1 of the ICS - Cheque Cashing and Credit Facilities has occurred. Crown maintains that the state of the Ass approved to AUD\$7.5M, as per his International Crown Melbourne and Crown Perth Application. Crown submits that it is compliant with section s 121 of the Casino Control Act 1991 (Vic).
	Patron, Junket Operator and Suncity.
	Hotel Quarantine
/ established under	(Southbank Investments Pty Ltd did not hold accounts in Singapore or Hong Kong). These
 regarding the 	were held in the name of Crown Australia Pty Ltd).
are, that Security and inder the Act.	

VCGLR Info Requests

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	OBJECTIVE/	INCIDENT	DESCRIPTION OF INCIDENT	DATE OF INCIDENT	REQUEST TYPE	DATE OF Request	VCGLR CONTACT	Other I
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	FILE NO.							
2	15/01	Rapid Terminal - Faulty Touch	Patron Complaint - Alleged faulty touch screen on RR3154 Terminal	20/09/2018	FY 19 Footage & Statements (ACM Do	28/09/2018	Allan LeToille	i
	15/01	Screen	Pation Complaint - Alleged laulty touch screen on RRS154 Terminal	20/09/2016	#012748 & GM Tech)	20/09/2010	Allan Le l'Ollie	
3					12/24			
	15/01	Alleged breach of Rules	VCGLR - Alleged breach of Rules by the Dealer (#393035 & AM #377942)	16/10/2018	Footage & Statements (Dealer	18/10/2018	Allan LeToille	On 14 .
					#393035 & AM #377942)			Rule 7.
4	15/01	Alleged breach of SOPs	VCGLR - "Possible breach of SOP's PK17"	31/10/2018	Footage - 21:50-22:10 (Cameras	1/11/2018	Brett Paton	
	15/01	Alleged breach of SOFS	VCOLR - POSSIBLE DIFACTION SOF'S PRIV	51/10/2016	3145 and 3368)	1/11/2010	breit Faion	
5	15/04			0/11/2010 0 00/11/2010		0144/0040		-
6	15/01	Racing Card Derby Pit 22	VCGLR - Require CCTV footage covering game trial of "Racing Card Derby" located in Pit 22 on 2/11/2018 and 03/11/2018 from 18:00 to 02:00 hours	2/11/2018 & 03/11/2018	Footage 18:00 - 02:00	8/11/2018	Brett Paton	
-	15/01	Alleged breach of SOPS PK10	VCGLR - Alleged breach of the SOPs in PK10. 01:20 - 01:40 hours on 16/11/18	16/11/2018	Footage Request	16/11/2018	Brett Paton	-
7					camera 3368			
	15/01	Patron complaint	Patron alleges to have placed a wager on Joker, however the Dealer returned the wager	8/11/2018	Footage, Statements (Dealer, AM	20/11/2018	Allan LeToille	
8	45/04	Big Wheel	(\$100). The Joker was spun. BW0728 @ 23:28	10/11/2010	& ACM)	19/11/2018	helia Marra	<u> </u>
9	15/01	Patron complaint BA 0837 Colour Change	Patron did a colour change and left chips on betting area where the Dealer had placed them. The patron subsequently lost the bet.	18/11/2018	Footage & Statements Doros #28213)	18/11/2018	Julia Kerry	
	15/01	Alleged procedural error	Dealer error during subsequent deal on Table BJ0736.	23/11/2018	Footage & Statements (24/11/2018	Julia Kerry	+
		BJ0736	g		Por a #397352 & Do Por #24621 HD)			1
10				2	Data#24621 HD)			
	15/01	Brawl - Western Entry	Brawl between patrons and Security personnel at Western Entry & The Pub (2310 hrs)	24/11/2018	Footage	26/11/2018	Julia Kerry	
11								
	15/01	Alleged breach of Poker Rules	VCGLR - Alleged breach of Poker Rules on PK17	1/12/2018	Footage	27/11/2018	Brett Paton	
12		PK17	1 December 2018, 10:30 - 10:40.		(Camera 3147 and 3368)			
	15/01	Alleged breach of Poker Rules	VCGLR - Alleged breach of Poker Rules 8.10 on PK10	3/12/2018	Footage	03.12.2018	Brett Paton	on 20 D
13		8.10 PK10	3 December 2018 10:00 - 10:20.		(Camera 3145 and 3368)			why Po
	15/01	r Kiu		8/01/2019	Footage	09.01.19	Brett Paton	Alleged
	10/01			010 11 2010	(Camera 3368)		broarr dion	17.4.1
								button i
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14	15/02	Alleged breach of Poker Rule	VCGLR - Alleged breach of Poker Rule 17.4.1 on PK10	9/01/2019	Footage	11.01.19	Brett Paton	Alleged
	15/02	17.4.1	9 January 2019 (12:58 -13:41)	9/01/2019	(Camera 3368 and 3145)	11.01.19	brell Palon	17.4.1 A
		PK11	, , , , , , , , , , , , , , , , , , , ,		(button i
								increme
15	15/01			11/01/0010		10/01/0010		-
	15/01	Alleged breach Generic SOPs BJ2221	VCGLR - Alleged breach of Generic SOPs s 9.2.1- General Payout Procedures	11/01/2019	Footage (21:55 - 22:20)	12/01/2019	Julia Kerry	Dealer: (Michell
		Section 9.2.1			(21.00 - 22.20)			replied
16								
	15/01	Audit Review (Pit 8 BA0823)	VCGLR - Review of Baccarat audit conducted @ above times.	18/01/2019	Footage	21/01/2019	Julia Kerry	
					(0345 -0445)			
17	15/01	Past Posting	VCGLR - Past Posting by patron and accomplice on CW2210 (0030 - 0140hrs)	25/01/2019	Footage and Statements	25/01/2019	Susie Robertson	
10		Audit Review (Pit 8 BA0823)	VCGLR - Past Posting by patron and accomplice on CW2210 (0030 - 0140ms)	4/03/2019	Footage and Statements	25/01/2019	Susie Robertson	-
19				100.2010				
19	15/01	Poker	Patron Complaint - Allegation of a Dealer exposing a card too early on the river.	14/05/2019			Mark Sexton	
20			Pit 3311 @ approx. 01:30					
	15/01	Excluded Patron	VCGLR wish to issue a infringement notice to Darcons Coverage of Pit 8, Pit 4 and	8/06/2019	Footage (CCTV Ref#1663729)	8/06/2019	Brett Paton	
21			Western Entry.	510510010				-
22	15/01 15/01	Audit Review (0807) Audit Review (AR3112)	VCGLR Audit Review (AR 31112). Employees #31127 & #350260 VCGLR Audit Review (0807).	5/07/2019 23/11/2019	Fcotage (18:50 - 19:20) Fcotage (09:00 - 09:15hrs)	5/07/2019 22/11/2019	Mark Sexton Rohin Barker	
23	15/01	Audit Review (AR3112)	VCGLR Audit Review (0607).	23/11/2019	Pootage (09:00 - 09:15hrs)	22/11/2019	Ronin Barker	1
25	15/01	Alleged RSA matter	On 15 November 2019, the VCGLR allege three males appeared to be intoxicated.	-				+
24								
~~	15/01	RFI - Surveillance Camera Info	On 14 August 2020, the VCGLR requested information pertaining to type, number and	N/a	Information	14/08/2020	Antony Paatsch	
25	15/01	REL Hotel Channels (Advartising)	recording capabilities of Crown's surveillance cameras. On 7 August 2020, the VCGLR emailed a requested information on in-house advertising at	N/a	Information	7/08/2020	Antony Postoch	-
26	15/01	RFI - Hotel Channels (Advertising)	On 7 August 2020, the VCGLR emailed a requested information on in-house advertising at Crown hotels. This was responded to on 21 August 2020.	w/a	Information	1/08/2020	Antony Paatsch	
	15/01						-	
27								

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r Information
urther investigation/DA etc.)
4 January 2019, the VCGLR wrote to Crown in relation to the alleged breaches of
7.5.3, 7.5.1(a) & 7.6.2
2 63
December 2018, the VCGLR wrote to Crown requesting an explanation as to
Poker Rule 8.10 was disregarded. Document #426686
ed breach of PR 17.4.1 (on PK16)
1 At the commencement of a Round of Play, the Dealer will activate the jackpot
n indicating that the Round of Play has started, causing the jackpot system to
ment.
ed breach of PR 17.4.1
1 At the commencement of a Round of Play, the Dealer will activate the jackpot
n indicating that the Round of Play has started, causing the jackpot system to
ment.
er: 391340 David
nelle Fleiding spoke with Stuart (VCGLR) re: no action against a SOP. Stuart
ed to proceed with the request.

DA's & Investigations - HAPPY

02.7	В	C	D FY10 8 11	E	F	G	Н		ĸ	1
e Number	DATE OF	INCIDENT	DESCRIPTION OF INCIDENT	DATE OF DECISION / OUTCOME	DECISION / OUTCOME	REASON FOR INCIDENT	ACCEPTANCE BY			
GLR	INCIDENT 25/12/2009	Alleged breach of s 60 of the Act.	Blackjack at BJ2222 was played for 16 minutes with one card short.	22/03/2010	The VCGR will not be taking any further action.	Single card ejected from the machine coming to rest on the gaming table at	CROWN OF FINDING			
Jugaron						the rear of the shuffler.				
LR tigation	3	Request for more information.	Request for information regarding Crown's use of Automatic Shuffle Machines.	3	7	?				
LR	7/10/2009	Alleged breach of s 60 of the Act.	Blackjack at Table BJ091 was played with 2 cards short.	15/06/2010	The VCGR will not be taking any further action.	Automatic Shuffle Machine				
3LR stigation	1/09/2010	Trade Promotion 10/2053	Trade promotion permit no. 10/2053 scheduled to be drawn at 2:00pm was not drawn at the scheduled time due to an administration error.	19/10/2010	The VCGR will not be taking any further action.	Administrative error				
GR estigation 28/101	12/06/2010	Alleged breach of SOPs for the Count Room and Drop Bucket and Note Stacker Collection 2.2.1	Four Security Officers and one Table Games' Supervisor were given entry to the Count Room by Surveillance, whilst the count was in progress.	26/08/2010	The VCGR concluded no further action will be taken.	The Security Light of the Count Room had not been activated and the 'Count in Progress' sign had not been displayed.				
CGR vestigation A 28/101	15/06/2010	Alleged breach of s 60 of the Act.	The game of Blackjack was played with one card missing for 45 minutes, as a result of an Automatic Shuffle Machine incident.	26/08/2010	The VCGR concluded no further action will be taken.	Automatic Shuffling Machine				
A 28/095	25/04/2009	Breach of ICS occasions	A Security officer left his post on the Promenade Air Bridge entry.	6/09/2010	VCGR determined that Disciplinary Action was not warranted after consideration of Crown's submission	The Security Officer manning the air bridge had assisted another Security Officer that was in an altercation with a patron.	a			
CGR vestigation A 28/101	18/04/2009	Alleged breach of s 3.5.21 of the Gambling Regulation Act 2003	The EGM game Blockbuster' was defective on two occasions. Crown allegedly failed to report the defect in a timely manner and remove the game from operation.	5/10/2010	The VCGR concluded no further action will be taken.	Crown was not convinced a defect existed until the report came back from the manufacturer.	n			
CGR nvestigation A 28/101	12/09/2010	Alleged breach of s 60 of the Act	The game of Pontoon was played with one card short, for 12 minutes, as the result of an undetected card spill.	26/10/2010	The VCGR concluded no further action will be taken.	Undetected card spill.				
/CGR nvestigation DA 28/101	16/09/2010	Alleged breach of s 60 of the Act	The game of Blackjack was played with only 51 cards for 19 minutes as a result of an Automatic Shuffle Machine incident.	26/10/2010	The VCGR concluded no further action will be taken.	Automatic Shuffling Machine				
/CGR nvestigation	4/04/2010	Alleged breach of regulation 10 of the Gambling Regulation Regulations 2005.	The EGMs on the gaming floor operated for nine hours with the incorrect time displayed, after the ciccks failed to update with the cessation of daylight savings time.	15/11/2010	The VCGR concluded no further action will be taken.	Technical Fault				
DA 28/101 /CGR nvestigation	10/08/2010	Alleged breach of s 60 of the Act	The game of Blackjack was played with less than the required number of cards as the result of an Automatic Shuffle Machine issue, for forty-two consecutive hands – no further action will be taken.	06/09/2010	The VCGR concluded no further action will be taken.	Automatic Shuffling Machine		1	ŕ	1
0A 28/101 /CGR nvestigation	03/08/2010	Alleged breach of s 60 of the Act	The game of Blackjack was played with less than the required number of cards as the result of an Automatic Shuffle Machine issue, for thirty-five consecutive hands.	06/09/2010	The VCGR concluded no further action will be taken.	Automatic Shuffling Machine				
DA 28/101 /CGR nvestigation	30/04/2010	Alleged breach of s 60 of the Act	The game of Blackjack was played without the cards being shuffled, for five consecutive hands	14/09/2010	The VCGR concluded no further action will be taken.	Negligence - Procedural violation				
A 28/101 CGR rvestigation	28/06/2010	Alleged breach of s 60 of the Act.	The game of Baccarat was played with one card missing for 19 hands, as a result of an Automatic Shuffle Machine incident.	27/08/2010	The VCGR concluded no further action will be taken.	Automatic Shuffling Machine			1	1
A 28/101 CGR	23/08/2010	Alleged breach of s 76 of the Act.	The excluded persons list was not posted prior to 6 am.	27/09/2010 - Michelie responded to the	Matter closed.	N/A - Previous agreements between				
A 28/101 A 28/099	02/42/2020	Read of the local sector o		VCGR,		Security & the VCGR were not taken into consideration				
JA 28/099	C2/12/2009	Breach of game rules (poker) on one occasion	The game of Three Card Poker was conducted with an incomplete shoe of cards containing 2 less than the required number.	4/11/2010	VCGR determined that Disciplinary Action was not warranted after consideration of Crown's submission	Negligence - Procedural violation				
/CGR nvestigation DA 28/101	07/07/2010	Alleged breach of s 60 of the Act.	The game of Black Jack was dealt one card short of the required number for 18 hands.	04/11/2010	The VCGR concluded no further action will be taken.	Automatic Shuffling Machine - Negligence - Procedural violation by Dealer.				
/CGR	18/09/2010	Alleged breach of s 60 of the Act.	The game of Casino War was played without the 3 of hearts for approximately 119 rounds of play.	10/12/2010	The VCGR concluded no further action will be taken.	Undetected card spill.				
nvestigation DA 28/101										
/CGR nvestigation DA 28/101	18/09/2010	Alleged breach of s 60 of the Act.	The game of Black Jack was played without the 3 of hearts for approximately 18 rounds of play.	6/12/2010	The VCGR concluded no further action will be taken.	Undetected card spill.				
/CGR nvestigation DA 28/101	6/11/2010	Alleged breach of s 60 of the Act.	The game of Black Jack was played without the required number of cards for a total of 39 hands.	24/12/2010	The VCGR concluded no further action will be taken.	Automatic Shuffling Machine - the ASM did not indicate there was a problem.	и			
/CGR nvestigation 0A 28/101	19/11/2010	Blue 5 of spades was found in the Automatic Shuffling Machine.	A card was found in the Automatic Shuffling Machine and it could not be determined where the card had come from or when the card went missing.	24/12/2010	The VCGR concluded no further action will be taken.	Card missing and undetected.				
/CGR nvestigation DA 28/101	12/10/2010	Alleged breach of s 60 of the Act.	The game of Black Jack was played without the required number of cards for a total of 11 hands after a card was ejected from the Automatic Shuffling Machine and later discovered by the Games Supervisor.	6/01/2011	The VCGR concluded no further action will be taken.	Automatic Shuffling Machine - the ASM did not indicate there was a problem.	и			
CGLR	5/03/2011	Alleged breach of s 60 of the Act.	Game of Texas Holdern Poker TH2237 was played for 43 minutes with four cards short.	19/07/2011	The VCGLR concluded no further action will be taken.					
vestigation CGLR vestigation	14/04/2011	Alleged breach of \$ 60 of the Act.	Game of Blackack BJ0923 played with one card less between 21:56 and 22:24.	19/05/2011	The VCGR will not be taking any further action.					
CGLR	28/04/2011	Alleged breach of s 60 of the Act.	Game of Roulette on AR0402 where dealer did not dear all of the losing wagers for the game.	21/04/2011	The VCGR will not be taking any further action.					-
vestigation /CGLR	17/05/2011	Alleged breach of \$ 60 of the Act	Game of Blackjack on BJ2203 was played with one card less between 09.04 and 09:45.	17/05/2011	The VCGR will not be taking any further action.					
CGLR vestigation	11/06/2011	Alleged breach of s 60 cf the Act.	Game of Blackack on BJ0915 was played with one card less than the required number of cards for the game.	7/07/2011	The VCGR will not be taking any further action.					
CGLR vestigation	16/06/2011	Alleged breach of s 60 of the Act.	Roulette dealer did not place Mr	24/06/2011	No breach of the Rules of the Game of Roulette or legislation. No further action.	2				
CGLR	25/08/2011	Alleged Breach of \$ 60 of the Act	Blackjack table BJ2819 played with one less card for a complete shoe plus 14 hands of a second shoe.	14/09/2011	The VCGR will not be laking any further action.					
orksite 106 13954	unknown	Alleged breach of s2.7 of the ICS - Junket and Premium Players.	Failure by Crown to notify the VCGR of two, new non-resident junket operators and Premium Players - Junket Operators	Sep-11	The VCGR will not be taking any further action.	Failure to notify.				
/CGLR nvestigation	23/06/2011	Alleged Breach of s 60 of the Act	Baccarat table BA2807 played with one card less for 50 coups.	19/12/2011	The VCGR will not be taking any further action.					
nvestigation /CGLR nvestigation	25/06/2011	Alleged Breach of s 60 of the Act	Blackjack table BJ0908 played with one card less for 25 minutes.	7/10/2011	The VCGR will not be taking any further action.	Missing card was located on its side in the Automatic Shuffing Machine.	t			
CGLR	24/12/2011	Alleged Breach of s 60 of the Act	Ponioon at PN0403 was played with one less that between 21:52 and 22:08.	13/02/2012	The VCGR will not be taking any further action.					
CGLR	24/12/2011	Alleged Breach of s 60 of the Act	Baccarat table BA2804 the dealer failed to place card into a dealing shoe correctly.	13/02/2012	The VCGR will not be laking any further action.					-
nvestigation Norksite										

DA's & Investigations - HAPPY

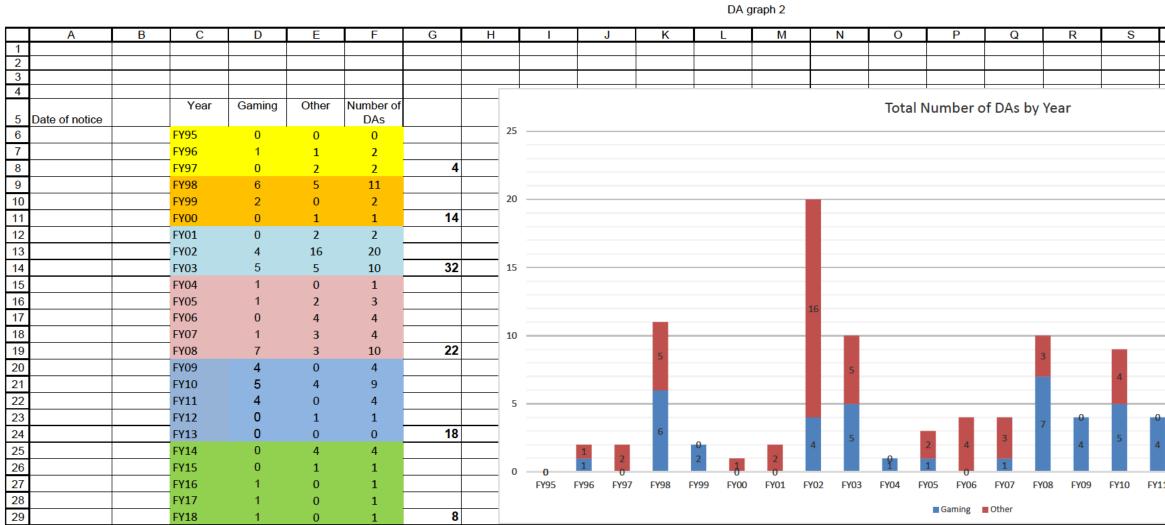
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File Number	DATE OF	INCIDENT	DESCRIPTION OF INCIDENT	DATE OF DECISION / OUTCOME	DECISION / OUTCOME	REASON FOR INCIDENT	ACCEPTANCE BY CROWN OF FINDING				
Norksite	18/04/2012		A game was played with less than the required number of cards for that game.	30/08/2012	The VCGR will not be taking any further action.	New Dealer error					
VCGR	27/10/2012		The VCGLR conducted an investigation after the game of Blackjack was played with one less card than the required number or	17/12/2012	VCGLR concluded that no further action will be taken.	Crown attributes this incident to an	Stored in hardcopy				
nvestigation DA 28/85	12 MARCH 12 MINT 2	the CCA.	27 October 2012, allegedy in breach of s 60(2)(c) of the Casino Control Act 1991 (Vic).			Automatic Shuffle Machine (ASM) maifunction and human error.	(BPQ1393)				
Worksite 06 #68447	1/08/2015	Alleged breach of 9.1 of the Rapid Roulette Rules MT1021	Alleged offence; Dealer fails to announce 'no more bets'. Upon review of footage, the VCGLR determine that the Dealer does not correctly place the ball back to the last winning number.	11/11/2015	No further action taken. (Inspectors are unable to confirm a breach of the game rules has	N/a	N/a				
_		Patron Complaint			occurred);						
Worksite 06	02/09/2015 -	Alleged breaches of s121(4) of the Act	FY 47 Alleged offence: Failing to maintain an audit trail for monitoring of gaming equipment in accordance with the Gaming	15/06/2017	Letter of Warning.	Failing to maintain an audit trial for the					
#684048 #264287	25826/08/2016		Equipment Internal Control Statement and Gaming Equipment Standard Operating Procedures.		The VCGR concluded no further action will be taken.	monitoring of gaming equipment.					
Worksite 87	Aug-15	Penalty Interest Rate	Understated tax was corrected by an adjustment raised and processed in the calculation of taxes for September 2015.	20/11/2015	Payment by Crown to the VCGLR of \$326.72	Jackpot wins calculation error	Accepted				
Taxes Worksite	8/08/2016	Alleged breach of Rule 6.1.2 Baccarat	Alleged offence: Table 1704, the Dealer incorrectly handed the Player Cards to the player who had placed the highest wager on	22/02/2017	No further action to be taken.	-					
#292638	10/10/2016	Rule Alleged breach of s 60(2)(c) of the	Barker', whilst the 'Banker cards' remained on the table and were then played face up. A Dealer on Roulette table 1012 refused to allow a valid be to be placed. The Dealer was also found to have failed to call 'no	13/10/2017	Written Warning						
	1000/00202044	CCA. Patron Complaint	more bets".								
	1/03/2017	Unapproved Software Installation - Ministar Roulette	The impacted software was not part to the approved CRC and HMAC-SHA1 values, had no impact on player fairness or integrity of the game, Crown self-reported.	15/03/2017	No further action						
VCGLR Request for Information	19/03/2017	Trade Promotion "Crowns Million Dollar Cash Draw"	Investigation into Trade Promotions and the 24 hour rule.	The VCGLR is investigating 'Crowns Million Dollar Cash Draw' which occurred on 19 March 2017.	21/06/2018 No further action to be taken.	No further action to be taken by the VCGLR in relation to this matter.					
#375944 Worksite 06	27/05/2017	Alleged breach of Rule 4.3.4)a)	Alleged Breach: Dealer failed to burn a card at the commencement of a Baccarat shoe on Table BA8907	17/08/2017	Letter of Warning	Dearer error.	-				
#684045											
Worksite 06	29/11/2017	Alleged breach of s64(1/(a)(ii) of the CCA.	Alleged Breach: A Table had the incorrect game identifier BJ0443 instead of DL0443 (Dice Duel). Breach of the ICS.	20/03/2018	Letter of Warning. The VCGR concluded no further action will be taken.	In breach of 2.1e(ii) of the Gaming Equipment Internal Control Statement 4.0. "Processes and Procedures - Numbering of selected gaming equipment (e.g. serial number, locatio number, specific asset number) for ready identification and/or tracking including but not limited to: Commissioned gaming tables."					
VCGLR Request for Information	6/03/2018	Trade Promotion "Lucky Lotto Jackpot"	Request for information. 06/03/2018 Crown responded to Julia Kerry re: This was not a TP, it was a EGM Jackpot.	The VCGLR is investigating their understanding of a Jackpot vs. Trade Promotion. Julia has stated (15/03/2018) that if she requires any further action from us that she will advise. Julia was shown the definition of a Jackpot and advised that this was approved by the Commission.	17/05/2018 Withdrawn	A misunderstanding in relation to what constitutes a Trade Promotion	Crown did not accept the allegation, but accepted the finding (withdrawn).				
			FY 18								
VCGLR Letter of Warning	27/11/2017	Breach of s10.7.6 of the GRA	A Minor entered the gaming floor on 27/11/2017. A VCGLR inspector was present at the time.	30/08/2018	30/06/2018	No further action to be taken by the VCGLR in relation to this matter.					
	r 09/01/2018 n (Letter dated 29 January 2019)	Poker Rule 17.4.1 & 17.6.1	On 29 January 2019, the VCGLR wrote to Crown requesting an explanation as to why Poker Rules 17.4.1 & 17.6.1 were (allegedly) disregarded.	On 14 January 2021, the VCGLR issued Crown with a Notice of Non-Compliance. No further action will be taken by the VCGLR.	Notice of Non-compliance - No further action taken						
VCGLR Letter for explanation 06/DA #454910	r 1/12/2018 n (Letter dated 29 January 2019)	Poker Rule 7.7	On 29 January 2019, the VCGLR wrote to Crown requesting an explanation as to why Poker Rule 7.7 was (allegedly) disregarded.	On 14 January 2021, the VCGLR issued Crown with a Notice of Non-Compliance. No further action will be taken by the VCG.R.	Notice of Non-compliance - No further action taken						
	r 08/01/2018 n (Letter dated 29 January 2019)	Poker Rule 17.4.1	On 29 January 2019, the VCGLR wrote to Crown requesting an explanation as to why Poker Rule 17.4.1 was (allegedly) disregarded.	On 14 January 2021, the VCGLR issued Crown with a Notice of Non-Compliance. No further action will be taken by the VCGLR.	Notice of Non-compliance - No further action taken						
0.00			FY 19	VCGLR.							
VCGLR 06/05 Letter of Non- compliance #684117	21/09/2018 (letter 27 September 2018)	Regulation 18(6) of the Gambling Regulation (Pre-commitment and Loyalty Scheme) Regulations 2014 (Regulations)	As a consequence of the C8303 matter (VCGLR letter dated 27 September 2018); on 19 November 2018 the VCGLR (Director, Compliance) responded to Crown advising that they are continuing to investigate the circumstances relating to the malfunction of EGM C8308. The VCGLR sought further information from Crown as to whether regulation 18(6) of the Gambling Regulation (Pre-commitment and Loyalty Scheme) Regulations 2014 (Regulations) have been complied with.	Crown with a Notice of Non-Compliance.	Notice of Non-compliance - No further action taken		Accepted				
VCGLR Letter of Warning	17/10/2018	Debt Write-off notification dated 26 June 2018. (Crown responded to the matter on 8 November 2018)	e Deb: Written-off in relation to patron 2010 26 June 2018). Exchange rate fluctuation caused the amount to exceed \$5M and impact on the GGR calculation.	17/10/2018	17/10/2018 GGR Adjustment to be processed. Crown to strengthen its ICS	Re-calculating the debt at point of GGI calculation.	R Accepted		1		
VCGLR Letter for explanation 06/DA #426202 #426686	11/08/2018 (Letter dated 25 October 2018)	Minor located on Gaming Floor	vas located on the gaming floor by a VCGLR inspector on 11 August 2018. Used his brother's ID to gain access.	19/12/2019	No action taken	was two days shy of his 18th birthday and using his bothers ID.					
VCGLR Warning Lette 06/05 #426691		Late lodgement of 2018 annual financia statements	Crown lodged the 2018 Annual Financial Statement on 1 November 2018, instead of 31 October 2018. This occurred due an administrative error. On 19 November 2018, the VCGLR wrote to Crown to note the late lodgement and reminded Crown to ensure that date based obligations are met in a timely manner.	19/11/2018	No action taken	Administrative oversight (i.e. the email was not sent, although the document was prepared).	Accepted				
	r 23/11/2018 n (Letter dated 28 February 2019)	Blackjack Rule 7,5.1	On 28 February 2019, the VCGLR wrote to Crown noting no further action would be taken against Crown in regards to an incident that occurred on 8J0736 on 23 November 2018. A patron claimed to have been denied the opportunity to indicate his intentions during a hand.		Cn 28 February 2019, the VCGLR wrote to Crown advising that no further action will be taken against Crown for an alleged breach of Blackjack Rule 7.5.1.	Dealer error					
VCGLR Letter	r 16/12/2018	Poker Rule 14.2.5	The VCGLR requested an explanation from Crown in relation to an incident that occurred on PK3316 on 20 October 2018. The Dealer identified a boxed card and treated it as 'non-existent' and isolated the card; however as per Poke Rule 14.2.5, the card		Notice of Non-compliance - No further action taken	Dealer error	Accepted				

DA's & Investigations - HAPPY

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File Number	DATEOF	INCIDENT	DESCRIPTION OF INCIDENT	DATE OF DECISION / OUTCOME	DECISION / OUTCOME	REASON FOR INCIDENT	ACCEPTANCE BY	-			-
	INCIDENT	- 2270-275-2270					CROWN OF FINDING				
VCGLR Letter for explanation 06/DA #444004		Caribbean Stud Poker alleged breach of Rules 7.5.3, 7.5.1(a) & 7.6.2	On 14 January 2019, the VCGLR wrote to Crown requesting explanations as to why 7.5.3, 7.5.1(a) & 7.6.2 were not adhered too. The alleged breaches (63 of Rule 7.5.3, 3 of 7.6.2 and 1 of 7.5.1(a)) occurred on CS0718	On 14 January 2021, the VCGLR issued Crown with a Notice of Non- Compliance. No further action will be taken by the VCGLR.	Notice of Non-compliance - No further action taken	Dealer error	Accepted				
		Pai Gow Rule 10.2.1	On 15 January 2019, the VCGLR wrote to Crown requesting an explanation as to why on 21 August 2018, multiple (alleged) breaches of s10.2.1 of the Pai Gow Rules occurred on PG8910 (between 3:00am – 4:00am), subsequently a breach s60(2)(c) of the Act.	On 14 January 2021, the VCGLR issued Crown with a Notice of Non- Compliance. No further action will be taken by the VCGLR.	Notice of Non-compliance - No further action taken	Crown does not accept that a breach has occurred.	Crown does not accept that a breach has occurred.	t			
DA's D7	18/02/2019	Roulette Rule 5.3	On 2 May 2019, the VCGLR wrote to Crown requesting an explanation as to why on 18 February 2019, Roulette Rule 5.3 was not adhered to on AR2504. It is alleged that the Dealer failed to signal 'no more bets' prior to the ball dropping on a single occasion.	On 12 June 2019, Crown received a Letter of Warning for a breach under s 60(2)(c) of the Casino Control Act 1991 (Vic). The matter is now considered closed.	Letter of Warning. The VCGR concluded no further action will be taken.	Dealer error	Accepted				
Worksite 06 VCGLR Letter for explanation #545360	Sep-19	Employee Licensing s56(1)(a)	In September 2019, the VCGLR conducted an audit of Employee Licences. The VCGLR noted an one employee that was working on shift, but had a 'cessation' marked on their VCGLR profile.	19-Dec-19	No action taken	The Compliance team were not notified when staff ECAs were rescinded.	Accepted				
VCGLR Letter for explanation 06/DA #457990	1/03/2019	Poker Rule 17.4.1 and 17.6.1	On 28 March 2019, the VCGLR wrote to Crows seeking an explanation as to why on 10 March 2019 an alleged breash of Poker Rule 17.4.1 and 17.6.1 occurred on PK17 between 04:30 and 05:44. It was alleged that the Dealer failed to activate the Jackpot Button on multiple occasions and did not alert the TG AM (or above) to remedy the situation.		Notice of Nen-compliance - No further action taken	Employee error	Accepted				
VCGLR Letter #477099 #481816	11/03/2019	Liquor Licence Display s 101 Liquor Control Reform Act 1998	Crown failed to display the correct Liquor Licence at the TWR Bar.	29/04/2019	No further action to be taken.	Employee error	Accepted A change was also implemented to the displayed Liquor Licence audit checklist. The checklist now contains pre-				
VCGLR Letter for explanation 06/DA #457991		Roulette Rule 5.3	On 2 May 2019, the VCGLR wrote to Crown requesting an explanation as to why on 18 February 2019. Roulette Rule 5.3 was not adhered to on AR0903. It is alleged that the Dealer failed to signal 'no more bets' prior to the ball dropping on a single occasion.	On 12 June 2019, Crown received a Letter of Warning for a breach under s 60(2)(c) of the Casino Centrol Act 1991 (Vic). The matter is now considered closed.	Letter of Warning	Dealer error	Accepted				
VCGLR Letter	1/04/2018	gaming tax adjustments Casino Management Agreement Act 1993 (Vic)	On 21 May 2019, the VCGLR wrote to Crown advising of penalty interest on a late payment of gambing taxes. A jackpot configuration issue was detected by Crown, which had resulted in a miscalculation of the April 2018 tax assessment (Crown rectified the amount when identified). Taxes in relation to April 2018 were due and payable within 7 days, being on or before 7 May 2018 and as a result, the gaming tax due for the period was paid on 7 November 2018 (\$2279.00) resulting in penalty interest of \$117.86 being payable. The VCGLR have waived the penalty payment on this occasion, but have warned that any	21/05/2018	No further action to be taken.	Crown rectified the discrepancy at the time of the incident.	Accepted				
VCGLR Letter for explanation	1/10/2019	5.3 Roulette Rules	On 3 October 2019, Crown received a letter dated 9 September 2019 from the VCGLR. The VCGLR requested an explanation as to why the Dealer on AR3112 disregarded Roulete Rule 5.3 on two (2) occasions by not signalling or announcing 'no more bets' whilst the ball was still rotating around the wheel.	On 14 January 2021, the VCGLR issued Crown with a Notice of Non-Compliance. No further action will be taken by the VCGLR.	Notice of Non-compliance - No further action taken	Dealer error	Accepted				
VCGLR Letter for explanation #532458 #536576	1/11/2019	s 60(3)(c) Casino Control Act 1991 (Vic) Baccarat Rule 6.4	permitted a pairon to move a wager from Banker to Player after the player cards had been exposed. The patron was permitted to remove his losing wager.	On 14 January 2021, the VCGLR issued Crown with a Notice of Non-Compliance. No further action will be taken by the VCGLR.	Notice of Non-compliance - No further action taken	Crown believes the hand was a Dummy Coup and the course of action taken by the staff aligned with the Rules.	Crown does not accept that a breach has occurred.	t			
Worksite 106 #163954	13/02/2020	Premium Player Audit - Alleged anomali	Con 13 February 2020, the VCGLR wrote to Crown seeking an explanation on two anomalies found during a Premium Player audit for premium Player Settlement Sheet for program #331151 recorded a turnover of \$1,217,867 whereas turnover as calculated from the Player Rating Transaction Report for the program period was \$1,302,677 – a difference of \$84,810. (ii) The Premium Player Settlement Sheet for program #331151 recorded "over comps" of \$2,000 whereas expenses recorded for program stary at Crown Towers was \$2,259,45.	On 14 January 2021, the VCGLR wrote to Crown to advise that 'no further action will be taken by the VCGLR.'	No further action taken, the matter has been finalised	No alleged breach occurred	Accepted				
6 DA's	7/04/2020	Supply of liquor to a Minor	On 7 April 2020, VCGLR Inspector Rohin Barker phoned the Hotel Duty Manager at Crown Promenade to make enquiries into allegations that a minor was able to procure alcohol during government sanctioned quarantine (COVID-19) on 1 April 2020. The Duty Manager responded to Mr Barker's questions and emailed him a copy of records, including the alcohol purchase receipt, as requested.	On 14 January 2021, the VCGLR wrote to Crown to advise that 'no further action will be taken by the VCGLR.'	No further action laken, the matter has been finalised.	Unaccompanied Minor during Hotel quarantine (COVID-19). Crown did not have oversight of the ages of checked in persons.	Accepted				

VCGLR Interactions with Staff

	A	В	С	D	E
	OBJECTIVE/W	DATE OF INTERACTION	VCGLR INTERACTION	DESCRIPTION OF INTERACTION	
	ORKSITE				
1	FILE NO.				
	90/10	email dated 11/02/20 MF to SM.	Rohin Barker - F&B	It may be that Rohin unintentionally caused some confusion for our staff on the floor this weekend with regard to the law for	
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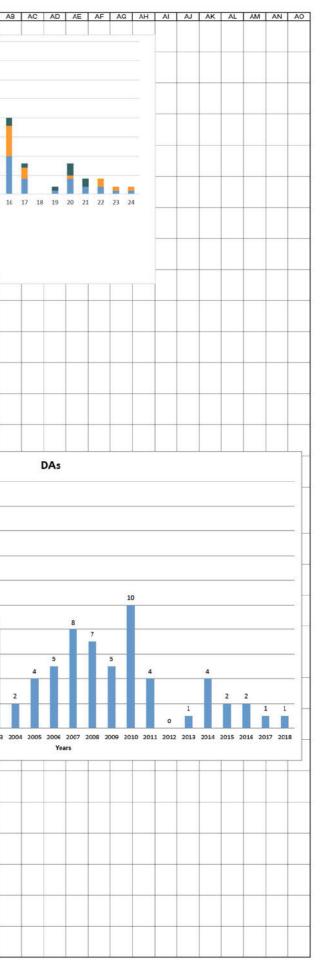


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 <td F н DATE OF SHOW CAUSE OBJECTIVE/ INCIDENT DESCRIPTION OF INCIDENT DATE OF Other Saming WORKSITE FILE NO. CIDENT er of DAs 40 Chart Title NOTICE 15-Dec-95 DA 28/001 Two licensed casino employees participated in the Call of the Card in the Sante Brasserie which was within the defined casino premises thereby breaching section 79(2) o icensed employees gambling in the Casin 06-Nov-95 35 1 2 3 the CCA 1995 DA 28/002 Breach of the Rules of Baccarat Baccarat rules 7.1 & 10 were breached by a dealer not retaining the dealing shoe which resulted in a 06-07/07/1995 19-Dec-95 0 0 0 1996 An international golfer and his 4 month child were given access back go house to a restaurant on the 23-Nov-95 gaming floor after initially being refused entry by Security DA 28/003 Minor in the Casino 19-Dec-95 20 1 5 6 15 1997 6 3 9 1998 A minor was detected on the gaming floor and removed approximately 8 minutes after having DA 28/004 Minor in the Casino 09-Feb-97 21-May-97 2 0 2 1999 DA 28/005 Minor in the Casino A minor was detected on the gaming floor and removed approximately three hours after having 22-Feb-97 21-May-97 0 2 2 2000 Between the 8th and the 31st of May 1997 45 minors entered the casino and on 5 occasions Crown did not notfy an Inspector thereby breaching section 85(2) of the CCA DA 28/006 umerous minors in the Casing 05-Aug-97 2 6 8 2001 DA 28/007 Breach of the shuffle procedures for Baccarat Cards on Baccarat 0915 were not reshuffled as required and were put into play after only one riffle 16-Feb-97 04-Sep-97 Gaming Number of DAs hereby not sufficiently randomly intermixing the cards 4 14 18 2002 A minor gained entry to the casino via the Map Room doors after a patron had exited and left the door ajar despite previous assurances from Crown to the VCGR that the doors could not be accessed by patrons. DA 28/008 Minor in the Casino 05-Jul-97 04-Sep-97 4 4 8 2003 Crown failed to seek & obtain the VCGRs prior approval for alterations to the casino layout before commencing work in the Mahogany Room to remove part of the Settlement Room adjacent to the Cashier's Cage DA 28/009 Failure to obtain VCGA approval for alte 04-Sen-9 18-Jul-97 0 2 2 2004 1 3 4 2005 A defective drop box was removed from BJ 2303 and sent for repair without a replacement box being fitted. The table was subsequently opened without a drop box/fitted and \$290 in cash was dropped before it was realised by the staff that no drop box was present. DA 28/010 Failure to place a Drop Box on a gaming table 15-Sep-97 26-Feb-98 1 4 5 2006 DA 28/011 Minor in the Casino minor was allowed into the Mahogany Room by a Mahogany Host 03-Dec-97 26-Feb-98 4 4 8 2007 DA 28/012 Breach of Internal Controls A number of drop boxes were removed from Pit 4 by the Drop Team in the absence of the Pit Boss 11-Sep-97 01-Apr-98 and Survellance failed to monitor the drop 6 1 7 20 2008 On the first day of play for a Baccarat Tournament 8 x \$5,000 chips were found to be missing at the completion of Round 1 on BA 2519. During Round 2, four (4) of the chips had been re-introduced into play. The next day the remaining four (4) chips were re-introduced into the Grand Final. Surveillance could not pinpoint where or when the chips went missing or were returned. DA 28/013 Breach of the Bules and Procedures for Baccarat 18 18 2 3 5 2009 16 DA 28/014 Breach of the Rules for Caribbean Stud Cards were dealt in an anti-clockwise rather than a clockwise direction on CS 1009 for 20 minutes 12-Dec-97 02-Apr-98 efore a Games Supervisor noticed and stopped play 8 2 10 14 2010 DA 28/015 Breach of the Rules and Procedures for Baccarat Cards were put into play without having been reshuffled resulting in the cards being dealt in 02-Jan-98 02-Apr-98 equence for approximately 35 minutes 3 1 4 12 2011 A Security Officer circumvented the access requirements of the Count Room by using his own as 04-Mar-98 02-Jun-98 well as a borrowed swipe card and then removed drop boxes for placement on the respective tables while the count was in progress (although on a break). DA 28/016 Breach of Internal Controls 0 0 0 2012 DA 28/017 Alleged breach of the Controlled Contract Provisions Crown advised the VCGA that it intended to enter into a contract with Capital Golf Club but did not 04-Feb-98 23-Ju-98 wait until the conclusion of the investigation period before doing so. The investigation was subsum-into an inquiry involving a former Associate, Peter Ronec, but this inquiry came to an end on 3 May 2000. The VCGA advised Crown that the Notice to Show Cause also concluded on the same day 0 1 1 2013 20 DA 28/018 Breach of Rules and Procedures for Poker A game of Poker was played for approximately three (3) hours with one card missing from the deck and the procedures for "stub counting" the decks was not followed thereby extending the time the 01-Dec-98 1 3 4 short deck remained in play 2014 0 2 2 2015 22 DA 28/019 game of Baccarat was dealt with the 16 Jokers still included in the set of cards being used Breach of the Procedures for Baccarat 18-Nov-98 01-Feb-99 2 0 2 2016 DA 28/020 Breach of the Procedures of Blackjack Cash used in exchange for chips in the middle of the game was placed over the dealer's first card 27-Feb-99 28-Sep-99 and the card was subsequently picked up with the cash and dropped into the drop box 1 0 1 2017 1 0 1 2018 A Security Officer twice circumvented the access requirements of the Count Room by using his own 08-Jun-99 as well as a borrowed swipe card (from two different employees) and then removed drop boxes for placement on gaming tables DA 28/021 Breach of Internal Controls 29-Aug-00 The issue of a Purchase Order in the sum of \$2,293,116 by Crown to EMC constituted a breach of 21-Jun-00 DA 28/022 Breach of the Controlled Contract Provisions 12-Dec-00 the controlled contract provision for expenditure exceeding \$200,000 28 DA 28/023 Breach of the Rules and Procedures for Pontoon A game of Ponteon was conducted using Blackjack cards for approximately 50 minutes 02-Jul-00 25-Sep-01



АВ	С	D	E	F G H I	JK	0 P	QR	ST	XIY	Z AA AB	AC AD AE	AF AG	AH AI AJ AK AL	AM AN	AO
OBJECTIVE/ INCIDENT WORKSITE	DESCRIPTION OF INCIDENT	DATE OF INCIDENT	DATE OF SHOW	Gaming Other	Numb Year er of	 									
FILE NO.			CAUSE		DAs		40		Chart Ti	le			-		'
DA 28/024 Minor in the Casino	A minor was detected on the gaming floor and removed approximately twenty minutes after having entered	30-Dec-00	25-Sep-01												
DA 28/025 Breach of the Rules and Procedures for Blackjack 31	A game of Blackjack was played with Pontoon cards for approximately 10 hours	13-Jan-01	11-Dec-01												
DA 28/026 Breach of Internal Controls	Crown failed on a number of occasions to implement Internal Control requirements for obtaining a bank opinion or verifying outstanding balances and indebtedness in regard to a patron's Cheque Cashing facility	September 1997 to February 1998	27-Sep-01												
DA 28/027 Breach of the Controlled Contract provisions 33	Crown entered into a contract with Stargames Corporation for the development and supply of Rapid Roulette Semi-Automated table games without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract		31-Oct-01												
DA 28/028 Using unlicensed personnel working in positions requiring a casino special employee's licence	Two Purchasing Dept employees were promoted to positions requiring a casino special employee licence but did not obtain the licences	01-Jan-98	02-Nov-01												
DA 28/029 Breach of the Controlled Contract Provisions	Crown entered into a contract with Dedicated Micros for non gaming equipment for a value in excess of \$200,000 without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract	During 2000	04-Dec-01												
DA 28/031 Breach of Internal Controls 36	Crown failed to provide the VCGA with two external audit reports by 31 October 2001 as is required by the Internal Controls.	31-Oct-01	11-Dec-01	8											
37 DA 20/020 Research of the Dulue of Research	Th invited planes manual a \$200,000 ungest from Dianes to Benkes offer the first and had been drawn.	07 Aug 00	122 Jan 02												
DA 28/030 Breach of the Rules of Baccarat	A junket player moved a \$200,000 wager from Player to Banker after the first card had been drawn from the shoe		23-Jan-02												<u> </u>
DA 28/032 Breach of Rules of Poker 39 04 29/032 Breach of Internal Controls	A game of Poker was played for approximately two hours with a card missing from the deck	30-Jan-01	23-Jan-02												
DA 28/033 Breach of Internal Controls 40 PA 28/024 Breach of Controlled Controls	Crown failed, for four (4) months, to provide monthly Patron Comments Reports as required by the Internal Controls. When the reports were provided in the fifth month they were deemed by the VCGA to be deficient Crown action into a contract with Safesemen 2. Mitche without first mixing 78 down origin patient patient in Crown actions into a contract with Safesemen 2. Mitche without first mixing 78 down origin patient	November 2001													
DA 28/034 Breach of Controlled Contract provisions	writing to the VCGA of the details of the proposed contract	09-Feb-00	23-Jan-02												
DA 28/036 Breach of Controlled Contract provisions 42	Crown entered into a contract with Netstar without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract	09-Nov-99	23-Jan-02												
DA 28/037 Breach of Controlled Contract provisions	This file is a continuation of files DA 035 and DA 036	As for files 035 & 036													
DA 28/038 Breach of Controlled Contract provisions	Crown entered into a contract with Flight Centre without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract		28-Feb-02												
DA 28/039 Breach of section 68(2)(e) of the Casino Control Act 45	Crown discharged a debt owing by a patron without first seeking and obtaining the VCGAs approval in writing		28-Feb-02												
DA 28/040 Breach of the provisions of the Casino Agreement 46	The VCGA served Crown with a Notice under the provisions of s 31.2 of the Casino Agreement (CA) for a failure to provide five Director's Quarterly Reports on the Series 2 Unsecured Notes between September 2000 and September 2001	September 2000 to September 2001	07-Mar-02												
DA 28/041 Numerous Minors in the Casino 47	Between 17 February and 22 July 2001 seven (7) minors were detected in the casino	FEBRUARY - JULY 2001	28-Mar-02												
DA 28/042 Minor in the Casino	A minor of approximately 12 months of age was detected in the casino in the arms of an adult patron		30-Apr-02												
DA 28/043 Breach of Controlled Contract provisions	Crown entered into a contract with Classic Blue Computers without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract	DURING 2000	02-May-02												
DA 28/044 Breach of Controlled Contract provisions	Crown entered into a contract with Bunbury Holdings Pty Ltd without first giving 28 days prior notice in writing to the VCGA of the details of the proposed contract	DURING 2000													
DA 28/045 Alleged fa lure to pay winnings to a patron 51	It was alleged that Crown contravened s 64(e) of the CCA by not paying an excluded patron who had won a Jackpot of \$10,769.06 on gaming machine D2102	22-Aug-01	02-Jul-02												
DA 28/046 Breach of Internal Controls 52	A Crown Security and Service Officer breached the Internal Controls by escorting two electrical contractors into the Hard Count Room while the count was in progress	29-Jun-01	29-Aug-02												
DA 28/047 Breach of the Rules of Blackjack	A game of Blackjack was played with Pontoon cards for nearly two hours	30-Jul-01	29-Aug-02												
DA 28/048 An alleged breach of Internal Controls and of section 59 of the CCA	It was alleged in the Show Cause Notice that Crown used unapproved digital surveillance equipment to monitor and record some gaming related operations and falled to retain the images for the required retention period	13 MAY - 3 JULY 2001	29-Aug-02												
DA 28/049 Minor in the Casino	A minor of approximately 18 months of age was detected in the casino in the arms of an adult patron	10-Apr-02	29-Aug-02												
DA 28/050 Breach of the Rules of Blackjack	A game of Blackjack was played with Pontoon cards for approximately four (4) minutes	20-Apr-02	31-Oct-02	18											
57															
DA 28/051 Minors in the Casino	Four (4) minors aged between 14 and 16 years of age entered and remained in the casino for approximately 7 minutes before being detected	13-May-02	04-Feb-03												
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IMB Production for the field of the field o		INCIDENT				F G		Other Nur	mb Year	LIVI	NU	PQ	K S			AA	AB AC AD	AE AF	AG AN	AI	AJ AN	AL AIVI	AN AU
				INCIDENT								40											
	1	Minor in the Casino	A six (6) year old was lifted over the railing of the Baccarat Bar thereby entering the defined casino	05-Dec-01	NOTICE				-			+			Chart Title	1 1	1 1	1 1	1 1				
Image: Section of the section of t																							
Image: Section of the section of t	59 DA 28/053	Minor in the Casino	A seventeen (17) year old entered the casing and gambled for approximately two (2) hours	14-Sep-02	04-Feb-03																		
	BA 20/000			14-000-02	04-1 05-00																		
	60																						
North	DA 28/054	An alleged breach of Internal Controls		20-Dec-01	27-Feb-03																		
North	61																						
0 0	DA 28/055	Breach of the Rules of Blackjack	A game of Blackjack was played with Pontoon cards for approximately two and a half hours	06-Jul-02	25-Mar-03																		
0 0	60																						
	DA 28/056	Breach of the Rules of Baccarat	A shoe of Baccarat cards missing 44 cards was used for play for approximately 30 minutes	02-Sep-02	06-May-03																		
	63 DA 28/057	Breach of the Rules of Blackiack	A game of Pontoon was played with Blacklack cards for approximately 16 minutes	08-Dec-02	26-Jun-03																		
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$ = \frac{1}{2} + \frac$	64			40.5 1.00	00.0.100	2																	I
	DA 28/058	Breach of the Rules of Blackjack	A game of blackjack was played with Pontoon cards for approximately three and a nail nours	16-Feb-03	23-UCI-U3	8																	
	65																						I
	66																						
$ \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1$	DA 28/050	Minors in the Casino		19-Mar-04	11-Aug-04	1																	
	67		action approximately one minute ditel entry																				
	DA 28/060	Breach of Internal Controls		04-Oct-00	15-Dec-04	2		+								+				+			
			Internal Controls																				
	68			1	1	1	_	+	\rightarrow			+	+ $+$ $+$			+ +				+ +	_		
	69	Brooch of Internal Cratter	Coming Mashings personnal failed to recover the direct the Drive Device the second sec	05 101 01	10 14-11 05		_		\rightarrow														⊢
	DA 28/061	Breach of Internal Controls	"Win This Prize" Jackpot which led to over accruing for the prize and an understatement of revenue		18-May-05																		
	70		and therefore tax paid																				
	DA 28/062	Minors in the Casino			16-Aug-05																		
$ \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0$	71		entered through Sante entry without being asked for ID	Incident 2 was																			
1 1	DA	Minor in the Casino			29-Sep-05																		
$ \left \begin{array}{cccccccccccccccccccccccccccccccccccc$	28/063		Property Services Officer																				
	72 DA 28/064	Minors in the Casino	1On 29/07/05 a minor in a pram entered the gaming floor via the Promenade entry after the	Incident 1 was	30-Nov-05																		
Image: Distribution			parents spoke to the security officer on duty 2 On 02/06/05 a 10 yr old male entered	on 29/07/05																			
$ \frac{1}{2} - 1$	73	Linguthorized access to a sensitive area		on 02/06/05	27 Mar 06	5																	
Image: Note of year of	DA 20/003		An unicensed security oncer escorted an unicensed dealer into the change booth 4	02-5011-05	27-10141-00	5																	
Image: Note of year of	74																						↓
Image: Note of year of																							
Image: Note of year of	75																						
λ	DA 28/066	Minor in the Casino		03-Oct-05	07-Jun-06																		
1 Image: Section of a se	76																						
Image: control of particular bit of	DA 28/067	Minors in the Casino			07-Aug-06																		·
I I			months of age) entered the casino in a pram via the Monte Carlo entry while the security officers on																				
Image: construction Image: construction<	77		duty attended to others at the entry point.	on 08-01-06																			
1 1	DA 28/068	Unlawful advance of gaming chips	A patron was advanced gaming chips by a Pit Boss without cash or a CPV being tendered on three	18-Mar-06	16-Aug-06																		·
Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed	70		occasions in the amounts of \$100K, \$200K & \$200K.																				
Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed of matter Image: meaning in the case of proposed	DA 28/070	Minor in the Casino		05-Apr-06	22-Nov-06	4		+												+			i
2017 And manage of the point on two occasions Decident 1, One 20 occlobe 2006 the point on two occasions Decident 1, One 20 occlobe 2006 the point on two occasions Decident 1, One 20 occlobe 2006 the point on two occasions Decident 1, One 20 occlobe 2006 the point on two occasions Decident 1, One 20 occlobe 2006 the point on two occasions Decident 1, One 20 occlobe 2006 the point on two occasions Decident 1, One 20 occlobe 2006 the point on two occasions Decident 1, One 20 occlobe 2006 the point on two occasions Decident 1, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One 20 occlobe 2006 the point on two occasions Decident 2, One																							
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2017 And containing least and the product of the control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes. 2010 bit control of the shuffle entry was left unmanned for a period of 1 minutes.																							
2017 And containing least and the required 52 cards. 2000 bit and the required 50 cards.	80	Breach of game rules (notor) on three accession	The name of Taxas Hold'am poker use conducted in pack accession with incomplete double for the	Incident 1	05-101-07			+	$ \rightarrow $		<u> </u>					+				+ +			⊢ –
10 0	28/071	Breach of game rules (power) on three occasions		28/09/06	00-Jul-07																		
2007 minutes. Incident 2 of 13 howenber 2006 same entry was left unmaned for a period of 7 minutes. 131106 20106 1 1 0 0 1 0 <td>81</td> <td></td> <td></td> <td>24/11/06</td> <td></td>	81			24/11/06																			
8 1	DA 28/072	Unmanned entry point on two occasions	minutes.	20/10/06	05-Jul-07																		
DA Amore in the Casino Aminor aged 18 months entered the casino via the Vegas entry. 11-Jan-07 05-Jul-07 Call	82			Incident 2																			
83 A		Minor in the Casino	A minor aged 18 months entered the casino via the Vegas entry.		05-Jul-07	1																	
28/74 decks of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and additional card. image: selection of 48 cards, plus and below of 50 cards while flux and selection of 50 cards while flux and tard and	20/01 3																						
84 94 <th< td=""><td>DA</td><td>Breach of game rules (pontoon)</td><td>The game of pontoon was conducted with an incorrect number of cards being the required eight</td><td>14-Nov-06</td><td>05-Jul-07</td><td>1</td><td></td><td>+ +</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td>+</td><td></td><td></td><td></td></th<>	DA	Breach of game rules (pontoon)	The game of pontoon was conducted with an incorrect number of cards being the required eight	14-Nov-06	05-Jul-07	1		+ +												+			
28/075 cards, minus the 15 cards which remained stuck in the shuffle machine - <td>28/074</td> <td></td> <td>decks of 48 cards, plus and additional card.</td> <td></td>	28/074		decks of 48 cards, plus and additional card.																				
28/075 cards, minus the 15 cards which remained stuck in the shuffle machine	84 DA	Breach of game rules (blackiack)	The game of blackjack was conducted with an incomplete shoe of cards being eight decks of 52	17-Dec-06	05-Jul-07		_	+	\rightarrow		+		+ $+$ $+$			+			<u> </u>	+			<u> </u>
28/076 28/076 Image: Control of the second	28/075	g= (oracidacit)																					,
28/076 28/076	85	T		44.1	05.1.1.5-																		⊢
86 DA 28/077 Breach of rules of Pai Gow on table PG 1703 was conducted with a set of tiles containing an extra "white 19-Feb-07 20-Jul-07 Control Cont Control Control	DA 28/076	I wo minors in the Casino	I wo minors aged 9 and 16 years entered the casino via Western entry.	14-Jan-07	05-Jul-07																		
DA 28/077 Breach of rules of Pai Gow The game of Pai Gow on table PG 1703 was conducted with a set of tiles containing an extra "white 19-Feb-07 20-Jul-07 87 Image: And missing a "low 8" for approximately 27 minutes. Image: And missing a "low 8" for approximately 27 minutes. Image: And missing a "low 8" for approximately 27 minutes. Image: And missing a "low 8" for approximately 27 minutes. Image: And missing a "low 8" for approximately 27 minutes. Image: And missing a "low 8" for approximately 27 minutes. Image: And missing a "low 8" for approximately 27 minutes. Image: And missing a "low 8" for approximately 27 minutes. Image: And missing a "low 8" for approximately 27 minutes. Image: And missing a "low 8" for approximately 27 minutes. Image: And missing a "low 8" for approximately 27 minutes. Image: And missing a "low 8" for approximately 27 minutes. Image: And missing a "low 8" for approximately 27 minutes. Image: And missing a "low 8" for approximately 27 minutes. Image: And missing a "low 8" for approximately 27 minutes. Image: And Missing a "low 8" for approximately 27 minutes. Image: And Missing a "low 8" for approximately 27 minutes. Image: And Missing a "low 8" for approximately 27 minutes. Image: And Missing a "low 8" for approximately 27 minutes. Image: And Missing a "low 8" for approximately 28 minutes. Image: And Missing a "low 8" for approximately 28 minutes. Image: And Missing a "low 8" for approximately 28 minutes. Image: And Missing a "low 8" for approximately 28 minutes. Image: And Missing a "low 8" for approximately	86																						
	DA 28/077	Breach of rules of Pai Gow	The game of Pai Gow on table PG 1703 was conducted with a set of tiles containing an extra "white nine" and missing a "low 8" for approximately 27 minutes	9 19-Feb-07	20-Jul-07	1																	
	87		naise and moonly a few or for approximately 27 minutes.																				, I
	07		1	1	1	1		1 I	1	I	1 1	1 1						1 1	<u> </u>	1 1			

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Note Note<	1 DA 28/078	Breach of the rules of Texas Holdem Poker	The game of Poker was played with one card missing for approximately 12 minutes	08-Feb-07		8						Chart Litle			1 1				
Note Note<																			
Note Note<	88																		
Note Note<																			
Note Note<	89 DA 28/079	Breach of the rules of Texas Holdem Poker	The same of Poker was played with one card missing for approximately 5 minutes	28- Jun-07	06-Feb-08														
	DA 201013		The game of Force was played with the bard missing for approximately 5 minutes	20-0411-07	00-1 00-00														
	90			40.1.07	00.5 1 00														
Image: Section of the section of t	DA 28/080	Breach of the rules of Texas Holdem Poker	I he game of Poker was played with an incomplete deck of cards for approximately 6 minutes	13-Jun-07	28-Feb-08														
Image: Section of the section of t	91																		
	DA 28/081	Use of unapproved gaming equipment	Crown used gaming equipment, namely an incorrect and unapproved version of software, on Rapid Roulette without obtaining the approval to use that equipment	12 to 30 April 2007	05-May-08														
Note Note<	92																		
D D Control	DA 28/082	Breach of game rules (Blackjack) on one occasion		28-Oct-07	14-Aug-08														
Image: state	93																		
	DA 28/083	Controlled contract - Aquanas	Crown entered into a controlled contract with Aquanas Foods when notice in wirting had not been	July - November 2006	14-Aug-08														
	94			November 2000	,														
	DA 28/084	Breach of game rules (poker) on one occasion	The game of WSOP Bonus Texas Hold'em poker was conducted with an incomplete deck of cards	05-Jul-07	14-Aug-08														
	05																		
a	95 DA 28/085	Breach of game rules (poker) on one occasion	The game of Omaha Poker was conducted with an incomplete deck of cards	15-Jan-08	10-Nov-08	7							+					<u> </u>	
	96		l	1	1	4 +	+				_		+ $+$ $+$		+			<u> </u>	
	97 DA 28/086	Breach of game rules (noker) on one occasion	The game of Manila Poker was conducted with an incomplete deck of cards	31-Mav-08	01-Jun-09	-							+ $+$ $+$				+ $+$ $+$		
	20/000	states of game rates (power) of the occasion	The game of manine i over was conducted with an internipted deek of cards	5 1-141dy=00	51-001-05														
	98	Minor in the Opering		11.0-1.00	21 14/ 00														
	DA 28/087	Minor in the Casino		11-Oct-08	21-Jui-09														
	99																		
	DA 28/088			7 September	21-Jul-09														
$ \left $	100																		
$ = \left $	DA 28/089	Minors in the Casino	 A minor (approx 3 months of age) entered the casino in a pram via the Monte Carlo entry. A minor (approx 9 years of age) entered the casino via the Food Court entry. 		21-Aug-09														
			3. A minor (infant) entered the casino in a pram via Sante entry after the mother asked for directions	Incident 2															
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	101		to the Fromenade all bhoge.																
$ \frac{1}{12} + \frac{1}{12}$	DA 28/090	Breach of game rules (poker) on one occasion	The game of Texas Hold'em Poker was conducted with an incomplete deck of cards	01-Jan-09	15-Dec-09	5													
$ \frac{1}{12} + \frac{1}{12}$	102																		
$ \frac{1}{12} + \frac{1}{12}$	102			1															
$ \frac{1}{12} + \frac{1}{12}$	100																		
A. A low buy one of a low	DA 28/091	Minors in the Casino	1. A minor (baby) entered the casino in a pram via the Las Vegas entry.		18-Mar-10														
00 0.3000 0.0000																			
Image: mode product rule: Mo	104 DA 28/092			Indiadity	31-Mar-10														
0 0																			
0 0	105 DA 28/093	Breach of game rules (poker) on one occasion	The game of Texas Hold'em Poker was conducted with an incomplete deck of cards	08-Jun-09	31-Mar-10														
DA 2004 Outlined outlined - densets Owner miller for tax outlined outlined outlined in the conduct. Data densets	5.120/000																		
Image: section of the sectin of the section of the		Controlled contract	Crown antered into a controlled control with Adversed Ontrol	lanu	21 140- 10	\downarrow \downarrow \downarrow \downarrow									<u> </u>				
DD DA Description L-Peter rescription Description Description <thdescription< th=""> <thdescription< th=""> De</thdescription<></thdescription<>	DA 28/094	Controlled contract - Advanced Catering		June 2008 and															
Image: bit is provided with it is point the provided with grage entry. 16 / 100	107			2009															
101 102 1	DA 28/095	Breach of ICS on 2 occasions	 Poker was played on a table that had no TLN displayed. A Security officer left his post on the Promenade Air Bridge entry. 	15 April 2009	08-Apr-10														
DA2000 Beach d game rules (oker) on one occasion The game of USDP Benach state Abdem Poker was dealt nonrectly breaching be Rules of the game. OP/OP/OP/OP/OP/OP/OP/OP/OP/OP/OP/OP/OP/O	108			Incident 2 25 April 2009															
Ind amage a	DA 28/096	Breach of game rules (poker) on one occasion	The game of Caribbean Stud Poker was dealt incorrectly breaching the Rules of the game.		30-Apr-10														
Ind amage a	109																		
110	DA 28/097	Breach of game rules (poker) on one occasion		11-Mar-09	21-May-10	1													
International control Internatinternational control Internati	110		yanıc.																
11 A C	DA 28/098	Failure to ensure gaming equipment is securley stored.	Cards were left in a shuffle machine after a Baccarat table had been closed, breaching rule 2.2.2. of	05-Sep-09	09-Aug-10														
112 than the required number. than the required number. <th number.<="" required="" t<="" td="" than="" the=""><td></td><td></td><td>the IGICS.</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></th>	<td></td> <td></td> <td>the IGICS.</td> <td></td>			the IGICS.															
112 than the required number. than the required number. <th number.<="" required="" t<="" td="" than="" the=""><td>DA 28/099</td><td>Breach of game rules (poker) on one occasion</td><td></td><td>02-Dec-09</td><td>09-Aug-10</td><td>+ $+$ $+$ $+$</td><td></td><td></td><td></td><td></td><td></td><td></td><td>+</td><td></td><td></td><td></td><td></td><td><u> </u></td></th>	<td>DA 28/099</td> <td>Breach of game rules (poker) on one occasion</td> <td></td> <td>02-Dec-09</td> <td>09-Aug-10</td> <td>+ $+$ $+$ $+$</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>+</td> <td></td> <td></td> <td></td> <td></td> <td><u> </u></td>	DA 28/099	Breach of game rules (poker) on one occasion		02-Dec-09	09-Aug-10	+ $+$ $+$ $+$							+					<u> </u>
113 Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Pontoon was dealt one card short. the missing card was later found under the ASM. It 19-May-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Pontoon was dealt one card short. The missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Pontoon was dealt one card short. The missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Pontoon was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Texas Hold'em Poker was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Texas Hold'em Poker was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Texas Hold'em Poker was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Texas Hold'em Poker was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Texas Hold'em Poker was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Texas Hold'em Poker was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Texas Hold em Poker was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint																			
113 Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Pontoon was dealt one card short. the missing card was later found under the ASM. It 19-May-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Pontoon was dealt one card short. The missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Pontoon was dealt one card short. The missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Pontoon was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Texas Hold'em Poker was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Texas Hold'em Poker was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Texas Hold'em Poker was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Texas Hold'em Poker was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Texas Hold'em Poker was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Texas Hold'em Poker was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint of CS and s 60 (2)c and s 121 (4) of The game of Texas Hold em Poker was dealt three hands with 51 rather than 52 cards - the missing 10-Jun-10 (3-May-11) Image: Constraint	112 DA 28/100	Alleged Breach of ICS and s 121 (4) of the Act.	The game of Big Wheel commenced gaming prior to the table being opened in the ATOM System	03-Nov-09	09-Nov-10	10						+ +	+		+			 	
the Act. is further alleged that Crown failed to notify the VCGR of the matter until 5 days after the incident had occurred c																			
the Act. is further alleged that Crown failed to notify the VCGR of the matter until 5 days after the incident had occurred c	113				I														
the Act. is further alleged that Crown failed to notify the VCGR of the matter until 5 days after the incident had occurred c																			
the Act. is further alleged that Crown failed to notify the VCGR of the matter until 5 days after the incident had occurred c	114																		
had occurred had occurred Image: Constraint of the same of Texas Hold'em Poker was dealt three hands with 51 rather than 52 cards – the missing 10-Jun-10 O3-May-11	DA 28/102		The game of Pontoon was dealt one card short, the missing card was later found under the ASM. It is further alleged that Crown failed to notify the VCGR of the matter until 5 days after the incident	19-May-10	03-May-11														
DA 28/103 Alleged Breach of ICS and s 60 (2)c and s 121 (4) of the Act. The game of Texas Hold'em Poker was dealt three hands with 51 rather than 52 cards – the missing 10-Jun-10 03-May-11 116 116 116 110	115																		
	DA 28/103		The game of Texas Hold'em Poker was dealt three hands with 51 rather than 52 cards – the missing card was subsequently located in the ASM	10-Jun-10	03-May-11														
	116																		
		1	1	1	1			1								<u> </u>	1		

A 28/105 Alleg		The game of Poker was played with less than the required number of cards for 8 hands, due to a Dealer error. Two minors accompanied by their mother (tourists from Germany), were detected at the Atrium Bar by a VCGR Inspector.		SHOW CAUSE NOTICE 21-Jun-11		er of DAs		40		Chart Title			T I		
A 28/105 Alleg Gam	Act. ged Breach of the ICS and s 10.7.8(3) of the	Dealer error. Two minors accompanied by their mother (tourists from Germany), were detected at the Atrium Bar		21-Jun-11						chart nue					
Gam	ged Breach of the ICS and s 10.7.8(3) of the abling Regulation Act 2003 (Vic).	Two minors accompanied by their mother (tourists from Germany), were detected at the Atrium Bar by a VCGR Inspector.		1											
			17-Jan-11	05-Sep-11	4										
				2012											
				2012	1										
A 28/106 Alleg	ged Breach of s 10.7.6(1) and 10.7.6(3) of the	Three minors were detected on the gaming floor by a VCGLR inspector, two of who were alleged to	01-Jan-13	11-Ocl-1	1										
	bbling Regulation Act 2003 (Vic).	have gambled.													_
A 28/107 Alleg	ged Breach of s 10.7.6(3) of the <i>Gambling</i>	A VCGLR Inspector requested that a Security Officer conduct an ID check of a patron they	14-Dec-2013	17-Apr-1			_								
A 28/108 Alleg	ulation Act 2003 (Vic).	suspected to be a minor (now known at near Pit 8 at the Atrium Bar. The Security Officer confirmed that as a minor (12 years of age) and was escorted of the casino floor via the Monte Carlo Entry point at 2028hrs. A minor (now known as terrace by a VCGLR Inspector.	31-Dec-1	13 17-Apr-1											_
Lue ² r		At approximately 1941 hours on 31 January 2014, a VCGLR Inspector contacted Surveillance to advise that they had located a minor on the Mahogany Room Terrase. Footage revealed that Mr had entered the Mahogany Room via the ground floor reception desk adjacent to the Crown Towers Lobby at 158 hours, accompanied by two females, at least one being a Mahogany Room member. The reception desk was manned by two females, at least one being a Mahogany Room member. The reception desk was manned by two staff members at the time. One staff member was occupied with another pairon and the other greeted the group including and viewed a membership card produced by each member was companied.													
A 22//20 All		On 3 January 2014, communication was circulated to Mahogany staff regarding Mahogany Room Entry procedures and the vigilance around checking ID. The Mahogany Room Receptionist at the ground floor entry when the minor entered was counselled regarding the matter. Matter closed.	10 1-2 11												
	1993) AVA - 12740 AVE 2012 AVA - 1994	The VCGLR contacted Security advising they had detected 2 minors at Pt 7. The VCGLR escorted the minors off the casho floor at 0239trs via the Promenade Air Bridge Entry point. Footage revealed that a male, now known as, entered the casho floor via the Promenade Air Bridge Entry at approximately 0215ths immediately proceeded to the lift next to Margo's cafe which allowed the two minors waiting at the Mezzanine level to enter the lift and gain access to the casho floor vas issued with a WOL for assisting the minors (both aged 17 at the time of the incident) in gaining access to the casho floor. This incident is allegedly in breach of s 10.7.6(3) of the Gambling Regulation Act 2003 (Vic).		17-Apr-1											
		As the minors did not enter the casino foor via the escalators and the lift access is managed by the Security Communications Centre, the Security Officer was not counselled regarding the incident. On 24 January 2014, Security revaluated the position of the Security Officer stationed at the Promenade Air Bridge Entry point. It was decided the Security Officer will be repositioned to the from of the control zone (infine with all other casino entries) which is situated at the top of the escalators. This vantage point will allow the Security Officer to better monitor the persons entering the casino floor (via the lift or the escalators). Matter closed.	t												
A 28/110 Alley Casir	ged Breach of s 60(2)(v) and 60(3)(v) of the ino Control Act 1991 (Vic).	The matter relates to an alleged linearch of Rule 2.2.2 of the Semi-Automated Table Games (SATG) Rules (Rules). It is specifically alleged that there is no Dealer Terminal connected to SATG table 2008.	N/A	18-Aug-1	4										_
		Crown Melbourne does not agree that it has contravened Rule 2.2.2 of the SATG Rules. Table 2608 is and always was, connected to a Dealer Terminal. The terminals at 2608 cannot operate without connectivity to a Dealer Terminal because the Dealer Terminal relays the results for the game. The terminals at 2608 are connected to two Dealer Terminals remotely, being those located at tables 1701 and 2201. Rule 2.2.2 of the SATG Rules does not state the required proximity of the Dealer. Terminal to the table.	1												
		There is no reference in the Rules, SOPs or otherwise that requires each TLN to have a unique Dealer Terminal. Mult-gaming is permitted on SATGs, whereby multiple Dealer Terminals can connect to the terminals for patrons to choose between – which is the current stuation arrangement for table 2808.													
		Crown had discussed the placement of terminals geographically separate from the Dealer Terminals with the Commission's predecessor, the VCGR, when multi-gaming was initially raised by Crown. It was the VCGR's advice that the terminal bank should have its own TLN to ensure that the bank is not overlooked/omitted when table closing and revenue calculation is completed. Crown accepted this cautious approach and placed a TLN at the bank of terminals to give the VCGR comfort that sufficient TLNs were allocated and that revenue would be correctly and appropriately calculated.													

BJECTIVE/ VORKSITE		DESCRIPTION OF INCIDENT	DATEOF	DATE OF			Saming O	lumb Year												
			INCIDENT	SHOW				ar of DAs		40	 	1		- J-			 _Ll			
24.25.2	Alleged Breach of \$ 10.7.8(3) of the Gambling Regulation Act 2003 (Vic).	Committed the casine floor presenting the ID of another person by the name of the casine floor presenting the ID of another person by the name of the casine floor at 2259hrs. VCGLR and excerted from the casine floor at 2259hrs. VCGLR and excerted from the casine floor at 2259hrs. VCGLR and excerted from the casine floor at 2259hrs. VCGLR and excerted from the casine floor at 2259hrs. VCGLR and excerted from the casine floor at 2259hrs. VCGLR and excerted from the casine floor at 2259hrs. VCGLR and excerted from the casine floor at 2259hrs. VCGLR and excerted that an ID inspection took place prior to the Promenade Air bridge Security Officer allowing the Minor to enter the casine floor. At the time of entry the Security Officer vas in no doubt that the ID produced belonged to Crown submits ifs defence under Section 10.7.12 of the GRA, which provides: Defences a) it is a defence to a prosecution for an offence under section 10.7.3, 10.7.4 or 10.7.8 if— a. the minor was above the age of 14 years at the time the acts constituting the offence were committed; and b. immediately before the acts constituting the offence were committed, there was produced to the acoused acceptable proof of age for the minor. As such and in this case:	01-Aug-14	<u>NOTICE</u> 24-Mar-15										Chart Tit	le					
		1. The minor presented valid ID; and 2. Was over 14 years of age (she was 17).																		
iA 28/112 Norksile)	Alleged Breach of s 60(2) of the Casino Control Act . 1991 (Vie).	On 1 August 2014 at approximately 2252/hrs, a minor, new known as accompanied by 4 other patrons entered the casino floor presenting the ID of another person by the name of VCGLR and escorted from the casino floor at 2250/hrs. VCGLR and escorted from the casino floor at 2250/hrs. VCGLR and escorted from the casino floor at 2250/hrs. VCGLR and escorted from the casino floor at 2250/hrs. VCGLR and escorted from the casino floor at 2250/hrs. Vas previously refused entry by the Security Officer at the Mings Entry point at 2040/hrs. Footage revealed that an ID inspection took place prior to the Promenade Air bridge Security Officer allowing the Minor to enter the casino floor. At the time of entry the Security Officer at the Mings Entry point at 2040/hrs. Footage revealed that an ID inspection took place prior to the Promenade Air bridge Security Officer at the ID produced belonged to Crown submits it's defence under Section 10.7.12 of the GRA, which provides: Defences a) It is a defence to a prosecution for an offence under section 10.7.3, 10.7.4 or 10.7.6 if—a. the minor was produced to the accused acceptable proof of age for the minor. As such and in this case: 1. The minor presented valid ID; and 2. Was over 14 years of age (she was 17).	01-Aug-14	24-Mar-15	2															
								 	 		 		_		_	_	-		++	_
A 28/112 Norkalle)	Alleged breach of Rule 3.9 of the FATG Rules (Rules) and consequently s 60(2)(c) of the Casino Control Act 1991 (Vic).	Games	(PlaySafe unavailable on FATGs from 10 November 2015 with the introduction of YourFlay)	30-Mar-16																
XA 28/112 (Vorksile)	Alleged breaches of the Junket and Premium Player Programs Internal Control Statement (ICS), which resulted in alleged breaches of s 121(4) and consequently s20(1)(b) of the <i>Casino Control Act</i> 1991 (Vic)(Act).	On 28 December 2016, the VCGLR issued Crown with a Notice to Show Cause in relation to alleged breaches of the Junket and Premium Player Programs Internal Control Statement (ICS). Most of the alleged breaches are technical in nature (e.g. illegible hand writing on forms). It is specifically alleged there were 21 treaches covering five (3) Junket Player Programs, which resulted in alleged breaches of s 121(4) and consequently s 20(1)(b) of the Casino Control Act 1991 (Vic)(Act).	programs range from July 2015 - September	28/12/2016	2															
	2																			_
As	Allegad branches of some supervised which	In 8 December 2017, the V/CLD instead Once with a Valida to Characteristic and the	27 March	IR Occasion																
140	Alleged breaches of game approval, which resulted in alleged breaches of 9 3.5.5(1) and 3.5.5(2) of the Gambling Regulation Act 2003 (Vic)(Act).	On 8 December 2017, the VCGLR issued Crown with a Notice to Show Cause in relation to alleged variation to 17 gaming machines types and 17 games. The Commission alleges that Crown has contraveled the <i>Gambling Regulation Act 2003</i> (Vic).		8 December 17																
	L	•		-		-														-

Δ	В	C	D	E	F G H I J K	O P		SITI	VW	X Y Z		AF AG			
OBJECTIVE	/ INCIDENT	DESCRIPTION OF INCIDENT	DATE OF INCIDENT	DATE OF	Gaming Other Numb Year er of	0 1	a n	0 1 0		<u> </u>	701 715	71 710		744 742	
FILE NO.	/ INCIDENT		INCIDEN I	DATE OF SHOW CAUSE NOTICE 8 December	er of DAs		40	1 1		Chart Title		 	-		
DAs	Alleged breaches of game approval, which resulted in alleged breaches of s 3.5.5(1) and 3.5.5(2) of the <i>Gambling Regulation Act 2003</i> (Vic)(Act).	0n 8 December 2017, the VCGLR issued Crown with a Notice to Show Cause in relation to alleged variation to 17 gaming machines types and 17 games. The Commission alleges that Crown has contraveined the Gambling Regulation Act 2003 (Vic).	27 March 2017 - 20 April 2017.	8 December	1										
138															
130															
140	Number of DAs	Year													
141		3 1995	5												
142		0 1996	5												
142		6 1997													
143															
144		9 1998													
145		2 1995													
146		2 2000)												
147		8 2001	L												
148	1	18 2002	2												
149		8 2003	3												
150		2 2004	1												
151		5 2005	5												
152		4 2006	5												
153		8 2007	7												
154		7 2008	3												
155		5 2009)												
156	1	.0 2010)												
157		4 2011	L												
158		0 2012													
159		1 2013													
160		4 2014													
161		2 2015													
162		2 2016													
163		1 2017	7												
164		1 2018	3												

Costs

	٨	В	с	D	Е	F
	A Eines per	D Cumulative Fine	Per Year	Per s 25 Review	E	Г
1	Filles per FY	Total		Period		
		\$20,000	DA's Received for FY96 (based on date Notice	Fenou		
	\$20,000	<i>¥</i> 20,000	to Show Cause received): 3			
	φ 20,000		to onow dause received). 5			
2						
		\$29,000	DA's Received for FY97 (based on date Notice			
	\$9,000		to Show Cause received): 2			
3						
	FY 1998	\$250,000	DA's Received for FY98 (based on date Notice			
	\$221,000		to Show Cause received): 55			
4						
	FY 1999	\$255,000	DA's Received for FY99 (based on date Notice			
	\$5,000		to Show Cause received): 3			
5	. ,		,			
	FY 2000	\$318,000	DA's Received for FY00 (based on date Notice	61 DA's received for		
	\$63,000		to Show Cause received): 3	1st s25 review period,		
			·····, ·	totalling \$289,000 in		
6				fines.		
	FY 2002	\$434,500	DA's Received for FY02 (based on date Notice			
	\$116,500		to Show Cause received): 48			
7			· ·			
	FY 2003	\$492,500	DA's Received for FY03 (based on date Notice			
	\$58,000		to Show Cause received): 13			
0	,					
8	FY 2004	\$502,500	DA's Passived for EV04 (based on data Nation	64 DA's received for		
		\$502,500	DA's Received for FY04 (based on date Notice			
	\$10,000		to Show Cause received): 1	2nd s25 review period,		
0				totalling \$184,500 in		
9	51/ 0005			fines.		
		\$ 513,500	DA's Received for FY05 (based on date Notice			
	\$11,000		to Show Cause received): 3			
10						
	FY 2006	\$518,500	DA's Received for FY06 (based on date Notice			
	\$5,000	. ,	to Show Cause received): 6			
11						
	FY 2007	\$538,500	DA's Received for FY07 (based on date Notice	14 DA's received for		
	\$20,000		to Show Cause received): 5	3rd s25 review period,		
	<i>4</i> 10 ,000			totalling \$26,000 in		
12				fines.		
	FY 2008	\$593,500	DA's Received for FY08 (based on date Notice			
	\$55,000		to Show Cause received): 12			
10	,					
13	FY 2009	\$603.000	DA's Received for FY09 (based on date Notice			
		\$603,000				
	\$9,500		to Show Cause received): 5			
14						
	FY 2010	\$673,000	DA's Received for FY10 (based on date Notice			
	\$70,000		to Show Cause received): 17			
15						
	FY 2011	\$688,000	DA's Received for FY11 (based on date Notice			
	\$15,000		to Show Cause received): 6			
16			· ·			
	FY 2012	\$693,000	DA's Received for FY12 (based on date Notice	41 DA's received for		
	\$5,000	\$000,000	to Show Cause received): 1	4th s25 review period		
	<i>\$</i> 3,000		lo onow oduse received). I	totalling \$154,500 in		
				fines.		
17	514 00 10					
18	FY 2013					
19	FY 2014					
20	FY 2015					<u> </u>
21	FY 2016					6225.05
22	FY 2017				Trade Promotion Application -	\$325.80
23	FY 2018				Trade Promotion Amendment Application -	\$200.50
24	FY 2019					
25	FY 2020					

VCGLR Requests

	А
1	Date